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## ALTERNATIVE CHARACTERIZATION OF THE CLASS $k-\mathcal{UCV}$ AND RELATED CLASSES OF UNIVALENT FUNCTIONS

#### Stanisława Kanas

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ABSTRACT. In this paper an alternative characterization of the class of functions called k-uniformly convex is found. Various relations concerning connections with other classes of univalent functions are given. Moreover a new class of univalent functions, analogous to the 'Mocanu class' of functions, is introduced. Some results concerning this class are derived.

1. Introduction. Denote by  $\mathcal{H}$  the class of functions of the form

(1.1) 
$$f(z) = z + \sum_{k=2}^{\infty} a_k z^k$$

analytic in the unit disk  $\mathcal{U}$ . By  $\mathcal{S}$  we denote the subclass of  $\mathcal{H}$  consisting of functions *univalent* in  $\mathcal{U}$ . Also, let  $\mathcal{UCV}, \mathcal{ST}$  denote the classes of *uniformly* 

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convex and uniformly starlike functions respectively (cf. [2] and [3]). The main feature of the elements of these classes is the fact that they map circular arcs with center at any point  $\zeta$  from the unit disk on convex arcs or arcs starlike with respect to  $f(\zeta)$ , respectively. The classes  $\mathcal{UCV}$ , ST are defined by the following analytic conditions

(1.2) 
$$\mathcal{UCV} = \left\{ f \in \mathcal{S} : \operatorname{Re}\left(1 + \frac{(z-\zeta)f''(z)}{f'(z)}\right) > 0, \ (z,\zeta) \in \mathcal{U} \times \mathcal{U} \right\},$$

(1.3) 
$$\mathcal{UST} = \left\{ f \in \mathcal{S} : \operatorname{Re} \frac{(z-\zeta)f'(z)}{f(z)-f(\zeta)} > 0, \ (z,\zeta) \in \mathcal{U} \times \mathcal{U} \right\}.$$

The class  $\mathcal{UCV}$  was characterized by a more applicable, one-variable condition (cf. [7], [9]).

(1.4) 
$$\mathcal{UCV} = \left\{ f \in \mathcal{S} : \operatorname{Re}\left(1 + \frac{zf''(z)}{f'(z)}\right) > \left|\frac{zf''(z)}{f'(z)}\right|, \ z \in \mathcal{U} \right\}.$$

Very recently the geometric notion of uniform convexity was extended to the case  $\zeta \in \mathbf{C}$  (see [4] and [5]). There the class of functions  $k \cdot \mathcal{UCV}$ , with the property that each circular arc with center at the point  $\zeta \in \mathbf{C}$ ,  $|\zeta| \leq k$  $(0 \leq k < \infty)$ , is mapped on a convex arc, was introduced. A two-variable characterization of that class is the following

(1.5) 
$$k-\mathcal{UCV} = \left\{ f \in \mathcal{S} : \operatorname{Re}\left(1 + \frac{(z-\zeta)f''(z)}{f'(z)}\right) > 0, \ z \in \mathcal{U}, \ |\zeta| \le k \right\}$$

and its one-variable equivalent

(1.6) 
$$k-\mathcal{UCV} = \left\{ f \in \mathcal{S} : \operatorname{Re} \left( 1 + \frac{zf''(z)}{f'(z)} \right) > k \left| \frac{zf''(z)}{f'(z)} \right|, \ z \in \mathcal{U} \right\}.$$

Using the familiar Alexander relation the class k- $\mathcal{ST}$  was also introduced [6]

(1.7) 
$$k-\mathcal{ST} = \left\{ f \in \mathcal{S} : \operatorname{Re} \frac{zf'(z)}{f(z)} > k \left| \frac{zf'(z)}{f(z)} - 1 \right|, \ z \in \mathcal{U} \right\}.$$

Note that in the case k = 0 the classes  $k-\mathcal{UCV}$  and  $k-\mathcal{ST}$  coincide with the usual classes of convex ( $\mathcal{CV}$ ) and starlike ( $\mathcal{ST}$ ) functions, respectively.

The continuous 'passage' between the usual classes of starlike and convex univalent functions is due to Mocanu. He introduced the class of  $\alpha$ -convex functions (cf. [8]), denoted  $\mathcal{M}(\alpha)$ , as follows:

(1.8) 
$$\mathcal{M}(\alpha) = \left\{ f \in \mathcal{S} : \operatorname{Re}\left[ (1-\alpha) \frac{zf'(z)}{f(z)} + \alpha \left( \frac{zf''(z)}{f'(z)} + 1 \right) \right] > 0, \quad z \in \mathcal{U} \right\}.$$

In actual fact, Mocanu defined the class  $\mathcal{M}(\alpha)$  geometrically as a class of functions that map the circle centered at the origin on a  $\alpha$ -convex arcs. He also proved the above analytic condition. We note that in the case  $\alpha = 0$  the condition (1.8) reduces to the analytic condition of  $S\mathcal{T}$  and when  $\alpha = 1$  we get from (1.8) the characterization of the class  $C\mathcal{V}$ .

2. Alternative characterization of the class  $k-\mathcal{UCV}$  and its applications. In this section we present an alternative, three-variable characterization, of the class  $k-\mathcal{UCV}$ . As a corollary we describe in a similar way the class k-ST. These characterizations in a surprisingly simple way leads to results concerning different relations between the mentioned and other classes of univalent functions.

**Theorem 2.1.** Let  $f \in \mathcal{H}$ . Then  $f \in k$ - $\mathcal{UCV}$  if and only if

(2.1)  $\operatorname{Re} F(z,\zeta,\eta) \ge 0, \quad z,\eta \in \mathcal{U}, \ |\zeta| \le k,$ 

where

$$F(z,\zeta,\eta) = \begin{cases} \frac{2(z-\zeta)f'(z)}{f(z)-f(\eta)} - \frac{z+\eta-2\zeta}{z-\eta} & \text{for } z \neq \eta \\ \\ 1 + \frac{(z-\zeta)f''(z)}{f'(z)} & \text{for } z = \eta \end{cases}$$

Proof. Since

$$\lim_{\eta \to z} \left[ \frac{2(z-\zeta)f'(z)}{f(z) - f(\eta)} - \frac{z+\eta - 2\zeta}{z-\eta} \right] = 1 + \frac{(z-\zeta)f''(z)}{f'(z)}$$

then  $F(z, \zeta, \eta)$  is continuous and hence analytic in  $z, \eta$  and  $\zeta$ . Moreover, the condition (2.1) gives that f is starlike of order 1/2, and so f is univalent in  $\mathcal{U}$ . Thus, by (1.5) it is obvious that (2.1) implies  $f \in k-\mathcal{UCV}$ .

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Now suppose that  $f \in k - \mathcal{UCV}$ . We need to show that (2.1) holds. Clearly (2.1) holds if  $z = \eta$ . Then consider the case  $z \neq \eta$ , but  $|z - \zeta| = |\eta - \zeta| = r$ . Since  $f \in k - \mathcal{UCV}$  then f maps each circular arc on a convex arc and so the part of the arc  $z(t) = \zeta + re^{it}$  which lies inside  $\mathcal{U}$  will be mapped on a convex arc containing  $f(\eta)$ . A convex arc is starlike with respect to each point in its interior or on its boundary, therefore

$$\operatorname{Re}\frac{(z-\zeta)f'(z)}{f(z)-f(\eta)} \ge 0.$$

This fact together with

$$\operatorname{Re}\frac{z+\eta-2\zeta}{z-\eta} = \operatorname{Re}\frac{(z-\zeta)+(\eta-\zeta)}{(z-\zeta)-(\eta-\zeta)} = 0, \text{ for } |z-\zeta| = |\eta-\zeta| = r, \ z \neq \eta.$$

yields

$$\operatorname{Re} F(z,\zeta,\eta) \ge 0 \quad \operatorname{when} |z-\zeta| = |\eta-\zeta| = r.$$

By the fact that the function  $\operatorname{Re} F(z,\zeta,\eta)$  is a harmonic function in z for fixed  $\zeta$  and  $\eta$ , an application of the minimum principle gives (2.1) in the case  $|z-\zeta| < |\eta-\zeta|$ . Similarly (2.1) holds when  $|z-\zeta| > |\eta-\zeta|$ , and the proof is complete.  $\Box$ 

**Corollary 2.2.** Let  $0 \le k < \infty$ . The function  $f \in \mathcal{H}$  belongs to k- $\mathcal{UCV}$  if and only if

Proof. Assume  $z \neq \eta$ , and write  $F(z, \zeta, \eta)$  as

$$F(z,\zeta,\eta) = \frac{2zf'(z)}{f(z) - f(\eta)} - \frac{z + \eta}{z - \eta} - \left[\frac{2\zeta f'(z)}{f(z) - f(\eta)} - \frac{2\zeta}{z - \eta}\right]$$
$$= 2\left[\frac{zf'(z)}{f(z) - f(\eta)} + \frac{\eta}{\eta - z}\right] - \left[1 + \frac{2\zeta f'(z)}{f(z) - f(\eta)} + \frac{2\zeta}{\eta - z}\right].$$

So we get  $\operatorname{Re} F(z,\zeta,\eta) \geq 0$  if and only if

$$\operatorname{Re}\left[\frac{zf'(z)}{f(z) - f(\eta)} + \frac{\eta}{\eta - z}\right] \ge \frac{1}{2} + \operatorname{Re}\left[\frac{\zeta f'(z)}{f(z) - f(\eta)} + \frac{\zeta}{\eta - z}\right]$$

The condition (2.2) will follow upon choosing  $\zeta = k e^{i\alpha} z$  such that

$$\operatorname{Re}\left[\frac{\zeta f'(z)}{f(z) - f(\eta)} + \frac{\zeta}{\eta - z}\right] = k \left|\frac{zf'(z)}{f(z) - f(\eta)} + \frac{z}{\eta - z}\right|.$$

Assume now that (2.2) holds. Clearly (2.2) implies (2.1) if  $k|z| \ge |\zeta|$ . Applying once more the minimum principle for harmonic functions we see that this implies that Re  $F(z, \zeta, \eta) \ge 0$  for all  $z, \eta \in \mathcal{U}, |\zeta| \le k$  hence  $f \in k$ - $\mathcal{UCV}$ . Taking the limit as  $\eta \to z$  in (2.2), we see that this inequality turns into

Re 
$$\left[1 + \frac{1}{2} \frac{z f''(z)}{f'(z)}\right] > \frac{1}{2} + \frac{k}{2} \left|\frac{z f''(z)}{f'(z)}\right|,$$

which is equivalent to (1.6). Hence, the result holds also in the case  $\eta = z$ .  $\Box$ 

Since the classes  $k-\mathcal{UCV}$  and  $k-\mathcal{ST}$  are connected by the Alexander relation we may also obtain the two-variable representation of the class  $k-\mathcal{ST}$  as follows.

**Corollary 2.3.** Let  $0 \le k < \infty$ . The function  $f \in \mathcal{H}$  belongs to k-ST if and only if

$$\operatorname{Re}\left[\frac{(z-\zeta)f'(z)}{f(z)} + \frac{\zeta}{z}\right] > 0, \quad z \in \mathcal{U}, \quad |\zeta| \le k.$$

The alternative characterizations of k- $\mathcal{UCV}$  can be used to derive some new properties which we state in the next corollary.

**Corollary 2.4.** Let  $0 \le k < \infty$  and  $f \in k$ - $\mathcal{UCV}$ . Then

(2.3) 
$$\operatorname{Re}\frac{(z-\zeta)f'(z)}{f(z)-f(\zeta)} > \frac{1}{2}, \quad z \in \mathcal{U}, \ |\zeta| \le k$$

and

(2.5) 
$$k \left| \frac{zf'(z)}{f(z)} - 1 \right| < \operatorname{Re} \frac{zf'(z)}{f(z)} - \frac{1}{2}, \ z \in \mathcal{U}.$$

Proof. The inequality (2.3) follows from (2.1) by choosing  $\zeta \in \mathcal{U}$  and taking  $\eta = \zeta$  and (2.4) follows from (2.1) by taking  $\eta = 0$ . Finally (2.5) follows from (2.2) by taking  $\eta = 0$ .  $\Box$ 

**Remark 2.5.** Considering the results of Corollary 2.4 as results concerning the order of starlikeness of the corresponding classes we observe that the inequality (2.3) can be understood to say that any k-uniformly convex function is uniformly starlike of order 1/2. This result resembles the result for the classical classes of starlike and convex functions. Further the inequality (2.4) together with Corollary 2.3 implies that each k-uniformly convex function is k-starlike of order 1/2.

Finally, we obtain the following

**Corollary 2.6.** Let  $0 \le k < \infty$ . If  $f \in k$ - $\mathcal{UCV}$  then f is starlike of order (2k+1)/(2k+2).

Proof. If f is k-uniformly starlike and zf'(z)/f(z) = u + iv then, in view of (2.5), we have

(2.6) 
$$k^2(u-1)^2 + k^2v^2 < \left(u - \frac{1}{2}\right)^2, u > 1/2,$$

that yields the desired result. Indeed (2.6) states that zf'(z)/f(z) lies inside the convex domain contained in the right half plane and bounded by the conic section which intersects the real axis at the point  $u_0 = (2k+1)/(2k+2)$ .  $\Box$ 

3. The class  $\mathcal{UM}(\alpha, k)$ . In this section we shall introduce the class  $\mathcal{UM}(\alpha, k)$  which corresponds to the class  $\mathcal{M}(\alpha)$  in the case of the classical classes of convex and starlike functions. The class  $\mathcal{UM}(\alpha, k)$  provides similar 'passage' between classes with prefix 'k', namely between the class k- $\mathcal{UCV}$  and k- $\mathcal{ST}$ .

In the sequel we will use the notation

$$J(\alpha, f, z) = (1 - \alpha) \frac{zf'(z)}{f(z)} + \alpha \left(\frac{zf''(z)}{f'(z)} + 1\right) \quad (z \in \mathcal{U}).$$

**Definition 3.1.** Let  $\alpha \in [0,1]$  and  $k \in [0,\infty)$ . We say that the function  $f \in S$  belongs to the class  $\mathcal{UM}(\alpha, k)$  if

(3.1) 
$$\operatorname{Re} J(\alpha, f, z) > k |J(\alpha, f, z) - 1|$$

for  $z \in \mathcal{U}, \ \zeta \in \mathbf{C}$  and  $|\zeta| \leq k$ . Remark 3.2. Let

$$J(\alpha, f, z) = u + i v$$

then the condition (3.1) can be written as

(3.2) 
$$u^2 > k^2(u-1)^2 + k^2 v^2, \ u > o,$$

that describes a family of conic domains  $\Omega_k$  which kind depends only on the parameter k. Further we denote by  $p_k$  the functions that satisfy the conditions  $p_k(\mathcal{U}) = \Omega_k$ ,  $p_k(0) = 1$  and Re  $p_k(z) > 0$  in  $\mathcal{U}$ . The family of domains  $\Omega_k$  and the properties of the related functions  $p_k$  were characterized in details in [4] and [5]. We recall that the domains  $\Omega_k$  are convex and symmetric with respect to the real axis.

**Remark 3.3.** The class  $\mathcal{UM}(\alpha, k)$  contains certain classes of functions, considered by various authors. We present below a survey of them.

$$\mathcal{UM}(\alpha, 0) = \mathcal{M}(\alpha),$$
$$\mathcal{UM}(0, 0) = \mathcal{ST},$$
$$\mathcal{UM}(1, 0) = \mathcal{CV},$$
$$\mathcal{UM}(0, k) = k - \mathcal{ST},$$
$$\mathcal{UM}(1, k) = k - \mathcal{UCV}.$$

**Theorem 3.4.** The function h(z) = z/(1 - Az) is an element of  $\mathcal{UM}(\alpha, k)$  if and only if

$$|A| \le \frac{\alpha}{k(\alpha+1)+\alpha}.$$

Proof. It is easy to calculate that

Re 
$$J(\alpha, h, z) = \operatorname{Re}\left[\frac{1 + \alpha A z}{1 - A z}\right]$$

and

$$k |J(\alpha, h, z) - 1| = k \left| \frac{(\alpha + 1)Az}{1 - Az} \right|.$$

Thus, in this case (3.1) becomes

$$\operatorname{Re}\left[\frac{1+\alpha Az}{1-Az}\right] > k \left|\frac{(\alpha+1)Az}{1-Az}\right|$$

or equivalently

(3.4) 
$$\operatorname{Re}(1+\alpha Az)(1-A\overline{z}) > k|(\alpha+1)Az||1-Az|.$$

Now, it is enough to prove the condition (3.4) for  $z = e^{it}, t \in [0, 2\pi]$ . A brief computation leads to the thesis.  $\Box$ 

**Theorem 3.5.**  $\mathcal{UM}(\alpha, k) \subset \mathcal{UM}(0, k) = k \cdot ST$ .

Proof. Denote by p(z) = zf'(z)/f(z) and let  $p_k$  be the functions mentioned in Remark 3.2. Then the condition (2.1) is equivalent to

$$(1-\alpha)p(z) + \alpha\left(p(z) + \frac{zp'(z)}{p(z)}\right) \prec p_k(z)$$

or equivalently

$$p(z) + \alpha \frac{zp'(z)}{p(z)} \prec p_k(z).$$

The above subordination is of Briot-Bouquet type (see [1]) and so implies that  $p \prec p_k$  in  $\mathcal{U}$ , as desired.  $\Box$ 

**Theorem 3.6.**  $\mathcal{UM}(\alpha, k) \subset \mathcal{UM}(\beta, k)$  for  $0 \leq \beta \leq \alpha \leq 1$ .

Proof. Since the case  $\beta = 0$  was considered in the previous theorem we assume  $\beta > 0$ . Suppose also that  $f \in \mathcal{UM}(\alpha, k)$  and  $\beta \leq \alpha \leq 1$ . Now, denoting by p(z) = zf'(z)/f(z) and taking into account Theorem 3.5 we have that

$$J(\alpha, f, z) = p(z) + \alpha \frac{zp'(z)}{p(z)} =: Q(z) \prec p_k(z),$$

and also  $p \prec p_k$  in  $\mathcal{U}$ . In order to prove that  $f \in \mathcal{UM}(\beta, k)$  we need to show that

$$p(z) + \beta \frac{zp'(z)}{p(z)} \prec p_k(z).$$

Since  $\beta/\alpha \leq 1$  and

$$p(z) + \beta \frac{zp'(z)}{p(z)} = \frac{\beta}{\alpha}Q(z) + \left(1 - \frac{\beta}{\alpha}\right)p(z)$$

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then for each fixed z the right hand side of above is an element of the line segment with endpoints at Q and p, which lie inside the convex domain  $\Omega_k$ . Thus  $p(z) + \beta z p'(z)/p(z)$  also lies in the domain  $\Omega_k$ . This completes the proof.  $\Box$ 

Taking into consideration Theorem 2.1 and Corollary 2.3 together with Definition 3.1 we may also derive another representation of  $\mathcal{UM}(\alpha, k)$ .

**Corollary 3.7.** Let  $0 \le k < \infty$ . The function  $f \in \mathcal{H}$  belongs to  $\mathcal{UM}(\alpha, k)$  if and only if (3.5)

$$\operatorname{Re}\left\{ (1-\alpha) \left[ \frac{(z-\zeta)f'(z)}{f(z)} + \frac{\zeta}{z} \right] + \alpha \left[ \frac{2(z-\zeta)f'(z)}{f(z) - f(\eta)} - \frac{(z+\eta-2\zeta)}{z-\eta} \right] \right\} > 0$$

or

(3.6) 
$$\operatorname{Re}\left\{ (1-\alpha) \left[ \frac{(z-\zeta)f'(z)}{f(z)} + \frac{\zeta}{z} \right] + \alpha \left[ 1 + \frac{(z-\zeta)f''(z)}{f'(z)} \right] \right\} > 0.$$

where  $z, \eta \in \mathcal{U}, |\zeta| \le k$ 

Taking  $\eta = 0$  in (3.5) we obtain the following results.

**Corollary 3.8.** Let  $0 \le k < \infty$ . If the function  $f \in \mathcal{UM}(\alpha, k)$  then

The above inequality can be read as the order of k-starlikeness of the functions from the class  $\mathcal{UM}(\alpha, k)$ . This means that if the function  $f \in \mathcal{UM}(\alpha, k)$  then  $f \in k-\mathcal{ST}_{\alpha/(\alpha+1)}$ .

#### $\mathbf{R} \, \mathbf{E} \, \mathbf{F} \, \mathbf{E} \, \mathbf{R} \, \mathbf{E} \, \mathbf{N} \, \mathbf{C} \, \mathbf{E} \, \mathbf{S}$

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Department of Mathematics Rzeszów University of Technology ul. W. Pola 2 35-959 Rzeszów Poland e-mail: skanas@prz.rzeszow.pl

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