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Weighted limit
of the Caratheodory metric
in a h-extendible boundary point

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Weighted limit of the Caratheodory metric in a h -extendible boundary point of a smooth bounded pseudoconvex domain in \mathbb{C}^n

NIKOLAI NIKOLOV

1 Introduction

I. Graham [8] obtained weighted boundary limits of the Caratheodory and the Kobayashi metrics for strongly pseudoconvex domains. Later on, D. Ma [12] refined Graham's results.

The sharp bounds in terms of small/large constants for these metrics of smooth bounded pseudoconvex domains of finite type in \mathbb{C}^2 , smooth bounded convex domains of finite type in \mathbb{C}^n and decoupled domains in \mathbb{C}^n were obtained by D. Catlin [4], J.-H. Chen [5] and G. Herbort [9], respectively. Particular cases of these results are contained in the papers [1] of E. Bedford and J. E. Fornæss and [13] of M. R. Range. In this paper we study the nontangential limit of the Caratheodory metric $C_D(z, X)$ in a h -extendible boundary point z_0 of a smooth bounded pseudoconvex domain D in \mathbb{C}^n . A boundary point is said to be h -extendible [14] (or semiregular [7]), if Catlin's multitype [3] coincides with D'Angelo's type [6]. This class of points includes points of finite type in \mathbb{C}^2 , convex points of finite type in \mathbb{C}^n and decoupled points in \mathbb{C}^n .

Our main result is in the spirit of the Yu results [15] for the generalized Kobayashi-Royden metrics and the Boas-Straube-Yu ones [2] for the Bergman kernel, metric and curvature. We associate to D and z_0 a model domain E . We prove that, when $z \rightarrow z_0$ in a nontangential cone in D with vertex at z_0 , the ratio $C_D(z, X)/C_E(\hat{z}_0, \hat{X}) \rightarrow 1$, where \hat{z}_0 is a fixed interior point of E and the vector \hat{X} depends on X and $\text{dist}(z, \partial D)$.

It is shown in Lemma 4 that the model E is c -hyperbolic. As consequences we obtain sharp lower and upper nontangential bounds for the Caratheodory metric of D near z_0 and of small perturbations of E , which recover the aforementioned results in [4, 5, 9], when $z \rightarrow z_0$ in a cone.

We also compute the Caratheodory metric of a class of models. As a consequence we get a precise weighted limit of this metric in a strongly pseudoconvex boundary point of a C^∞ -smooth weakly pseudoconvex domain. D. Ma [12] obtained a similar result for strongly pseudoconvex domains of class C^2 (using the Henkin-Ovrelid L^∞ estimates for $\bar{\partial}$).

To prove our main result, we associate to each closed to z_0 point $z \in D$ domains $E_{\pm z}$ and a neighbourhood U_z of z_0 with $E_{+z} \cap U_z \subset D \cap U_z \subset E_{-z}$ (more precisely, we consider biholomorphic images of D instead of D itself). Applying a scaling method, we obtain in Lemma 2 the stability results $\lim_{z \rightarrow z_0} C_{E_{\pm z} \cap U_z}(z, X)/C_E(\hat{z}_0, \hat{X}) = 1$. Using, in addition, $\bar{\partial}$ -technique, Kohn's global regularity and a certain bumping property equivalent to h -extendibility, we get in Lemma 3 the following localization result: $\liminf_{z \rightarrow z_0} C_D(z, X)/C_{E_{-z} \cap U_z}(z, X) \geq 1$. (Thus we overcome the difficulty that L^∞ estimates for $\bar{\partial}$ do not exist in general.) These facts and the inequality $C_D(z, X) \leq C_{E_{+z} \cap U_z}(z, X)$ prove our main result.

The method, described above, can be also applied to the generalized Kobayashi-Royden metrics, the Bergman and another invariants.

2 Definitions and statement of the main result

Let D be a domain in \mathbb{C}^n , $z \in D$ and $X \in \mathbb{C}^n$. Denote by $H(D)$ the space of holomorphic functions on D . The Caratheodory metric is defined by

$$C_D(z, X) = \sup\{|Xf| : f \in H(D), \sup_D |f| \leq 1 \text{ and } f(z) = 0\}.$$

Note that the condition $f(z) = 0$ is superfluous.

Let z_0 be a smooth boundary point of D . The Catlin multitype [3] of z_0 is a certain biholomorphically invariant n -tuple of rational numbers (m_1, m_2, \dots, m_n) with $1 = m_1$ and $2 \leq m_2 \leq m_3 \leq \dots \leq m_n \leq \infty$. It follows from the main theorem of [3] that $m_{n+1-k} \leq \Delta_k$ for each $1 \leq k \leq n$, where Δ_k denotes the D'Angelo k -type [6] of z_0 (roughly speaking the maximal order of contact of k -dimensional varieties with the boundary of D at z_0).

The point z_0 is said to be h -extendible [14] (or semiregular [7]) if $m_{n+1-k} = \Delta_k < \infty$ for each $1 \leq k \leq n$.

Let the multitype of z_0 be finity, i.e. $m_n < \infty$ and let $r = r(z)$ be a local defining function for D near z_0 . By the definition of Catlin's multitype there are local coordinates $w = \Phi(z)$ near z_0 such that $\Phi(z_0) = 0$ and

$$r(w) = r_0 \Phi^{-1}(w) = Rew_1 + P('w) + O(\sigma^{1+\alpha}(w))$$

for some positive constant α . Here $'w = (w_2, \dots, w_n)$, $\sigma(w) = |w_1| + |w_2|^{m_2} + \dots + |w_n|^{m_n}$ and $P = P_{r, \Phi}$ is a $(1/m_2, \dots, 1/m_n)$ -homogeneous polynomial with no pluriharmonic terms, i.e. $P \circ \pi_t('w) = tP('w)$ for each $t > 0$ and $'w \in \mathbb{C}^n$, where $\pi_t(w) = (tw_1, t^{1/m_2}w_2, \dots, t^{1/m_n}w_n)$.

If D is pseudoconvex near z_0 , then P must be plurisubharmonic (hence each $m_k, 2 \leq k \leq n$, is even). Then the definition of h -extendible point is equivalent to each of the following two conditions [14, 7]:

I: There is a $(1/m_2, \dots, 1/m_n)$ -homogeneous, strictly plurisubharmonic, C^∞ -smooth function \tilde{P} on $\mathbb{C}^{n-1} \setminus \{0\}$ such that $\tilde{P} < P$ on $\mathbb{C}^{n-1} \setminus \{0\}$.

II: The boundary point 0 of the domain $E = E_{r, \Phi} = \{w : Rew_1 + P('w) < 0\}$ is of finite type, i.e. $\Delta_1 < \infty$ (hence each boundary point of E is of finite type).

The function $P - \tilde{P}$ is called a bumping and the domain E - a model of D at z_0 .

We shall prove the following result.

Theorem: *Let D be a smooth bounded pseudoconvex domain in \mathbb{C}^n . and let $E = E_{r, \Phi}$ be a model of D at a h -extendible boundary point z_0 . If Λ is a cone with vertex at z_0 and axis the interior normal to ∂D at z_0 , then*

$$\lim_{z \rightarrow z_0, z \in \Lambda} \frac{C_D(z, X)}{C_E(\hat{z}_0, \hat{X})} = 1$$

uniformly in all vector fields X , where $\hat{z}_0 = (-1, '0)$ and $\hat{X} = (\pi_{1/r(z)})_ \Phi_* X$.*

Note that, since ∂D is smooth, there is a neighbourhood V of z_0 that $\Lambda \cap V \subset D$. So, the above limit makes sense.

The nontangential approaching can not be removed in general. It is essential even in the simplest case, when D is a ball [8].

It is not a priori obvious that $C_E(\hat{z}_0, \hat{X}) \neq 0$. In Lemma 4 we prove an estimate for C_E , which implies that E is c -hyperbolic.

3 Proof of the main result by several lemmas

Proof: We shall use [15] that there exist a holomorphic polynomial $Q('w)$ and a real-valued polynomial $S('w)$ such that $Q('w) = O(|'w|^2)$, $S('w) = 0$ and, if $v = \Psi(w) = (w_1 + Q('w), 'w)$, $r'(w) = r(w)(1 + S(w))$, then

$$r'(v) = r' \circ \Psi^{-1}(u) = Re v_1 + P('v) + O(|v_1|^2 + \sigma^{1+2\gamma}('v))$$

for some positive constant γ .

Let us perform the change of variables $u = \Psi_{\pm}(v) = (v_1 \pm A v_1^2, 'v)$. Set

$$r'_{\pm}(v) = r(v)(1 \pm 2A(Re v_1 - P('v))), r_{\pm}(u) = r'_{\pm} \circ \Psi_{\pm}^{-1}(u), \Phi_{\pm} = \Psi_{\pm} \circ \Psi \circ \Phi, U_{\epsilon, \delta} = \{u : |u_1| < \delta, \sigma('u) < \epsilon\}.$$

We may choose a positive constants a and A such that $\Phi_{\pm}(D \cap \Phi_{\pm}^{-1}(U_{a, a})) = \{u \in U_{a, a} : r_{\pm}(u) < 0\}$, where

$$r_{\pm}(u) = Re u_1 + P('u) + R_{\pm}(u),$$

$$R_{-}(u) \leq (\sigma^{1+\gamma}('u) - A|u_1|^2)/2 \text{ and } R_{+}(u) \geq (A|u_1|^2 - \sigma^{1+\gamma}('u))/2 \text{ in } U_{a, a}.$$

Set

$$E_{\pm\epsilon} = \{u : Re u_1 + P('u) \pm \epsilon^{\gamma} \sigma('u) < 0\} \text{ and}$$

$$F_{-\epsilon} = \{u : Re u_1 + P('u) + A|u_1|^2/3 - \epsilon^{\gamma} \sigma('u) < 0\}.$$

Note that for each $0 < \epsilon \leq a$ and $0 < \delta \leq a$ we have

$$\Phi_{+}(D \cap \Phi_{+}^{-1}(U_{\epsilon, \delta})) \subset F_{-\epsilon} \subset E_{-\epsilon} \text{ and } \Phi_{-}(D \cap \Phi_{-}^{-1}(U_{\epsilon, \delta})) \supset E_{+\epsilon} \cap U_{\epsilon, \delta}.$$

We need of the following lemmas.

Lemma 1: *If f and g are positive functions with $\lim_{u \rightarrow 0} f(u)/g(u) = 1$, then*

$$\lim_{u \rightarrow 0} \frac{C_E(\dot{z}_0, \pi_{g_{\bullet}} \Psi_{\pm_{\bullet}} \Psi_{\bullet} Y)}{C_E(\dot{z}_0, \pi_{f_{\bullet}} Y)} = 1$$

uniformly in all $Y \in \mathbb{C}^n$.

Lemma 2: *If $u \rightarrow 0$ such that $Re u_1 < 0$ and $\sigma('u)/Re u_1 \rightarrow 0$, and $\epsilon = \epsilon(u)$ is such that $\sigma('u) < \epsilon$ and $\epsilon^{1+\gamma}/Re u_1 \rightarrow 0$, then all sufficiently small $u \in E_{-\epsilon} \cap U_{\epsilon, \infty}$ and*

$$\liminf_{u \rightarrow 0} \frac{C_{E_{-\epsilon} \cap U_{\epsilon, \infty}}(u, Y)}{C_E(\dot{z}_0, (\pi_{-1/Re u_1})_{\bullet} Y)} \geq 1$$

uniformly in all vector fields Y .

If, in addition, $Re u_1/\epsilon \rightarrow 0$ and $\delta = \delta(u)$ is such that $|Im u_1| < \delta$ and $Re u_1/(\delta - |Im u_1|) \rightarrow 0$, then all sufficiently small $u \in E_{+\epsilon} \cap U_{\epsilon, \delta}$ and

$$\limsup_{u \rightarrow 0} \frac{C_{E_{+\epsilon} \cap U_{\epsilon, \delta}}(u, Y)}{C_E(\dot{z}_0, (\pi_{-1/Re u_1})_{\bullet} Y)} \leq 1$$

uniformly in all vector fields Y .

Lemma 3: *If $u \rightarrow 0$ and $\epsilon = \epsilon(u)$ is such that $\sigma_{\ln \epsilon/\epsilon}('u) \rightarrow 0$, $Re u_1 \ln \epsilon/\epsilon \rightarrow 0$ and $u \in F_{-\epsilon} \cap W_{\epsilon}$, then $\Phi_{+}^{-1}(u) \in D$ for all sufficiently small u , and*

$$\liminf_{u \rightarrow 0} \frac{C_D(\Phi_{+}^{-1}(u), \Phi_{+}^{-1} Y)}{C_{F_{-\epsilon} \cap W_{\epsilon}}(u, Y)} \geq 1$$

uniformly in all vector field Y , where $W_\epsilon = U_{\sqrt{\epsilon}, \epsilon}$.

Lemma 4: *There exists a positive constant c such that for any sufficiently small ϵ it holds*

$$C_{E_{-\epsilon}}(u, Y) \geq c \|(\pi_{1/\sigma(Reu_1, u)})_* Y\|$$

for all $u \in E_{-\epsilon}$ and $Y \in \mathbb{C}^n$.

Consequently, if $b\sigma(u) \max_{\sigma(u)=1} P(\cdot) \leq -Reu_1$ ($b > 1$), there exist a positive constant c' and C such that

$$c' \leq C_{E_{-\epsilon}}(u, Y) \|(\pi_{-1/Reu_1})_* Y\| \leq C$$

for all $u \in E_{-\epsilon}$ and $Y \in \mathbb{C}^n$. (Since $P \neq 0$ is plurisubharmonic, the maximum is positive, hence, $u \in E$ and $Reu_1 < 0$.)

Now we ready to prove the theorem. Note that there is a neighbourhood V of z_0 and a cone $\Gamma = \{u : Reu_1 + b\|u\| < 0\}$ ($0 < b < 1$) such that $\Lambda \cap V \subset D$ and $\Phi_\pm(\Lambda \cap V) \subset \Gamma$. Let $z \in \Lambda \cap V$. Set $\delta = a, u^\pm = \Phi_\pm(z)$ and $\epsilon = (-Reu_1^\pm)^{1-\gamma/2}$. We may assume $\gamma < 2$. Then, since $u^\pm \rightarrow 0$ and $u^\pm \in \Gamma$, the conditions of the Lemmas 2 and 3 satisfied. Using that $\lim_{u^\pm \rightarrow 0} r \circ \Phi_\pm^{-1}(u^\pm)/r_\pm(u^\pm) = 1$ and

$\lim_{u^\pm \rightarrow 0, u^\pm \in \Gamma} r_\pm(u^\pm)/Reu_1^\pm = 1$, we obtain

$$\begin{aligned} \liminf_{z \rightarrow z_0, z \in \Lambda} \frac{C_D(z, X)}{C_E(\hat{z}_0, \hat{X})} &= \liminf_{z \rightarrow z_0, z \in \Lambda} \frac{C_D(z, X)}{C_E(\hat{z}_0, (\pi_{-1/Reu_1^+})_* \Phi_{+*} X)} \text{ by Lemma 1} \\ &\geq \liminf_{z \rightarrow z_0, z \in \Lambda} \frac{C_D(z, X)}{C_{E_{-\epsilon} \cap U_{\epsilon, \delta}}(u_+, \Phi_{+*} X)} \text{ by Lemma 2} \\ &\geq 1 \text{ by } F_{-\epsilon} \subset E_{-\epsilon} \text{ and Lemma 3.} \end{aligned}$$

Similarly,

$$\begin{aligned} \limsup_{z \rightarrow z_0, z \in \Lambda} \frac{C_D(z, X)}{C_E(\hat{z}_0, \hat{X})} &= \limsup_{z \rightarrow z_0, z \in \Lambda} \frac{C_D(z, X)}{C_E(\hat{z}_0, (\pi_{-1/Reu_1^-})_* \Phi_{-*} X)} \text{ by Lemma 1} \\ &\leq \limsup_{z \rightarrow z_0, z \in \Lambda} \frac{C_D(z, X)}{C_{E_{+\epsilon} \cap U_{\epsilon, \delta}}(u_-, \Phi_{-*} X)} \text{ by Lemma 2} \\ &\leq 1 \text{ since } \Phi_-^{-1}(E_{+\epsilon} \cap U_{\epsilon, \delta}) \subset D. \end{aligned}$$

The theorem is proved.

4 Proof of the lemmas

Proof of Lemma 1: Let $Y = \sum_{j=1}^n y_j \frac{\partial}{\partial u_j}(0)$. Then $Y_1(u) = \pi_{j*} Y = \sum_{j=1}^n f^{1/m_j}(u) y_j \frac{\partial}{\partial u_j}(0)$ and $Y_2(u) =$

$\pi_{g*} \Psi_{\pm*} \Psi_* Y = g(u)(1 + o(1)) y_1 \frac{\partial}{\partial u_1}(0) + \sum_{j=2}^n g^{1/m_j}(u) y_j \frac{\partial}{\partial u_j}(0)$. Since the Caratheodory pseudometric is

homogenic, subadditive, continuous and hyperbolic on E (Lemma 4), the lemma follows from the equality $\lim_{u \rightarrow 0} (Y_1(u) - Y_2(u))/\|Y_1(u)\| = 0$.

Proof of Lemma 2: The inclusions are obviously.

Fix for a moment the point u . Since π_t ($t > 0$) is an automorphism of $E_{\pm\epsilon}$, we have $\pi_{-1/Reu_1}(E_{\pm\epsilon} \cap U_{\epsilon,\delta}) = E_{\pm\epsilon} \cap \tilde{U}_{\epsilon,\delta}$, where $\tilde{U}_{\epsilon,\delta} = \pi_{-1/Reu_1}(U_{\epsilon,\delta})$. Set

$$\tilde{E}_{\pm\epsilon} = \{v : Rev_1 + P('v) \mp \epsilon^{1+\gamma}/Reu_1 < 0\}.$$

Using that $E_{-\epsilon} \cap \tilde{U}_{\epsilon,\infty} \subset \tilde{E}_{-\epsilon}$ and $\tilde{E}_{+\epsilon} \cap \tilde{U}_{\epsilon,\delta} \subset E_{+\epsilon}$, we obtain

$$C_{E_{-\epsilon} \cap U_{\epsilon,\infty}}(u, Y) \geq C_{\tilde{E}_{-\epsilon}}(\tilde{u}, \tilde{Y}) \text{ and } C_{E_{+\epsilon} \cap U_{\epsilon,\delta}}(u, Y) \leq C_{\tilde{E}_{+\epsilon} \cap \tilde{U}_{\epsilon,\delta}}(\tilde{u}, \tilde{Y}),$$

where $\tilde{u} = \pi_{-1/Reu_1}(u) = (-1 - iImu_1/Reu_1, \pi_{-1/Reu_1}('u))$ and $\tilde{Y} = (\pi_{-1/Reu_1})_* Y$.

Since $\Omega_{\pm\epsilon}(v) = (v_1 + (iImu_1 \mp \epsilon^{1+\gamma})/Reu_1, 'v)$ is a biholomorphic mapping from $\tilde{E}_{-\epsilon}$ to E , it follows that

$$C_{\tilde{E}_{-\epsilon}}(\tilde{u}, \tilde{Y}) = C_E(\hat{z}_{-\epsilon}, \tilde{Y}) \text{ and } C_{\tilde{E}_{+\epsilon} \cap \tilde{U}_{\epsilon,\delta}}(\tilde{u}, \tilde{Y}) = C_{E \cap V_{\epsilon,\delta}}(\hat{z}_{+\epsilon}, \tilde{Y}),$$

where $V_{\epsilon,\delta} = \Omega_{\epsilon}(\tilde{U}_{\epsilon,\delta}) = \{v : |v_1 + iImu_1/Reu_1| < -\delta/Reu_1, \sigma('v) < -\epsilon/Reu_1\}$ and $\hat{z}_{\pm\epsilon} = \Omega_{\pm\epsilon}(\tilde{u}) = (-1 \pm \epsilon^{1+\gamma}/Reu_1, \tilde{u})$.

Since $\sigma('u) = -\sigma('u)/Reu_1 \rightarrow 0$ and $\epsilon^{1+\gamma}/Reu_1 \rightarrow 0$, we have $\hat{z}_{\pm\epsilon} \rightarrow \hat{z}_0$. Then

$$C_E(\hat{z}_{-\epsilon}, \tilde{Y}) \rightarrow C_E(\hat{z}_0, \tilde{Y})$$

by the continuity of the Carathedory metric. This prove the first inequality of the lemma.

To obtain the second inequality, note that $V_{\epsilon,\delta} \subset \{v : |v_1| < (|Imu_1| - \delta)/Reu_1, \sigma('v) < -\epsilon/Reu_1\}$. Then it is not difficult to prove by normal family arguments that

$$C_{E \cap V_{\epsilon,\delta}}(\hat{z}_{+\epsilon}, \tilde{Y}) \rightarrow C_E(\hat{z}_0, \tilde{Y})$$

under the assumptions of the lemma. This completes its proof.

Proof of Lemma 3: The inclusion is obviously.

We shall use the existence of a holomorphic function q on \mathbb{C}^{n-1} with $q('0) = 1$ and $|q('u)| \leq C_1 \exp(P('u) - \gamma_1 \sigma('u))$ for some positive constants C_1 and γ_1 [14, 15]. (Its construction is given in the proof of Lemma 4.) It is shown in Lemma 5.3 [7] (see also Theorem 3.4 [14]) that there exist a real number s , $0 < s < 1$, such that the function $p = (1 - s) \sum_{k=0}^{\infty} s^k (\exp(\cdot) q) \circ \pi_{2^k}$ is peak for $E_{-\epsilon}$ at the point 0 ($\epsilon^\gamma < \gamma_1$).

Let $\epsilon \leq \epsilon_0 := \min(1/2, (\gamma_1/2)^{1/\gamma}, a^2)$. Let f be an arbitrary holomorphic function on $F_{-\epsilon} \cap W_\epsilon$ with $\sup_{F_{-\epsilon} \cap W_\epsilon} |f| \leq 1$. Let χ be a C^∞ -smooth function on \mathbb{C}^n such that $\chi \equiv 0$ on $W_{1/2}$ and $\chi \equiv 1$ on $W_{1/3}$. Set $\chi_\epsilon(u) = \chi(u_1/\sqrt{\epsilon}, \pi_{1/\epsilon}('u))$, $p_\epsilon(u) = p \circ \pi_{-m \ln \epsilon/\epsilon}(u)$ (the positive number m we shall choose later) and $g_\epsilon = fp_\epsilon \bar{\partial} \chi_\epsilon$.

Set $G_\epsilon = \Phi_+(D \cap \Phi_+^{-1}(W_{\epsilon/2}) \setminus W_{\epsilon/3})$. Since $G_\epsilon \subset \subset F_{-\epsilon} \cap W_\epsilon$, we may extend trivially $\tilde{g}_\epsilon = g_\epsilon \circ \Phi_+$ as a C^∞ -smooth $\bar{\partial}$ -closed $(0, 1)$ -form on the whole D .

By Kohn's global regularity [11] and Sobolev's Lemma, there is a C^∞ -smooth function h_ϵ on D with $\bar{\partial} h_\epsilon = \tilde{g}_\epsilon$ and $\|h_\epsilon\|_{C^1(D)} \leq C_2 \|\tilde{g}_\epsilon\|_{C^{n+1}(D)}$ for some positive constant C_2 , which depends only on D . Here $\|h\|_{C^k(D)} = \max \sup_D \mathcal{D}h$, where maximum is taken on all differentiation \mathcal{D} in z and \bar{z} of order at most k and $\|\tilde{g}\|_{C^k(D)}$ denotes the greatest of the norms of the coefficients of \tilde{g} .

It is not difficult to see that

$$\|\tilde{g}_\epsilon\|_{C^{n+1}(D)} \leq (n+1)! \|g_\epsilon\|_{C^{n+1}(G_\epsilon)} \max_{1 \leq k \leq n+1} \|\Psi\|_{C^{n+1}(\Phi_+^{-1}(W_{\epsilon/2}))}^k.$$

Using the Leibniz formula, we obtain

$$\|g_\epsilon\|_{C^{n+1}(G_\epsilon)} \leq 2^{n+1} \|p_\epsilon\|_{C^{n+1}(G_\epsilon)} \|\bar{\partial} \chi_\epsilon\|_{C^{n+1}(W_{\epsilon/2})} \leq 2^{n+1} \|p_\epsilon\|_{C^1(G_\epsilon)} \|\epsilon^{-2} \|\bar{\partial} \chi_\epsilon\|_{C^{n+1}(\mathbb{C}^n)}.$$

The Cauchy inequalities show that

$$\|p_\epsilon\|_{C^{n+1}(G_\epsilon)} \leq (n+1)! \text{dist}^{-n-1}(G_\epsilon, \partial(F_{-\epsilon} \setminus W_{\epsilon/4})) \sup_{F_{-\epsilon} \setminus W_{\epsilon/4}} |p_\epsilon|.$$

It follows from the definitions of G_ϵ , $F_{-\epsilon}$ and $W_{\epsilon/4}$ that there is a positive constant C_3 such that $\text{list}(G_\epsilon, \partial(F_{-\epsilon} \setminus W_{\epsilon/4})) \geq C_3 \epsilon^{1+\gamma}$.

On other hand,

$$\begin{aligned} \sup_{F_{-\epsilon} \setminus W_{\epsilon/4}} |p_\epsilon| &\leq C_1 \sup_{F_{-\epsilon} \setminus W_{\epsilon/4}} \exp((\gamma_1 \sigma'(u) - P'(u) - \text{Re}u_1)m \ln \epsilon / \epsilon) \\ &\leq C_1 \sup_{\mathbb{C}^n \setminus W_{\epsilon/4}} \exp(((\gamma_1 - \epsilon^\gamma)\sigma'(u) + A|u_1|^2/3)m \ln \epsilon / 2\epsilon) \leq C_1 \epsilon^{mC_4}, \end{aligned}$$

where $4C_4 = \min(\gamma_1/2, A/3)$.

Now it is clear that we may choose sufficiently large m such that $|h_\epsilon|_{C^1(D)} \leq \epsilon$ for each $\epsilon \leq \epsilon_0$.

Set $f_\epsilon = (fp_\epsilon \chi_\epsilon) \circ \Phi_+ - h_\epsilon$. We have that f_ϵ is a holomorphic function on D and $\sup_D |f_\epsilon| \leq 1 + \epsilon$. Moreover, if $u \in W_{\epsilon/3}$ and $f(u) = 0$ then

$$|\Phi_+^{-1} Y f_\epsilon| = |p_\epsilon(u) Y_\bullet f - \Phi_+^{-1} Y h_\epsilon| \leq |p_\epsilon(u)| \cdot |Y_\bullet f| - \|D\Phi_+^{-1}(u)\| n^{3/2} \|Y\| \epsilon.$$

Here $\|D\Phi_+^{-1}(u)\|$ denotes the greatest of the partial derivatives of Φ_+^{-1} in the point u . Since f is arbitrary, we conclude that

$$|p_\epsilon(u)| C_{F_{-\epsilon} \cap W_\epsilon}(u, Y) \leq (1 + \epsilon) C_D(\Phi_+^{-1}(u), \Phi_+^{-1} Y) + \|D\Phi_+^{-1}(u)\| n^{3/2} \|Y\| \epsilon.$$

It follows from the hypothesis of the lemma that $|p_\epsilon(u)| \rightarrow 1$. Using Lemma 4, we obtain that $\lim_{u \rightarrow 0} \|Y\| / C_{F_{-\epsilon}}(u, Y) = 0$. Now we get the needfull inequality letting $u \rightarrow 0$.

Proof of Lemma 4: Since $\pi_\epsilon(u)$ and $T_r(u) = (u_1 + ir, 'u)$ (r is a real number) are automorphisms of $E_{-\epsilon}$, it suffices to prove that $C_{E_{-\epsilon}}(u, Y) \geq c$ on the set $\{(u, Y) : \sigma(u) = 1, \|Y\| = 1\}$ and $C_E(u, Y) \leq C$ on the set $\{(u, Y) : u_1 = -1, b\sigma'(u) \max_{\sigma'(u)=1} P(\cdot) \leq 1, \|Y\| = 1\}$.

Since the second set is a compact subset of $E \times \mathbb{C}^n$, the second inequality follows from the continuity of the Caratheodory metric.

Now we shall prove the first inequality. Set $g('u) = \exp(\sum_{k=2}^n u_k \bar{y}_k) + 2\bar{y}_1 - 1$. Let χ be a C^∞ -smooth function on \mathbb{C}^{n-1} with $\chi('u) = 0$ if $\| 'u \| \geq 3/4$ and $\chi('u) = 1$ if $\| 'u \| \leq 1/2$. Since the function $\varphi = \bar{P} + (2n+2) \ln |'u|$ is plurisubharmonic, there is a C^∞ -smooth function f with $\bar{\partial} f = \bar{\partial}(\chi g)$ and $\int_{\mathbb{C}^{n-1}} |f|^2 e^{-2\varphi} (1 + |'u|^2)^{-2} \leq 2 \int_{\mathbb{C}^{n-1}} |\bar{\partial}(\chi g)|^2 e^{-2\varphi}$ [9]. It can be shown in the same way as in the proof of Theorem 3.4 [14] (see also Theorem 3.11 [15]), that $|f|^2 \leq C_1 \exp(P - \gamma_1 \sigma')$ for some positive constants C_1 and γ_1 . Moreover, it follows from the convergence of the integral that $f('0) = \partial f('0) = 0$. Set $h(u) = \exp(\text{Re}u_1/2)(f - \chi g)('u)$. Then h is a holomorphic function on \mathbb{C}^n , $|Yh(0)| = \|Y\|^2$ and, if $\epsilon^\gamma < \gamma_1$, $\sup_{E_{-\epsilon}} |h| \leq C_2$ for some constant C_2 . By continuity there is a positive constant a such that $|Yh(u)| \geq \|Y\|^2/2$ if $\sigma(u) \leq a$. Set $h_1 = h \circ \pi_{1/a}/C_1$. Then h_1 is a holomorphic function on \mathbb{C}^n , $\sup_{E_{-\epsilon}} |h_1| \leq 1$ and $|Yh_1(u)| \geq a\|Y\|^2/2C_1$ if $\sigma(u) \leq 1$. This ends the proof of Lemma 4.

5 Corollaries and explicit formulas

We obtain immediately from c -hyperbolicity of E and the continuity of the Caratheodory metric the following sharp bounds for $C_D(z, X)$ (in terms of small/large constans).

Corollary 1: *Under the assumption of the theorem, there exist positive constants c and C such that*

$$c \leq \liminf_{z \rightarrow z_0, z \in \Lambda} C_D(z, X) / \|\hat{X}\| \leq \limsup_{z \rightarrow z_0, z \in \Lambda} C_D(z, X) / \|\hat{X}\| \leq C$$

uniformly in all vector fields X .

If the vector field X is nontangencial to ∂D at z_0 , the following refinement of the theorem holds.

Corollary 2: *Under the assumptions of the theorem, we have*

$$(i) \quad \lim_{z \rightarrow z_0, z \in \Lambda} C_D(z, X)r(z)/C_E(\hat{z}_0, Y_1) = 2\|\bar{\partial}r(z_0)\|$$

uniformly in all vector fields X , for which $\|X\| \leq c\|X_n\|$ ($0 < c \leq 1$); here X_n denotes the normal component of X at z_0 and $Y_1 = (\|X_n\|, 0, \dots, 0)$;

$$(ii) \quad \lim_{z \rightarrow z_0, z \in \Lambda} C_D(z, X)r(z)/\|X\| = 2\|\bar{\partial}r(z_0)\|C_E(\hat{z}_0, Y_1(z_0))/\|X(z_0)\|$$

for each vector field X , for which $X_n/\|X\|$ is continuos in z_0 .

Proof: It is not difficult to see that $(\Psi_*X)_1 = 2\|\bar{\partial}r(z_0)\|X_n + o(1)X$. Then $Y = \hat{X}r(z)/2\|\bar{\partial}r(z_0)\| = Y_1 + o(1)X$. Since the Caratheodory pseudometric is homogenic, subaditive, continuous and hyperbolic on E , (i) and (ii) follow from the equalities $\lim_{z \rightarrow z_0} (Y(z) - Y_1(z))/\|Y_1(z)\| = 0$ and $\lim_{z \rightarrow z_0} Y(z)/\|X(z)\| = Y_1(z_0)/\|X(z_0)\|$, respectively.

We can also compute $C_E(\hat{z}_0, X)$ explicitly for certain class of models.

Proposition: *Let a domain E in \mathbb{C}^n has the form $E = \{w : Rew_1 + P('w) < 0\}$, where P is a $(\alpha_2, \dots, \alpha_n)$ -homogenous, convex, circular function (i.e. $P(\zeta'z) = P('z)$ for all $'z \in \mathbb{C}^{n-1}$ and ζ with $|\zeta| = 1$). Assume that the boundary point 0 of E has finite multitype (m_1, \dots, m_n) . Then*

(i) P is a polynomial and $\alpha_k = m_k = \Delta_{n+1-k}$ for each $1 \leq k \leq n$, where $\alpha_1 = 1$; in particular, $0 \in \partial E$ is a h -extendible point.

Let $X \in \mathbb{C}^n$. Then

(ii) $C_E(\hat{z}_0, X_1) = \|X_1\|/2$;

(iii) if $X \neq 0$, the equation $|\lambda X_1/2|^2 + P(\lambda'X) = 1$ has a unique positive solution $\lambda(X)$; if $X = 0$, we set $\lambda(X) = \infty$;

(iv) $C_E(\hat{z}_0, 'X) = \lambda^{-1}('X)$

(v) if, in addition, P is circular in each variables,

$$C_E(\hat{z}_0, X) = \lambda^{-1}(X).$$

Proof. The equalities $m_k = \Delta_{n+1-k}$ follow from Propostion 5. [16]. In particular, the point $0 \in \partial E$ has finite type. Hence $P(0, \dots, 0, w_k, 0, \dots, 0) \neq 0$ for each $2 \leq k \leq n$. Then it is easy to see that $\alpha_k = m_k$ and, hence, P is a polynomial.

Now, since the Caratheodory metric of a convex domain coincides with its Kobayashi-Royden metric by Lempert's theorem, we obtain the remain of the proposition, using similar arguments as in the proofs of Corollary 5.4. [14] and the corollary in [2].

Remarks.

1. If we replace the assumptions for convexity and circularity of P with the weaker ones - pseudoconvexity and positivity, then (i) and (ii) also hold (since $P/2$ is a bumping function and $\pi(F) \subset E \subset F$, where $F = \{z : \operatorname{Re} z_1 < 0\}$ and $\pi(z) = (z_1, '0)$).

2. If E is a model of a domain D at a point $z_0 \in \partial D$ of finite multitype, then

- (a) the multitypes of $z_0 \in \partial D$ and $0 \in \partial E$ coincide;
- (b) when D is pseudoconvex near z_0 , $z_0 \in \partial D$ is h-extendible iff $0 \in \partial E$ is so.
- (c) when D is convex near z_0 , E is convex (see the proof of Proposition 2. [16]).

As a consequence of Proposition (v) and the theorem we obtain the following

Corollary 3: *Let z_0 be a strongly pseudoconvex point of a smooth bounded pseudoconvex domain D in \mathbb{C}^n . If $r = r(z)$ is a defining function of D near z_0 , Λ is a cone with vertex at z_0 and axis the interior normal to ∂D at z_0 , then*

$$\lim_{z \rightarrow z_0; z \in \Lambda} C_D^{-2}(z, X)(-L_r(z_0, X_t)/r(z) + \|X_n\|^2 \|\bar{\partial}r(z_0)\|^2/r^2(z)) = 1$$

uniformly in all vector fields X . Here $L_r(z_0, X)$ is the Levi form of r at z_0 and X is splitted into its normal and tangential components X_n and X_t at z_0

Proof: Note that there exists a unitary transformation A such that

$$r \circ \Phi_1^{-1}(v) = \operatorname{Re} v_1 + \tilde{L}_r(0, 'v) + \sum_{i,j=2}^n b_{ij} v_i v_j + o(|v_1| + |'v|^2),$$

where $\Phi_1(z) = 2\|\bar{\partial}r(z_0)\|A(z - z_0)$ and $\tilde{L}_r(0, v) = L_r(z_0, \Phi_1^{-1}v)$. Let $\Phi_2(v) = (v_1 + \sum_{i,j=2}^n b_{ij} v_i v_j, 'v)$ and $\Phi = \Phi_2 \circ \Phi_1$. Then

$$r \circ \Phi^{-1}(w) = \operatorname{Re} w_1 + \tilde{L}_r(0, 'w) + o(|w_1| + |'w|^2),$$

which shows that the complex ellipsoid

$$E = \{w : \operatorname{Re} w_1 + \tilde{L}_r(0, 'w) < 0\}$$

is a model of D at z_0 . Applying the theorem, we obtain

$$\lim_{z \rightarrow z_0; z \in \Lambda} C_D^2(z, X)(-\tilde{L}_r(0, (\Phi * X)_t)/r(z) + \|(\Phi * X)_n\|^2 \|\bar{\partial}r(z_0)\|^2/r^2(z)) = 1,$$

since $C_E^2(\hat{z}_0, Y) = \tilde{L}_r(0, Y_t) + \|Y_n\|^2/4$ by Proposition (v). Now the corollary follows from the equalities $\tilde{L}_r(0, (\Phi * X)_t) = L_r(0, X_t)$, $(\Phi * X)_n = 2\|\bar{\partial}r(z_0)\|(X_n + o(|z|)X_t)$ and $\lim_{z \rightarrow z_0; z \in \Lambda} o(|z|)/r(z) = 0$.

Now we shall consider the case, when z approaches a strongly pseudoconvex point z_0 in arbitrary way. Note that the Levi form is continuous. In particular, it is strictly positive near z_0 . Then, checking the proofs of Corollary 3 and the theorem, it is easily to see that, if the aperture of the cone Λ is fixed, the approaching is uniformly in z_0 . Observe that, if $z \in D$ is close to z_0 , then z lies in the cone $\Lambda_{\pi(z)}$ with vertex the projection $\pi(z)$ of z at ∂D . Thus we obtain the following result, which is more precise than Colorarry 2.

Corollary 3': *Let D, z_0 and r be as in Colorarry 3. Then*

$$\lim_{z \rightarrow z_0} C_D^{-2}(z, X)(-L_r(\pi(z), X_t(\pi(z)))/r(z) + \|X_n(\pi(z))\|^2 \|\bar{\partial}r(\pi(z))\|^2/r^2(z)) = 1$$

uniformly in all vector fields X . Here $L_r(\pi(z), X)$ is the Levi form of r at $\pi(z)$ and X is splitted into its normal and tangential components $X_n(\pi(z))$ and $X_t(\pi(z))$ at $\pi(z)$.

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