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## TAYLOR SPECTRUM AND CHARACTERISTIC FUNCTIONS OF COMMUTING 2-CONTRACTIONS

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**ABSTRACT.** In this paper, we give a description of Taylor spectrum of commuting 2-contractions in terms of characteritic functions of such contractions. The case of a single contraction obtained by B. Sz. Nagy and C. Foias is generalied in this work.

**1. Introduction.** Let  $\mathcal{H}$  be a Hilbert space. A 2-tuple  $A = (A_1, A_2)$  of bounded operators on  $\mathcal{H}$  is called contractive ( or 2-contraction ) if  $\|A_1 h_1 + A_2 h_2\|^2 \leq \|h_1\|^2 + \|h_2\|^2$  for all  $h_1, h_2$  in  $\mathcal{H}$ . It is equivalent [1] to the condition:  $A_1 A_1^* + A_2 A_2^* \leq 1_{\mathcal{H}}$ . If additionally, operators  $A_1$  and  $A_2$  commute, then  $A$  is called a commuting 2-contraction. To every commuting 2-contraction  $A = (A_1, A_2)$  corresponds an analytic operator-valued function  $\theta_A : ID^2 \rightarrow B(\mathcal{D}_A, \mathcal{D}_{A^*})$  called characteristic function of  $A$  and defined by :

$$(1.1) \quad \theta_A(z_1, z_2) = -A + D_{A^*} (1_{\mathcal{H}} - z_1 A_1^* - z_2 A_2^*)^{-1} (z_1.1_{\mathcal{H}}, z_2.1_{\mathcal{H}}) D_A$$

where

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- (a)  $\mathbb{D}^2 = \{(z_1, z_2) \in \mathbb{C}^2 : |z_1|^2 + |z_2|^2 < 1\}$ ,
- (b)  $B(\mathcal{D}_A, \mathcal{D}_{A^*})$  is the set of all bounded operators from  $\mathcal{D}_A$  into  $\mathcal{D}_{A^*}$ ,
- (c)  $D_{A^*} = (I - A_1 A_1^* - A_2 A_2^*)^{\frac{1}{2}} : \mathcal{H} \rightarrow \mathcal{H}$  and  $\mathcal{D}_{A^*}$  is the closure of the range of  $D_{A^*}$ ,
- (d)  $D_A = \begin{bmatrix} 1_H - A_1^* A_1 & -A_1^* A_2 \\ -A_2^* A_1 & 1_H - A_2^* A_2 \end{bmatrix}^{\frac{1}{2}} : \mathcal{H}^2 \rightarrow \mathcal{H}^2$  and is the closure of the range of  $D_A$ ,
- (e)  $(z_1 1_{\mathcal{H}}, z_2 1_{\mathcal{H}}) : \mathcal{H}^2 \rightarrow \mathcal{H}$ ;  $(z_1 1_{\mathcal{H}}, z_2 1_{\mathcal{H}}) \begin{pmatrix} h_1 \\ h_2 \end{pmatrix} = z_1 h_1 + z_2 h_2$ .

Characteristic function of commuting  $n$ -contraction has been introduced in [3] as a generalization of characteristic function of a single contraction [9]. A lot of its remarkable properties have been established in ([2], [4], [9]). In particular, it is shown (like in the single case) that the characteristic function is a unitary invariant. It means that characteristic functions of two pure or completely noncoisometric  $n$ -contractions ([2], [4])  $A = (A_1, \dots, A_n)$  and  $A' = (A'_1, \dots, A'_n)$  coincide if and only if there exists a unitary operator  $U : \mathcal{H} \rightarrow \mathcal{H}$  such that  $A'_i = U^{-1} A_i U$  for every  $i = 1, \dots, n$ .

In the case of a completely nonunitary single contraction, the spectrum can be described in terms of the characteristic function (see theorem 4.1 [9]). The aim of this paper is to give a description of Taylor spectrum in the case of commuting pure 2-contractions by means of characteristic function (1.1).

In section 2 we briefly remind the definition of Taylor spectrum. Section 3 contains characterizations of different components of Taylor spectrum. In section 4, we investigate the behavior of Taylor spectrum under the action of involutive automorphisms of unit ball.

**2. Taylor spectrum.** Let  $A = (A_1, A_2, \dots, A_n)$  be a pure  $n$ -contraction. According to ([5], [6], [7], [10]), the Taylor spectrum of  $A$  can be defined as follows. Let  $\Lambda(\mathcal{H})$  be the exterior algebra on  $n$  generators  $e_1, \dots, e_n$  with identity  $e_0 = 1$  and coefficients in  $H$ . In other words,

$$\Lambda(\mathcal{H}) = \{x \otimes e_{i_1} \wedge \dots \wedge e_{i_p} : x \in \mathcal{H}; 1 \leq i_1 \leq \dots \leq i_p \leq n; 1 \leq p \leq n\}$$

with the collapsing property :  $e_i \wedge e_j + e_j \wedge e_i = 0$ . One has

$$\begin{aligned}\Lambda(\mathcal{H}) &= \oplus_{k=1}^n \Lambda^k(\mathcal{H}); \\ \Lambda^k(\mathcal{H}) &= \{x \otimes e_{i_1} \wedge \cdots \wedge e_{i_k} : x \in H, 1 \leq i_1 \cdots \leq i_k \leq n\}; \\ \Lambda^0(\mathcal{H}) &= \mathcal{H}.\end{aligned}$$

Consider in  $\Lambda(\mathcal{H})$  operator:

$$B_A : \Lambda(\mathcal{H}) \rightarrow \Lambda(\mathcal{H}) : \quad B_A(x \otimes e_{i_1} \wedge \cdots \wedge e_{i_p}) = \sum_{k=1}^n A_k(x) \otimes e_k \wedge e_{i_1} \wedge \cdots \wedge e_{i_p}.$$

It is not difficult to see that  $B_A^2 = 0$  and  $\text{Ran } B_A \subseteq \text{Ker } B_A$ . Decomposition  $\Lambda(\mathcal{H}) = \oplus_{k=1}^n \Lambda^k(\mathcal{H})$  gives a rise to a cochain  $K(A, \mathcal{H})$ , the so-called Koszul complex  $K(A, \mathcal{H})$  associated to  $A$  on  $\mathcal{H}$  as follows:

$$K(A_1, A_2, \mathcal{H}) : \{0\} \rightarrow \mathcal{H} = \Lambda^0(\mathcal{H}) \xrightarrow{B_A^0} \cdots \xrightarrow{B_A^{n-1}} \Lambda^n(\mathcal{H}) \rightarrow \{0\}$$

where  $B_A^k$  is the restriction of  $B_A$  to the subspace  $\Lambda^k(\mathcal{H})$ . Complex  $K(A, \mathcal{H})$  is said to be exact (or regular) if:

$$\begin{aligned}\{0\} &= \ker B_A^0, & \text{Ran } B_A^0 &= \ker B_A^1, \dots, \\ \text{Ran } B_A^{n-2} &= \ker B_A^{n-1}, & \text{Ran } B_A^{n-1} &= \Lambda^n(H).\end{aligned}$$

**Definition 1.** *The Taylor spectrum of  $n$ -contraction is the set:*

$$\sigma_T(A) = \{z = (z_1, z_2, \dots, z_n) \in \mathbb{C}^n : K(A_1 - z_1, \dots, A_n - z_n; H) \text{ is not exact}\}.$$

Let us now suppose that  $n = 2$ . Then,

$$\begin{aligned}\Lambda(\mathcal{H}) &= \Lambda^0(\mathcal{H}) \oplus \Lambda^1(\mathcal{H}) \oplus \Lambda^2(\mathcal{H}) \\ &= (\mathcal{H} \otimes e_0) \oplus ((\mathcal{H} \otimes e_1) \oplus (\mathcal{H} \otimes e_2)) \oplus (\mathcal{H} \otimes e_1 \wedge e_2).\end{aligned}$$

According to this direct sum, operator  $B_A$  admits the matrix representation

$$B_A = \begin{bmatrix} 0 & 0 & 0 & 0 \\ A_1 & 0 & 0 & 0 \\ A_2 & 0 & 0 & 0 \\ 0 & -A_2 & A_1 & 0 \end{bmatrix}$$

and then operators  $B_{(A_1, A_2)}^0$  and  $B_{(A_1, A_2)}^1$  have the forms:

$$(2.1) \quad \begin{cases} B_{(A_1, A_2)}^0(x) = A_1(x) \oplus A_2(x), & (x, y \in \mathcal{H}), \\ B_{(A_1, A_2)}^1(x \oplus y) = -A_2(x) + A_1(y), & (x, y \in \mathcal{H}). \end{cases}$$

According to Definition 1 and formula (2.1), one has

$$\sigma_T(A_1, A_2) = \sigma_T^{(1)}(A_1, A_2) \cup \sigma_T^{(2)}(A_1, A_2) \cup \sigma_T^{(3)}(A_1, A_2),$$

where

$$(2.2) \quad (z_1, z_2) \in \sigma_T^{(1)}(A_1, A_2) \Leftrightarrow \exists x \in \mathcal{H} : x \neq 0, (A_1 - z_1)x = (A_2 - z_2)x = 0,$$

$$(2.3) \quad (z_1, z_2) \in \sigma_T^{(2)}(A_1, A_2) \Leftrightarrow \exists (x_1, x_2) \in \mathcal{H}^2 : \begin{cases} (A_1 - z_1)x_1 - (A_2 - z_2)x_2 = 0 \\ (x_1, x_2) \neq ((A_1 - z_1)h, (A_2 - z_2)h), \forall h \in \mathcal{H} \end{cases},$$

$$(2.4) \quad (z_1, z_2) \in \sigma_T^{(3)}(A_1, A_2) \Leftrightarrow \exists y \in \mathcal{H} : y \neq (A_1 - z_1)x_1 - (A_2 - z_2)x_2, \forall (x_1, x_2) \in \mathcal{H}^2.$$

**Remark 1.**  $\sigma_T^{(1)}(A_1, A_2)$  is called the punctual joint Taylor spectrum. Taylor joint spectrum generalizes the one variable notion of spectrum. It is a nonempty compact subset of  $\mathbb{C}^n$ . The reader can find an excellent account of the Taylor spectrum and its relations with other multiparameter spectral theories in [6]. Note also that in [2] a description of Harte spectrum by means of characteristic function is given.

Throughout this paper, we will suppose that if  $A = (A_1, A_2)$  is a commuting 2-contraction, then operator  $D_{A^*} = (I - A_1 A_1^* - A_2 A_2^*)^{\frac{1}{2}}$  is one to one. Note that pure (and more generally completely non coisometric) commuting 2-contractions ([2], [3], [4]) satisfy this condition. Indeed, if  $A = (A_1, A_2)$  is a pure 2-contraction then, the decreasing sequence of positive bounded operators  $((A_1 A_1^* + A_2 A_2^*)^n)_{n \in \mathbb{N}}$  admits a strong limit  $A_\infty = 0$ . Because of that,

$$\begin{aligned} D_{A^*}(x) &= 0 \Rightarrow D_{A^*}^2(x) = (I - A_1 A_1^* - A_2 A_2^*)x = 0 \\ &\Rightarrow x = (A_1 A_1^* + A_2 A_2^*)x \Rightarrow x = (A_1 A_1^* + A_2 A_2^*)^n x, \forall n = 0, 1, 2, \dots \\ &\Rightarrow x = A_\infty(x) = 0. \end{aligned}$$

Using relations  $AD_A = D_{A^*}A$  and  $A^*D_{A^*} = D_A A^*$  ([3]), it can be proven that:

$D_{A^*} = (I - A_1 A_1^* - A_2 A_2^*)^{\frac{1}{2}}$  is one to one  $\Leftrightarrow D_A = \begin{bmatrix} 1_H - A_1^* A_1 & -A_1^* A_2 \\ -A_2^* A_1 & 1_H - A_2^* A_2 \end{bmatrix}^{\frac{1}{2}}$  is one to one.

### 3. Characterization of Taylor spectrum.

**Lemma 1.** *Let  $A = (A_1, A_2)$  be a commuting 2-contraction such that  $D_{A^*}$  is one to one. Then,*

$$A(x, y) = z_1 x + z_2 y, ((z_1, z_2) \in \mathbb{C}^2, (x, y) \in \mathcal{H}^2) \Leftrightarrow \theta_A(z_1, z_2) \left( D_A \begin{pmatrix} x \\ y \end{pmatrix} \right) = 0.$$

**Proof.** It follows directly from relation

$$(3.1) \quad \theta_A(z_1, z_2) D_A \begin{pmatrix} x \\ y \end{pmatrix} = D_{A^*} (1_{\mathcal{H}} - z_1 A_1^* - z_2 A_2^*)^{-1} [(z_1 x + z_2 y) - (A_1 x + A_2 y)].$$

□

**Lemma 2.** *Let  $A = (A_1, A_2)$  be a commuting pure 2-contraction such that  $D_{A^*}$  is one to one,  $(z_1, z_2) \in \mathbb{C}^2$  and  $x \in \mathcal{H}$ . Then,*

$$A^*(x) = \begin{pmatrix} \overline{z_1} \cdot x \\ \overline{z_2} \cdot x \end{pmatrix} \Leftrightarrow (\theta_A(z_1, z_2))^* D_{A^*}(x) = 0.$$

**Proof.** Since in this case  $D_{A^*}^2(x) = (1_{\mathcal{H}} - \overline{z_1} A_1 - \overline{z_2} A_2)x$ , then the necessary condition is a direct consequence of relation,

$$(3.2) \quad (\theta_A(z_1, z_2))^* D_{A^*}(x) = D_A \left( - \begin{pmatrix} A_1^* x \\ A_2^* x \end{pmatrix} + \begin{pmatrix} \overline{z_1} \\ \overline{z_2} \end{pmatrix} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) D_{A^*}^2(x) \right).$$

**Proof of the suffisant condition.** Hence  $(\theta_A(0, 0))^* D_{A^*}(x) = - \begin{pmatrix} A_1^* x \\ A_2^* x \end{pmatrix}$ , one can without losing the generality suppose that  $(z_1, z_2) \neq (0, 0)$ .

$$(\theta_A(z_1, z_2))^* D_{A^*}(x) = 0$$

$$\begin{aligned} &\Rightarrow D_A \left( - \begin{pmatrix} A_1^* x \\ A_2^* x \end{pmatrix} + \begin{pmatrix} \overline{z_1} \\ \overline{z_2} \end{pmatrix} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) D_{A^*}^2(x) \right) = 0 \\ &\Rightarrow \begin{cases} -A_1^* x + \overline{z_1} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0 \\ -A_2^* x + \overline{z_2} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0 \end{cases} \\ &\Rightarrow \begin{cases} -A_1^* x + \overline{z_1} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0 \\ -A_2^* x + \overline{z_2} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0 \end{cases} \\ &\Rightarrow \begin{cases} -\overline{z_2} A_1^* x + \overline{z_2} \overline{z_1} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0 \\ -\overline{z_1} A_2^* x + \overline{z_1} \overline{z_2} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0 \end{cases} \\ &\Rightarrow \begin{cases} \overline{z_1} A_2^* x = \overline{z_2} A_1^* x \\ -\overline{z_2} A_1^* x + \overline{z_2} \overline{z_1} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0 \end{cases} \\ &\Rightarrow \begin{cases} \overline{z_1} A_2^* x = \overline{z_2} A_1^* x \\ -\overline{z_2} A_1^* x + \overline{z_2} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (\overline{z_1} I - \overline{z_1} A_1 A_1^* - \overline{z_2} A_2 A_1^*) x = 0 \end{cases} \\ &\Rightarrow \begin{cases} \overline{z_1} A_2^* x = \overline{z_2} A_1^* x \\ \overline{z_2} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) ((\overline{z_1} I - A_1^*)) x = 0 \end{cases} \\ &\Rightarrow ((\overline{z_1} I - A_1^*)) x = 0 \Rightarrow A_1^* x = \overline{z_1} x. \end{aligned}$$

On the other hand,

$$1. \quad \overline{z_1} A_2^* x = \overline{z_2} A_1^* x, \quad A_1^* x = \overline{z_1} x \quad \text{and} \quad z_1 \neq 0 \Rightarrow A_2^* x = \overline{z_2} x.$$

$$2. \quad \text{Putting } A_1^* x = \overline{z_1} x \quad \text{and} \quad z_1 = 0 \quad \text{in the relation}$$

$$-A_2^* x + \overline{z_2} \left( (1_H - \overline{z_1} A_1 - \overline{z_2} A_2)^{-1} \right) (I - A_1 A_1^* - A_2 A_2^*) x = 0,$$

and multiplying by  $(1_H - \overline{z_2} A_2)^{-1}$ , one obtains

$$(1_H - \overline{z_2} A_2) A_2^* x = \overline{z_2} (I - A_2 A_2^*) x.$$

This last relation is equivalent to  $A_2^* x = \overline{z_2} x$ .

□

**Proposition 1.** *Let  $A = (A_1, A_2)$  be a commuting pure 2-contraction such that  $D_{A^*}$  is one to one. Then,  $(z_1, z_2) \in \sigma_T^{(1)}(A_1, A_2)$  if and only if equation  $\theta_A(z_1, z_2)X = 0$  admits at least two nontrivial solutions  $D_A(X_1)$  and  $D_A(X_2)$  such that,  $X_1 = \begin{pmatrix} x \\ 0 \end{pmatrix}$ ,  $X_2 = \begin{pmatrix} 0 \\ x \end{pmatrix}$ ,  $x \in \mathcal{H}$ .*

**Proof.** One has

$$(z_1, z_2) \in \sigma_T^{(1)}(A_1, A_2)$$

$$\Leftrightarrow \exists x \in \mathcal{H} : x \neq 0, (A_1 - z_1)x = (A_2 - z_2)x = 0$$

$$\Leftrightarrow \exists x \in \mathcal{H} : x \neq 0, A_1(x) = z_1.x \text{ and } A_2(x) = z_2.x$$

$$\Leftrightarrow \exists x \in \mathcal{H} : x \neq 0, A \begin{pmatrix} x \\ 0 \end{pmatrix} = z_1.x + z_2.0 \text{ and } A \begin{pmatrix} 0 \\ x \end{pmatrix} = z_1.0 + z_2.x$$

$$\Leftrightarrow \theta_A(z_1, z_2) \left( D_A \begin{pmatrix} x \\ 0 \end{pmatrix} \right) = 0 \text{ and } \theta_A(z_1, z_2) \left( D_A \begin{pmatrix} 0 \\ x \end{pmatrix} \right) = 0.$$

To end the proof, it is sufficient to remark that

$$x \neq 0 \Leftrightarrow D_A \begin{pmatrix} x \\ 0 \end{pmatrix} \neq 0 \Leftrightarrow D_A \begin{pmatrix} 0 \\ x \end{pmatrix} \neq 0.$$

□

**Proposition 2.** *Let  $A = (A_1, A_2)$  be a commuting pure 2-contraction such that  $D_{A^*}$  is one to one. Then,  $(z_1, z_2) \in \sigma_T^{(2)}(A_1, A_2)$  if and only if the equation  $\theta_A(z_1, z_2)Y = 0$  admits at least one non trivial solution  $Y = D_A(X)$  such that  $X \neq \begin{pmatrix} (A_1 - z_1)h \\ (z_2 - A_2)h \end{pmatrix}$ ,  $\forall h \in \mathcal{H}$ .*

**Proof.** One has,

$$(z_1, z_2) \in \sigma_T^{(2)}(A_1, A_2) \Leftrightarrow \begin{cases} \exists (x_1, x_2) \in \mathcal{H}^2 : (A_1 - z_1)x_1 - (A_2 - z_2)x_2 = 0, \\ (x_1, x_2) \neq ((A_1 - z_1)h, (A_2 - z_2)h) \forall h \in \mathcal{H}, \\ (x_1, x_2) \neq (0, 0). \end{cases}$$

It means that

$$(z_1, z_2) \in \sigma_T^{(2)}(A_1, A_2) \Leftrightarrow \begin{cases} \exists (x_1, x_2) \in \mathcal{H}^2 : A_1(x_1) + A_2(-x_2) = z_1.x_1 + z_2.(-x_2), \\ (x_1, x_2) \neq ((A_1 - z_1)h, (A_2 - z_2)h); \forall h \in \mathcal{H}, \\ (x_1, x_2) \neq (0, 0). \end{cases}$$



According to Lemma 1, one has finally

$$(z_1, z_2) \in \sigma_T^{(2)}(A_1, A_2) \Leftrightarrow \begin{cases} \exists (x_1, x_2) \in \mathcal{H}^2 : \theta_A(z_1, z_2) \left( D_A \begin{pmatrix} x_1 \\ -x_2 \end{pmatrix} \right) = 0, \\ (x_1, x_2) \neq ((A_1 - z_1)h, (A_2 - z_2)h); \forall h \in \mathcal{H}, \\ (x_1, x_2) \neq (0, 0). \end{cases}$$

□

**Proposition 3.** *Let  $A = (A_1, A_2)$  be a commuting 2-contraction such that  $D_{A^*}$  is one to one and  $(z_1, z_2) \in \mathbb{C}^2$ . Assume that equation  $(\theta_A(z_1, z_2))^* D_{A^*}(y) = 0$  admits at least one non trivial solution. Then,*

$$(z_1, z_2) \in \sigma_T^{(3)}(A_1, A_2).$$

*Proof.* Suppose that  $(\theta_A(z_1, z_2))^* D_{A^*}(y) = 0$  admits at least one non trivial solution  $y$ . According to Lemma 2, it means that

$$A^*(y) = \begin{pmatrix} \overline{z_1} \cdot y \\ \overline{z_2} \cdot y \end{pmatrix}.$$

Thus, for every  $(x_1, x_2) \in \mathcal{H}^2$ , one has

$$\begin{aligned} 0 &= \left\langle A^*(y) - \begin{pmatrix} \overline{z_1} \cdot y \\ \overline{z_2} \cdot y \end{pmatrix}, \begin{pmatrix} x_2 \\ -x_1 \end{pmatrix} \right\rangle_{\mathcal{H}^2} \\ &= \left\langle \begin{pmatrix} (A_1^* - \overline{z_1}) \cdot y \\ (A_2^* - \overline{z_2}) \cdot y \end{pmatrix}, \begin{pmatrix} x_2 \\ -x_1 \end{pmatrix} \right\rangle_{\mathcal{H}^2} \\ &= \langle (A_1^* - \overline{z_1}) \cdot y, x_2 \rangle_{\mathcal{H}} + \langle (A_2^* - \overline{z_2}) \cdot y, -x_1 \rangle_{\mathcal{H}} \\ &= \langle y, (A_1 - z_1) x_2 \rangle_{\mathcal{H}} - \langle y, (A_2 - z_2) x_1 \rangle_{\mathcal{H}} \\ &= \langle y, (A_1 - z_1) x_2 - (A_2 - z_2) x_1 \rangle_{\mathcal{H}}. \end{aligned}$$

Thus,

$$y \notin \text{Ran } D_{(A_1 - z_1, A_2 - z_2)}^1$$

and finally

$$(z_1, z_2) \in \sigma_T^{(3)}(A_1, A_2).$$

□

**4. Taylor spectrum and involutive automorphisms of unit ball.** In this section we investigate the Taylor spectrum under action of involutive automorphisms of unit ball  $\mathbb{D}^2$ . Such automorphisms are defined in [8] by

$$\Phi_\lambda(z) = \lambda - \frac{\sqrt{1 - \|\lambda\|^2}}{1 - \langle z, \lambda \rangle} \left( z - \left( 1 - \sqrt{1 - \|\lambda\|^2} \right) \frac{\langle z, \lambda \rangle}{\|\lambda\|^2} \cdot \lambda \right),$$

$$\lambda \in \mathbb{D}^2, \lambda = (\lambda_1, \lambda_2) \neq 0.$$

Connection between automorphisms of unit ball and multicontractions has been made in [2] and [4] where some very interesting properties have been established. In particular, if  $\Phi_\lambda$  is an involutive automorphism of unit ball and  $A = (A_1, A_2)$  is a commutative 2-contraction then, (see [2], sections 4 and 5) one can define operator

$$\Phi_\lambda(A) = \Lambda - D_{\Lambda^*} (1_H - A\Lambda^*)^{-1} A D_\Lambda$$

where the operator  $\Lambda = (\lambda_1 \cdot 1_H, \lambda_2 \cdot 1_H)$  is defined from  $H^2$  into  $\mathcal{H}$  by :

$$\Lambda(x_1, x_2) = \lambda_1 \cdot x_1 + \lambda_2 \cdot x_2.$$

Proposition 4 and Theorem 1 below summarise important for us results obtained in [2] and [4].

**Proposition 4.** *Let  $\Phi_\lambda$  an involutive automorphism of unit ball and  $A = (A_1, A_2)$  a commutative 2-contraction. Then,  $\Phi_\lambda(A)$  is a commutative 2-contraction such that,*

$$(4.1) \quad I - \Phi_\lambda(A)^* \Phi_\lambda(A) = D_\Lambda (1_{\mathcal{H}} - A^* \Lambda)^{-1} (I - A^* A) (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda,$$

$$(4.2) \quad I - \Phi_\lambda(A) \Phi_\lambda(A)^* = D_{\Lambda^*} (1_{\mathcal{H}} - A\Lambda^*)^{-1} (I - AA^*) (1_{\mathcal{H}} - \Lambda A^*)^{-1} D_{\Lambda^*}.$$

**Theorem 1.** *Let  $\Phi_\lambda$  be an involutive automorphism of unit ball and  $A = (A_1, A_2)$  a commutative 2-contraction. Then,*

1. Operators  $\Omega : \mathcal{D}_{\Phi_\lambda(A)} \rightarrow \mathcal{D}_A$  and  $\Omega_* : \mathcal{D}_{\Phi_\lambda(A)^*} \rightarrow \mathcal{D}_{A^*}$  defined by

$$\begin{aligned} \Omega(D_{\Phi_\lambda(A)}(X)) &= D_A (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda(X), \\ \Omega_*(D_{\Phi_\lambda(A)^*}(X)) &= D_{A^*} (1_{\mathcal{H}} - \Lambda A^*)^{-1} D_{\Lambda^*}(X) \end{aligned}$$

*are unitaries.*

2.  $\theta_{\Phi_\lambda(A)}$  and  $\theta_A$  are connected by the relation

$$\Omega_* \theta_{\Phi_\lambda(A)}(z_1, z_2) = \theta_A(\Phi_\lambda(z_1, z_2)) \Omega.$$

It can be shown that:

$$(4.3) \quad (1_{\mathcal{H}} - A\Lambda^*)^{-1} = (1_{\mathcal{H}} - \overline{z_1}A_1 - \overline{z_2}A_2)^{-1},$$

$$(4.4) \quad (1_{\mathcal{H}^2} - \Lambda^*A)^{-1} = \begin{bmatrix} (1_{\mathcal{H}} - \overline{\lambda_1}A_1 - \overline{\lambda_2}A_2)^{-1} (1_{\mathcal{H}} - \overline{\lambda_2}A_2) & \overline{\lambda_2}A_1 \cdot (1_{\mathcal{H}} - \overline{\lambda_1}A_1 - \overline{\lambda_2}A_2)^{-1} \\ \overline{\lambda_1}A_2 \cdot (1_{\mathcal{H}} - \overline{\lambda_1}A_1 - \overline{\lambda_2}A_2)^{-1} & (1_{\mathcal{H}} - \overline{\lambda_1}A_1 - \overline{\lambda_2}A_2)^{-1} (1_{\mathcal{H}} - \overline{\lambda_1}A_1) \end{bmatrix}$$

$$(4.5) \quad D_\Lambda = \frac{1}{|\lambda_1|^2 + |\lambda_2|^2} \times \begin{bmatrix} |\lambda_2|^2 + |\lambda_1|^2 \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} & \overline{\lambda_1}\lambda_2 \left( \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} - 1 \right) \\ \lambda_1 \overline{\lambda_2} \left( \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} - 1 \right) & |\lambda_1|^2 + |\lambda_2|^2 \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} \end{bmatrix}.$$

Using (4.3), one can show that

$$(4.6) \quad \Phi_\lambda(A) = (B_1(\lambda), B_2(\lambda))$$

where

$$\begin{aligned} B_1(\lambda) &= \lambda_1 \cdot 1_{\mathcal{H}} - \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} (1_{\mathcal{H}} - \overline{\lambda_1}A_1 - \overline{\lambda_2}A_2)^{-1} \\ &\quad \times \left\{ A_1 \left( |\lambda_2|^2 + |\lambda_1|^2 \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} \right) \right. \\ &\quad \left. + \lambda_1 \overline{\lambda_2} A_2 \left( \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} - 1 \right) \right\}, \end{aligned}$$

$$\begin{aligned} B_2(\lambda) &= \lambda_2 \cdot 1_{\mathcal{H}} - \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} (1_{\mathcal{H}} - \overline{\lambda_1}A_1 - \overline{\lambda_2}A_2)^{-1} \\ &\quad \times \left\{ \overline{\lambda_1}\lambda_2 A_1 \left( \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} - 1 \right) \right. \\ &\quad \left. + A_2 \left( |\lambda_1|^2 + |\lambda_2|^2 \sqrt{1 - |\lambda_2|^2 - |\lambda_1|^2} \right) \right\}. \end{aligned}$$

Formulas (4.3), (4.4), and (4.5) allow us to find the explicit forms of operators  $\Phi_\lambda(A)$ ,  $\Omega$  and  $\Omega_*$ . On the other hand, from (4.2) follows that if  $D_{A^*}$  is one to one, then  $D_{\Phi_\lambda(A)^*}$  is also one to one. Using Theorem 1, one can obtain the following characterization for Taylor spectrum of  $\Phi_\lambda(A)$  in terms of solutions of equations

$$\theta_A(z_1, z_2) D_A(X) = 0 \quad \text{and} \quad (\theta_A(z_1, z_2))^* D_{A^*}(y) = 0.$$

**Proposition 5.**  $\Phi_\lambda(z_1, z_2) \in \sigma_T^{(1)}(\Phi_\lambda(A))$  if and only if equation  $\theta_A(z_1, z_2) D_A(X) = 0$  admits at least two nontrivial solutions  $X_1$  and  $X_2$  such that,

$$X_1 = (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda \begin{pmatrix} y \\ 0 \end{pmatrix}, \quad X_2 = (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda \begin{pmatrix} 0 \\ y \end{pmatrix}, \quad y \in \mathcal{H}.$$

**Proof.** According to Proposition 3,  $\Phi_\lambda(z_1, z_2) \in \sigma_T^{(1)}(\Phi_\lambda(A))$  if and only if there exists a nonnul vector  $y \in \mathcal{H}$  such that,

$$Y_1 = \begin{pmatrix} y \\ 0 \end{pmatrix}, \quad Y_2 = \begin{pmatrix} 0 \\ y \end{pmatrix}$$

are solutions of equation

$$\theta_{\Phi_\lambda(A)}(\Phi_\lambda(z_1, z_2)) D_{\Phi_\lambda(A)}(Y) = 0.$$

Using Theorema 1 and the fact that  $\Phi_\lambda$  is involutive, it is equivalent to the existence of a nonnul vector  $y \in \mathcal{H}$  such that,

$$Y_1 = \begin{pmatrix} y \\ 0 \end{pmatrix}, \quad Y_2 = \begin{pmatrix} 0 \\ y \end{pmatrix}$$

are solutions of equation

$$\Omega_*^{-1} \theta_A(z_1, z_2) \Omega D_{\Phi_\lambda(A)}(Y) = \Omega_*^{-1} \theta_A(z_1, z_2) D_A \left( (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda(Y) \right) = 0$$

which is equivalent to the equation

$$\theta_A(z_1, z_2) D_A \left( (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda(Y) \right) = 0.$$

□

**Corollary 1.** *Let  $(z_1, z_2) \in \mathbb{C}^2$ . Then,  $(z_1, z_2) \in \sigma_T^{(1)}(A)$  and  $\Phi_\lambda(z_1, z_2) \in \sigma_T^{(1)}(\Phi_\lambda(A))$  if and only if there exists two nonnul vectors  $x$  and  $y$  in  $\mathcal{H}$  such that vectors:*

$$X_1 = \begin{pmatrix} x \\ 0 \end{pmatrix}, \quad X_2 = \begin{pmatrix} 0 \\ x \end{pmatrix},$$

$$Y_1 = (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda \begin{pmatrix} y \\ 0 \end{pmatrix} \quad \text{and} \quad Y_2 = (1_{\mathcal{H}} - \Lambda^* A)^{-1} D_\Lambda \begin{pmatrix} 0 \\ y \end{pmatrix}$$

are both solutions of equation

$$\theta_A(z_1, z_2) D_A(X) = 0.$$

**Proposition 6.** *Let  $(z_1, z_2) \in \mathbb{C}^2$ . Assume that equation  $(\theta_A(z_1, z_2))^* D_{A^*}(y) = 0$  admits at least one non trivial solution. Then,*

$$\Phi_\lambda(z_1, z_2) \in \sigma_T^{(3)}(\Phi_\lambda(A)).$$

**Proof.** Note at first that operator  $D_{\Lambda^*}$  is invertible. Since  $\Phi_\lambda$  is involutive then, according Theorem 1,

$$(\theta_A(z_1, z_2))^* D_{A^*}(y) = 0$$

$$\Leftrightarrow (\theta_A(\Phi_\lambda(\Phi_\lambda(z_1, z_2))))^* D_{A^*}(y) = 0$$

$$\Leftrightarrow (\Omega_* \theta_{\Phi_\lambda(A)}(\Phi_\lambda(z_1, z_2)) \Omega^{-1})^* D_{A^*}(y) = 0$$

$$\Leftrightarrow \Omega(\theta_{\Phi_\lambda(A)} \Phi_\lambda(z_1, z_2))^* \Omega_*^{-1} D_{A^*}(y) = 0$$

$$\Leftrightarrow (\theta_{\Phi_\lambda(A)} \Phi_\lambda(z_1, z_2))^* \Omega_*^{-1} D_{A^*}(y) = 0$$

$$\Leftrightarrow (\theta_{\Phi_\lambda(A)} \Phi_\lambda(z_1, z_2))^* \Omega_*^{-1} D_{A^*} \left( (1_{\mathcal{H}} - \Lambda A^*)^{-1} D_{\Lambda^*} D_{\Lambda^*}^{-1} (1_{\mathcal{H}} - \Lambda A^*) y \right) = 0$$

$$\Leftrightarrow (\theta_{\Phi_\lambda(A)} \Phi_\lambda(z_1, z_2))^* D_{\Phi_\lambda(A)^*} (D_{\Lambda^*}^{-1} (1_{\mathcal{H}} - \Lambda A^*) y) = 0$$

$$\Leftrightarrow (\theta_{\Phi_\lambda(A)} \Phi_\lambda(z_1, z_2))^* D_{\Phi_\lambda(A)^*}(X) = 0,$$

where

$$X = D_{\Lambda^*}^{-1} (1_{\mathcal{H}} - \Lambda A^*) y.$$

Since  $y$  is nonnul then,  $X = D_{\Lambda^*}^{-1} (1_{\mathcal{H}} - \Lambda A^*) y$  is also nonnul and according Proposition 3, it follows that

$$\Phi_\lambda(z_1, z_2) \in \sigma_T^{(3)}(\Phi_\lambda(A_1, A_2)).$$

□

**Proposition 7.**  $\Phi_\lambda(z_1, z_2) \in \sigma_T^{(2)}(A_1, A_2)$  if and only if the equation  $\theta_A(z_1, z_2) D_A(X) = 0$  admits at least one solution  $X$  such that

$$X \neq \begin{pmatrix} B_1(\lambda)h - w_1.h \\ B_2(\lambda)h - w_2.h \end{pmatrix}, \quad \forall h \in \mathcal{H}$$

where  $(w_1, w_2) = \Phi_\lambda(z_1, z_2)$ .

Proof. It follows immediately from Proposition 2. □

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