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## SYMMETRIC AND ASYMMETRIC GAPS IN SOME FIELDS OF FORMAL POWER SERIES

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**ABSTRACT.** We consider non-archimedean real closed fields of cardinality  $\aleph_1$  that have special type of symmetric gaps and compare these fields with well known  $\eta_1$ -fields (Hausdorff), semi- $\eta_1$ -fields, and some super-real fields (Dales, Woodin). All these fields are realized as fields of formal power series. We describe all symmetric Dedekind and non-Dedekind gaps of semi- $\eta_1$ -fields (in particular, for a nonstandard real line). We consider a construction of fields with symmetric gaps that are not semi- $\eta_1$ . By this construction we give examples of fields with different asymmetric gaps.

**1. Introduction.** Throughout this paper we consider non-archimedean real closed totally ordered fields of cardinality  $\aleph_1$ . One of the directions for investigation of the totally ordered fields is gap (cut) theory. We will follow [6]. A pair  $(A, B)$  of non-empty subsets  $A, B$  of a field  $(F, +, \cdot, <)$  is called a gap if

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*Key words:* Non-archimedean real closed fields, super-real fields,  $\eta_1$ -fields, semi- $\eta_1$ -fields, fields of formal power series, symmetric gaps.

$A < B$  (i. e.,  $\forall a \in A \forall b \in B : a < b$ ) and  $A \cup B = F$ . The set  $A$  is called a *short-shore* of a gap  $(A, B)$  in  $F$  if there exists  $a_0 \in A$  such that for all  $a \in A$ , we have  $a + (a - a_0) \in A$  (the “distance” between  $a_0$  and every  $a \in A$  is much less than “distance” between  $a_0$  and  $B$ ). If a shore is not short then it is called a *long shore*. If both  $A$  and  $B$  are long then  $(A, B)$  is called a *symmetric gap*. If one of the shores is long and the other one is short then  $(A, B)$  is called an *asymmetric gap*. Note that *(short, short)*-gap is impossible (see [6] for details).

A subset  $H \subset L$  is said to be cofinal (coinitial) in a totally ordered set  $L$  if  $\forall l \in L \exists h \in H$  such that  $l \leq h$  ( $l \geq h$ ).  $\min\{\text{card}(H) \mid H \text{ is cofinal (coinitial) in } L\}$  is called a cofinality (coinitiality) of  $L$  and is denoted  $\text{cf}(L)$  ( $\text{coi}(L)$ ). A gap  $(A, B)$  of  $F$  is said to have  $(\alpha, \beta)$ -type if  $\text{cf}(A) = \alpha$  and  $\text{coi}(B) = \beta$  (see [1]).

Note that if  $(A, B)$  is a symmetric gap then  $\text{cf}(A) = \text{coi}(B)$ ; the cardinal  $\text{cf}(A)$  is called *cofinality of  $(A, B)$*  and is denoted by  $\text{cf}(A, B)$  [6].

For  $x, y$  in a field  $F \setminus \{0\}$ , let  $x \sim y$  if  $\exists n \in \mathbb{N}$  such that  $|x| \leq n|y|$  and  $|y| \leq n|x|$ . Let  $\widehat{F}$  be the set of equivalence classes of  $F \text{ mod } \sim$ . Let  $\widehat{x} \in \widehat{F}$  such that  $x \in \widehat{x}$ . Define  $x \ll y$  if  $\forall n \in \mathbb{N} n|x| < |y|$  and  $\widehat{x} < \widehat{y} \Leftrightarrow x \ll y$ . Put  $\widehat{x} \cdot \widehat{y} = \widehat{x \cdot y}$ . So, we have  $(\widehat{F}, \cdot, <)$  is a totally ordered group.  $\widehat{F}$  is called *the group of archimedean classes of  $F$*  [6] or *the value group of  $F$*  [1]. If  $x, y \in F \setminus \{0\}$  and  $x + y \neq 0$  then  $\widehat{x + y} = \max\{\widehat{x}, \widehat{y}\}$ .

Hausdorff has introduced a notion  $\eta_1$ -set: a totally ordered set  $L$  is called an  $\eta_1$ -set if  $\forall A, B \subseteq L$  such that  $A < B$  with  $|A \cup B| < \aleph_1$  there exists  $t \in L$  with  $A < t < B$ .

There are two “isomorphism theorems” for real closed fields. A classical theorem of Erdős, Gilman and Henriksen [1] states that any two real closed fields that are  $\eta_1$ -sets of cardinality  $\aleph_1$  are ordered isomorphic. This theorem is equivalent to CH [5]. Pestov introduced a notion of symmetric gap and proved the following isomorphism theorem:

**Theorem 1.1** [6]. *Let  $F_1$  and  $F_2$  be really closed ordered fields such that  $\text{card}(F_1) = \text{card}(F_2) = \aleph_1$  and cofinality of each symmetric gap in both fields is  $\aleph_1$ . Then  $F_1$  and  $F_2$  are isomorphic as ordered fields iff the groups of archimedean classes of both fields are order-isomorphic.*

In [4] we considered a class  $\mathcal{K}$  of real closed fields to which Pestov’s isomorphism theorem applies. A real closed field  $F \in \mathcal{K}$  if

- 1)  $\text{card}(F) = \text{card}(\widehat{F}) = \aleph_1$ ,
- 2) if  $(A, B)$  is a symmetric gap of  $F$  then  $\text{cf}(A, B) = \aleph_1$ .

Note that by the Theorem 1.1 every two fields from this class are isomorphic iff the groups of archimedean classes of the fields are isomorphic.

In section 2 we investigate asymmetric gaps of special fields from the class  $\mathcal{K}$  and show that the class  $\mathcal{K}$  is strictly wider than a class of all  $\eta_1$ -fields of cardinality  $\aleph_1$ . We consider also examples of fields from the class  $\mathcal{K}$  that have an *asymmetric*  $(\aleph_1, \aleph_1)$  gap (in particular, a nonstandard real line).

In section 3 we consider Dedekind and non-Dedekind symmetric gaps of fields with cofinality  $\aleph_0$  from  $\mathcal{K}$  (in particular, semi- $\eta_1$ -fields); prove that the class  $\mathcal{K}$  is wider than a class of all semi- $\eta_1$ -fields of cardinality  $\aleph_1$ ; using [1] we show that super real fields are in our class  $\mathcal{K}$ .

**2. On asymmetric gaps in some fields of formal power series.**

By definitions of symmetric gap and  $\eta_1$ -set, we evidently have the following

**Proposition 2.1.** *F is an  $\eta_1$ -field iff each gap  $(A, B)$  of F has only one of the following types  $(\aleph_1, \aleph_1)$ ,  $(\aleph_0, \aleph_1)$ ,  $(\aleph_1, \aleph_0)$ ,  $(1, \aleph_1)$ ,  $(\aleph_1, 1)$  and  $\text{cf}(F) = \aleph_1$ .*

In [4] it was shown that if  $F$  is a totally ordered real closed  $\eta_1$ -field with  $\text{card}(F) = \aleph_1$  then  $F \in \mathcal{K}$ .

Our aim here is to show that the class  $\mathcal{K}$  is strictly wider than the class of all  $\eta_1$ -fields of cardinality  $\aleph_1$ . To this end we give examples of fields from the class  $\mathcal{K}$  with  $(\aleph_0, \aleph_0)$ -asymmetric gaps. Note that any symmetric gap of  $F \in \mathcal{K}$  has type  $(\aleph_1, \aleph_1)$ .

Denote by  $\mathbb{R}[[G]]$  a field of formal power series  $x = \sum_{g \in G} r_g g$ , where  $r_g \in \mathbb{R}$ ,  $\text{supp}(x) = \{g \in G | r_g \neq 0\}$  is inversely well-ordered subset of a totally ordered group  $G$  (i. e., each subsets of  $\text{supp}(x)$  has a maximal element). The order in  $\mathbb{R}[[G]]$  is as follows:  $x > 0$  if  $r_\gamma > 0$ , where  $\gamma = \max \text{supp}(x)$ . Let  $\beta$  be a regular cardinal with  $\aleph_0 < \beta \leq \text{card}(G)$ . By  $R[[G, \beta]]$  is denoted a subfield of  $\mathbb{R}[[G]]$ , which consists of such formal power series  $x$  that  $\text{card}(\text{supp}(x)) < \beta$  (*the field of bounded formal power series*)[1, 2].

If  $G$  is divisible group then  $R[[G, \beta]]$  are real-closed fields; if  $\text{card}(G) \geq \mathfrak{c}$  then  $\text{card}(\mathbb{R}[[G, \aleph_1]]) = \text{card}(G)$ ; if  $\text{card}(G) \geq \aleph_0$  then  $2^{\text{cf}(G)} \leq \text{card}(\mathbb{R}[[G]]) \leq 2^{\text{card}(G)}$  (see [1]). So, if  $\aleph_1 = \mathfrak{c} = \text{card}(G)$ , we have  $\text{card}(\mathbb{R}[[G, \aleph_1]]) = \text{card}(G) = \aleph_1$ .

We assume CH for the following description of  $\mathcal{K}$  by means of fields of bounded formal power series[4, 3]: the class  $\mathcal{K}$  coincides with a class of all fields of bounded formal power series  $\mathbb{R}[[G, \aleph_1]]$ , where  $G$  is a totally ordered divisible Abelian group and  $\text{card}(G) = \aleph_1$ . The cofinality of a field  $F \in \mathcal{K}$  and the cofinality of its group of archimedean classes are the same.

Let our class  $\mathcal{K} = \mathcal{K}^0 \cup \mathcal{K}^1$ , where  $F \in \mathcal{K}^i$  if  $\text{cf}(F) = \aleph_i$  ( $i \in \{0; 1\}$ ).

**Proposition 2.2.** *If  $F \in \mathcal{K}^1$  then  $F$  has a symmetric gap.*

*Proof.* If  $F \in \mathcal{K}^1$  then  $F \cong \mathbb{R}[[\widehat{F}, \aleph_1]] \subset \mathbb{R}[[\widehat{F}]]$  and under CH,  $\text{card}(\mathbb{R}[[\widehat{F}, \aleph_1]]) = \aleph_1 < \text{card}(\mathbb{R}[[\widehat{F}]]) = 2^{\aleph_1}$ . Hence  $\mathbb{R}[[\widehat{F}]] \setminus \mathbb{R}[[\widehat{F}, \aleph_1]] \neq \emptyset$  and  $F$  has a symmetric gap (see Proposition 2.1 from [3]).  $\square$

**Lemma 2.1.** *Let  $F$  be a real closed field.  $(A, B)$  is an  $(\alpha, \beta)$  gap in  $\widehat{F}$ , where  $\alpha, \beta$  are infinite regular cardinals. Then there exists an asymmetric  $(\alpha, \beta)$  gap in  $F$ .*

*Proof.* Let  $A_1 = \{x \in F \mid \exists g \in A, \hat{x} < g\}$ ,  $B_1 = \{x \in F \mid \exists g \in B, g < \hat{x}\}$ . If  $x \in F$  then  $\hat{x} \in \widehat{F} = A \cup B$ . If  $\hat{x} \in A$  then  $\exists g \in A$  (there is no the last element in  $A_1$  because of  $\text{cf}(A) = \alpha$  is infinite) such that  $\hat{x} < g$ . Thus  $x \in A_1$ . By the same argument, if  $\hat{x} \in B$  then  $\exists g \in B$  such that  $g < \hat{x}$  and  $x \in B_1$ . It is obvious that  $\text{cf}(A) = \text{cf}(A_1) = \alpha, \text{coi}(B) = \text{coi}(B_1) = \beta$ . Hence  $(A_1, B_1)$  is a  $(\alpha, \beta)$  gap in  $F$ . Let  $x_0 \in A_1 \subset F$  and  $x_0 < x, x \in A_1$ . Consider  $x + (x - x_0) = 2x - x_0$ . We have  $\widehat{2x - x_0} = \max\{\widehat{x}, \widehat{x_0}\} = \hat{x} \in A$ . Therefore  $x + (x - x_0) \in A_1$  and  $(A_1, B_1)$  is asymmetric.  $\square$

Now we remaind the construction of a group  $(G(L, P), \cdot, <)$  with  $\mathbb{R}[[G(L, P), \aleph_1]] \in \mathcal{K}$  [4]. Let  $L$  be a totally ordered set and  $\text{cf}(L) \geq \aleph_0$ . Let  $P$  be a totally ordered infinite field and  $\max\{|L|, |P|\} = \aleph_1$ . The totally ordered Abelian divisible group  $(G(L, P), \cdot, <)$  is as follows:  $G(L, P) = \{(t_{i_1}^{r_{i_1}} t_{i_2}^{r_{i_2}} \cdots t_{i_n}^{r_{i_n}}) \mid t_{i_j} \in L, r_{i_j} \in P, j = \overline{1, n}, n \in \mathbb{N}\}$ . We suppose that  $t_{i_1} > t_{i_2} > \cdots > t_{i_n}$  and for given element  $t_1 \in L$ , let  $t_1^r = 1 \ \forall r \in P$ . Put  $(t_{i_1}^{r_{i_1}}) \cdot (t_{i_1}^{q_{i_1}}) = (t_{i_1}^{r_{i_1} + q_{i_1}})$  and  $(t_{i_1}^{r_{i_1}}) \cdot (t_{i_2}^{r_{i_2}}) = (t_{i_1}^{r_{i_1}} t_{i_2}^{r_{i_2}})$ . For example,  $(t_{i_1}^{1/2} t_{i_2}^{-1}) \cdot (t_{i_1} t_{i_2} t_{i_3}) = (t_{i_1}^{3/2} t_{i_3})$ . Let  $g_1 = (t_{i_1}^{r_{i_1}} \cdots t_{i_k}^{r_{i_k}})$  by definition, put  $g_1 < g_2 \Leftrightarrow g_1 g_2^{-1} < 1$  and  $g_1 < 1 \Leftrightarrow r_{i_1} < 0$ . For example, we compare  $(t_{i_1}^3 t_{i_2}^{-2} t_{i_3}^5)$  and  $(t_{i_1}^3 t_{i_3})$ . We have  $(t_{i_1}^3 t_{i_2}^{-2} t_{i_3}^5) \cdot (t_{i_1}^{-3} t_{i_3}^{-1}) = t_{i_2}^{-2} t_{i_3}^4, t_{i_2} > t_{i_3}, -2 < 0$  hence  $(t_{i_1}^3 t_{i_2}^{-2} t_{i_3}^5) < (t_{i_1}^3 t_{i_3})$ .

Note that the group  $G(L, P)$  is isomorphic to the subgroup of finite sums  $(P[[L, \aleph_0]], +, <)$  of the group of formal power series  $P[[L, \aleph_1]]$ . We show here that the group  $P[[L, \aleph_0]]$  has an  $(\aleph_0, \aleph_0)$  gap and so it is not a  $\eta_1$ -set.

**Theorem 2.1.** *The group  $G(L, P) \cong P[[L, \aleph_0]]$  has an  $(\aleph_0, \aleph_0)$  gap.*

*Proof.* Since  $\aleph_0 \leq \text{cf}(L) \leq \aleph_1$  there exists a sequence  $\{q_n\}_{n \in \mathbb{N}} \subset P[[L, \aleph_0]]$  such that  $q_1 \gg q_2 \gg \cdots q_n \gg \cdots$  i.e.  $\forall n \in \mathbb{N} \ \forall i \in \mathbb{N} \ q_{i+1} \cdot n < q_i$ . Let  $\forall k \in \mathbb{N}$

$$a_k = q_1 + q_2 + \cdots + q_k; b_k = q_1 + q_2 + \cdots + q_{k-1} + \frac{2}{3}q_k.$$

$$A := \{g \in P[[L, \aleph_0]] \mid \exists n \in \mathbb{N} \ g < a_n\}, \ B := \{g \in P[[L, \aleph_0]] \mid \exists n \in \mathbb{N} \ g > b_n\}.$$

Let us show that  $(A, B)$  is a gap in  $P[[L, \aleph_0]]$ . Suppose that there exists  $c \in P[[L, \aleph_0]]$  such that  $\forall k \in N \ a_k < c < b_k$ . Let

$$c = \gamma_1 h_1 + \gamma_2 h_2 + \dots + \gamma_{k_0} h_{k_0}; h_i \in L, \gamma_i \in P.$$

We claim that  $c = a_{k_0}$ . Indeed for  $k = 1$ , we have

$$a_1 < c < b_1; q_1 < \gamma_1 h_1 + \gamma_2 h_2 + \dots + \gamma_{k_0} h_{k_0} < \frac{2}{3} q_1 \Rightarrow h_1 = q_1.$$

For  $k = 2$ , we have

$$\begin{aligned} a_2 < c < b_2; q_1 + q_2 < \gamma_1 q_1 + \gamma_2 h_2 + \dots + \gamma_{k_0} h_{k_0} < q_1 + \frac{2}{3} q_2 \Rightarrow \\ \Rightarrow q_2 < (\gamma_1 - 1) q_1 + \gamma_2 h_2 + \dots + \gamma_{k_0} h_{k_0} < \frac{1}{2} q_2 \Rightarrow \\ \Rightarrow \gamma_1 = 1, h_2 = q_2 \Rightarrow c = q_1 + \gamma_2 q_2 + \gamma_3 h_3 + \dots + \gamma_{k_0} h_{k_0}. \end{aligned}$$

If for  $k = n$

$c = q_1 + q_2 + \dots + q_{n-1} + \gamma_n q_n + \gamma_{n+1} h_{n+1} + \dots + \gamma_{k_0} h_{k_0}$  is true then for  $k = n + 1$ , we will have

$$\begin{aligned} a_{n+1} < c < b_{n+1}; q_1 + q_2 + \dots + q_{n+1} < \\ < q_1 + q_2 + \dots + q_{n-1} + \gamma_n q_n + \gamma_{n+1} h_{n+1} + \dots + \gamma_{k_0} h_{k_0} < q_1 + q_2 + \dots + \frac{1}{2} q_{n+1} \Rightarrow \\ \Rightarrow q_{n+1} < (\gamma_n - 1) q_n + \gamma_{n+1} h_{n+1} + \dots + \gamma_{k_0} h_{k_0} < \frac{1}{2} q_{n+1} \Rightarrow \\ \Rightarrow \gamma_n = 1, h_{n+1} = q_{n+1} \Rightarrow c = q_1 + q_2 + \dots + q_n + \gamma_{n+1} q_{n+1} + \gamma_{n+2} h_{n+2} + \dots + \gamma_{k_0} h_{k_0}. \end{aligned}$$

So, by induction,  $c = a_{k_0}$ . It is a contradiction.  $\square$

**Corollary 2.1.** Field  $\mathbb{R}[[G(L, P), \aleph_1]]$  has an  $(\aleph_0, \aleph_0)$  asymmetric gap.

Proof. By Lemma 2.1, the gap  $(A, B)$  of  $G(L, P)$  from the proof of Theorem 2.1 generates the gap  $(\acute{A}, \acute{B})$  in the field  $\mathbb{R}[[G(L, P), \aleph_1]]$ , where

$$\begin{aligned} \acute{A} &= \{x \in \mathbb{R}[[G(L, P), \aleph_1]] \mid \exists g \in A, x < 1 \cdot g\}, \\ \acute{B} &= \{x \in \mathbb{R}[[G(L, P), \aleph_1]] \mid \exists g \in B, 1 \cdot g < x\} \end{aligned}$$

and this gap also has type  $(\aleph_0, \aleph_0)$ .  $\square$

**Corollary 2.2.** Group  $G(L, P)$  and field  $\mathbb{R}[[G(L, P), \aleph_1]]$  are not  $\eta_1$ -sets.

We consider also examples of fields from the class  $\mathcal{K}$  that have an *asymmetric*  $(\aleph_1, \aleph_1)$  gap.

Let  $S$  and  $T$  be two totally ordered sets. Then  $S \odot T$  denotes the totally ordered set which is ‘S followed by T’:  $\forall s \in S \forall t \in T \ s < t$  [1].

1) Let  $L = \omega_1 \odot \omega_1^* \odot \omega_1^{**}$ , where  $\omega_1$  and  $\omega_1^{**}$  are two copies of the ordinal  $\omega_1$ ;  $\omega_1^*$  is the ordinal  $\omega_1$  with the inverse of the usual order. So the set  $\omega_1 \odot \omega_1^*$  give us the  $(\aleph_1, \aleph_1)$  gap in  $L$ , which generates the  $(\aleph_1, \aleph_1)$  asymmetric gap in the field  $\mathbb{R}[[G(L, P), \aleph_1]] \in \mathcal{K}$ .

2) Now we consider a non-standard real line  ${}^*\mathbb{R}$ , which is an ultrapower of  $\mathbb{R}$  by an  $\aleph_1$ -good ultrafilter over  $\mathbb{N}$ . It is known [1] that  ${}^*\mathbb{R}$  is  $\eta_1$ -field and it is order-isomorphic to the field  $\mathbb{R}[[\mathbf{G}, \aleph_1]]$  of bounded formal power series with  $\mathbf{G} = \mathbb{R}[[\mathbf{Q}, \aleph_1]]$  and  $\mathbf{Q}$  is Sierpinski’s set.  $\mathbf{Q}$  consists of dyadic sequences  $\alpha = (\alpha_\tau)_{\tau < \omega_1}$  with lexicographic order such that  $\{\tau < \omega_1 : \alpha_\tau = 1\}$  is non-empty and has a largest member.

Describe a  $(\aleph_1, \aleph_1)$  gap in  $\mathbf{Q}$ . Let  $(a^\sigma)_{\sigma < \omega_1}$  be a sequence in  $\mathbf{Q}$  such that  $a^\sigma(\tau) = \begin{cases} 0, & \tau > \sigma \vee \tau \text{ is "even"}; \\ 1, & \tau \text{ is "odd"} \vee \tau \text{ is limit.} \end{cases}$

So, we have  $a^1 = (100 \dots 0 \dots)$ ,  $a^2 = (101000 \dots 0 \dots)$ ,  $a^3 = (10101000 \dots 0 \dots)$ ,  $\dots$ ,  $a^\omega = (10101000 \dots |_\omega 1000 \dots)$ ,  $a^{\omega+1} = (10101000 \dots |_\omega 101000 \dots)$ ,  $\dots$ . It is an increasing sequence.

Let  $(b^\sigma)_{\sigma < \omega_1}$  in  $\mathbf{Q}$  such that  $b^\sigma(\tau) = \begin{cases} 0, & \tau > 2\sigma + 2 \vee (\tau < 2\sigma + 2 \text{ and } \tau \text{ is "even"}); \\ 1, & \tau = 2\sigma + 2 \vee (\tau < 2\sigma + 2 \text{ and } \tau \text{ is "odd"}) \vee \tau \text{ is limit.} \end{cases}$

That is  $b^1 = (1011000 \dots 0 \dots)$ ,  $b^2 = (101011000 \dots 0 \dots)$ ,  $b^3 = (10101011000 \dots 0 \dots)$ ,  $\dots$ ,  $b^\omega = (10101000 \dots |_\omega 11000 \dots)$ ,  $b^{\omega+1} = (10101000 \dots |_\omega 1011000 \dots)$ ,  $\dots$ . It is a decreasing sequence.

We see that  $\forall \sigma < \omega_1 \ \forall \delta < \omega_1 \ a^\sigma < b^\delta$ . Between these sequences there is the only dyadic sequence  $(10101010 \dots 1010 \dots)$  of length  $\omega_1$ . Both our sequences “converge” to  $(101010 \dots 1010 \dots) \notin \mathbf{Q}$ . Therefore the sequences generate a gap in  $\mathbf{Q}$ . It is clearly, that the gap has type  $(\aleph_1, \aleph_1)$ . This gap generates the  $(\aleph_1, \aleph_1)$  asymmetric gap in the field  $\mathbb{R}[[\mathbf{G}, \aleph_1]]$ .

**Remark 2.1.**  ${}^*\mathbb{R}$  has symmetric  $(\aleph_1, \aleph_1)$  gaps [3] and it has asymmetric  $(\aleph_1, \aleph_1)$  gaps as well.

**3. Semi- $\eta_1$ -super-real fields from the class  $\mathcal{K}$ .** Dales and Woodin in [1] introduced a semi- $\eta_1$ -field, which is generalization of  $\eta_1$ -field: a totally ordered field  $F$  is called a *semi- $\eta_1$ -field* if for each strictly increasing sequence

$(s_n)_{n \in \mathbb{N}}$  and strictly decreasing sequence  $(t_n)_{n \in \mathbb{N}}$  with  $s_n < t_m \forall m, n \in \mathbb{N}$  there exists  $x \in F$  such that  $s_n < x < t_m \forall n, m \in \mathbb{N}$ . It is easy to see that

**Proposition 3.1.** *F is a semi- $\eta_1$ -field iff each gap  $(A, B)$  of F has only one of the following types  $(\aleph_1, \aleph_1), (\aleph_0, \aleph_1), (\aleph_1, \aleph_0), (1, \aleph_1), (\aleph_1, 1), (1, \aleph_0), (\aleph_0, 1)$ . Clearly, each  $\eta_1$ -field is a semi- $\eta_1$ -field.*

By Proposition 3.1 and Corollary 2.1, we obtain the following

**Proposition 3.2.**  $\mathbb{R}[[G(L, P), \aleph_1]]$  (see section 2 of this paper) is not a semi- $\eta_1$ -field.

**Note 3.1.** Each  $\eta_1$ -field of cardinality  $\aleph_1$  belongs to  $\mathcal{K}^1$ .

A gap  $(A, B)$  of a field  $F$  is called a *Dedekind gap* or a *fundamental gap* if  $\forall \varepsilon \in F^+$  there exist  $x \in A, y \in B$  such that  $|y - x| < \varepsilon$  [1, 6]. It is easy to see by the definition that each Dedekind gap without the first and the last elements is symmetric.

**Proposition 3.3** [3]. *Let F be a  $\eta_1$ -field with  $\text{card}(F) = \aleph_1$ . Then*

- (a) *there exist  $2^{\aleph_1}$  symmetric Dedekind gaps;*
- (b) *there exist  $2^{\aleph_1}$  symmetric non-Dedekind gaps;*
- (c) *if  $(A, B)$  is symmetric gap then  $\text{cf}(A, B) = \aleph_1$ .*

**Theorem 3.1.** *Let  $F \in \mathcal{K}^0$  and  $\mathbb{R}[[\widehat{F}]] \setminus \mathbb{R}[[\widehat{F}, \aleph_1]] \neq \emptyset$ . Then*

- (a) *there is no symmetric Dedekind gap in F;*
- (b) *F has  $2^{\aleph_1}$  symmetric non-Dedekind gaps.*

**Proof.** (a). Since  $\mathbb{R}[[\widehat{F}]] \setminus \mathbb{R}[[\widehat{F}, \aleph_1]] \neq \emptyset$ , by Proposition 2.1. from [3],  $F$  has a symmetric gap. A symmetric gap  $(A, B)$  is Dedekind iff (see Proposition 2.2. from [3])  $\exists x_0 \in R[[\widehat{F}]] \setminus R[[\widehat{F}, \aleph_1]] A < x_0 < B$  such that

$$(*) \quad \text{supp}(x_0) \text{ is inversely order-isomorphic to } \aleph_1 \text{ and cointial in } \widehat{F}.$$

Since  $\text{cf}(F) = \aleph_0$  then  $\text{cf}(\widehat{F}) = \aleph_0$ . Therefore if  $x_0 \in R[[\widehat{F}]] \setminus R[[\widehat{F}, \aleph_1]]$  and  $\text{supp}(x_0)$  is cointial in  $\widehat{F}$  then  $\text{coi}(\text{supp}(x_0)) = \aleph_0$ . Whence  $\text{supp}(x_0)$  is not inversely order-isomorphic to  $\aleph_1$ . So by (\*),  $(A, B)$  is not Dedekind.

(b). Let  $(A, B)$  be a symmetric non-Dedekind gap. Then (see Proposition 2.1. from [3])  $\exists x_0 \in R[[\widehat{F}]] \setminus R[[\widehat{F}, \aleph_1]]$  and  $\neg(*)$  holds. Let  $x_0 = \sum_{g \in \widehat{F}} r_g g$ . Put  $r_g = x_0(g)$ . Since  $\text{supp}(x_0) = \{g \in \widehat{F} \mid x_0(g) \neq 0\}$  is inversely well-ordered subset of  $\widehat{F}$  and  $\text{card}(\text{supp}(x_0)) = \aleph_1$ , there exists  $\Gamma \subset \widehat{F}$  with  $\text{card}(\Gamma) = \aleph_1$  and  $\Gamma$  is inversely order-isomorphic to  $\aleph_1$ . By  $\neg(*)$  and  $\text{coi}(\widehat{F}) = \aleph_0$ ,  $\Gamma$  is not cointial in  $\widehat{F}$ .



Denote by  $S$  the set of all  $x \in \mathbb{R}[[\widehat{F}]] \setminus \mathbb{R}[[\widehat{F}, \aleph_1]]$  such that  $\text{supp } x = \Gamma$ . Each  $x \in S$  generates a symmetric non-Dedekind gap in  $\mathbb{R}[[\widehat{F}, \aleph_1]]$ . Let  $x_1, x_2 \in S$  and  $x_1 < x_2$ . Denote by  $(A_i, B_i)$  gaps in  $\mathbb{R}[[\widehat{F}, \aleph_1]]$ , which produced by  $x_i$  ( $i = 1, 2$ ).  $A_i = \{x \in \mathbb{R}[[\widehat{F}]] \mid x < x_i\}$ ,  $B_i = \{x \in \mathbb{R}[[\widehat{F}]] \mid x > x_i\}$ . Prove that the gaps  $(A_1, B_1), (A_2, B_2)$  are different.

There exists  $g_0 = \max\{g \in \widehat{F} \mid x_1(g) \neq x_2(g)\}$ . Define  $x_3 \in \mathbb{R}[[\widehat{F}, \aleph_1]]$  such that  $\text{supp}(x_3) = \{g \in \Gamma \mid g \geq g_0\}$  and

- 1)  $x_3(g) = x_1(g) = x_2(g)$  if  $g > g_0$ ,
- 2)  $x_3(g_0) = \frac{1}{2}(x_1(g_0) + x_2(g_0))$ .

Since  $\Gamma$  is inversely order-isomorphic to  $\aleph_1$ , we have  $\text{card}(\text{supp}(x_3)) = \aleph_0$ . Hence  $x_3 \in \mathbb{R}[[\widehat{F}, \aleph_1]]$ . Evidently  $x_1 < x_3 < x_2$ . Therefore  $x_3 \in B_1$  and  $x_3 \in A_2$ . So,  $A_1 \neq A_2$ . Thus  $x_1, x_2$  produce different gaps in  $\mathbb{R}[[\widehat{F}, \aleph_1]]$ .

The cardinality of the set of all formal power series with support  $\Gamma$  equals  $\mathbb{R}^{\text{card}\Gamma} = 2^{\text{card}\Gamma} = 2^{\aleph_1}$ . So, the cardinality of the set of all symmetric non-Dedekind gaps is not less then  $2^{\aleph_1}$ . On the other hand, this is not greater then  $2^{\aleph_1}$  since  $\mathbb{R}[[\widehat{F}, \aleph_1]]$  has at most  $2^{\aleph_1}$  gaps.  $\square$

**Proposition 3.4.** *Let  $F$  be a semi- $\eta_1$ -field, which is not  $\eta_1$ -field with  $\text{card}(F) = \aleph_1$ . Then*

- a)  $\text{coi}\{y \in \widehat{F} : y > 1\} = \aleph_1$ ;
- b)  $F \in \mathcal{K}^0$ .

*Proof.* a) If  $y \in \widehat{F}$  then the set  $y$  is an archimedean class and  $\text{cf}(y) = \aleph_0$ . Consider a gap  $(A, B)$  in  $F$  with  $A = \{x \in F \mid \widehat{x} \leq \widehat{1}\}, B = F \setminus A$ . We have  $\text{cf}(A) = \text{cf}(\widehat{1}) = \aleph_0$ . Since  $F$  is a semi- $\eta_1$ -field,  $\text{coi}(B) = \aleph_1$ . Since there is no the first element in  $B$ ,  $\text{coi}(B) = \text{coi}(\widehat{B}) = \aleph_1$ . Thus  $\text{coi}(\widehat{B}) = \text{coi}\{y \in \widehat{F} : y > 1\} = \aleph_1$ .

b) Let  $(A, B)$  be a symmetric gap in  $F$ . Put  $\text{cf}(A, B) = \alpha$  then  $(A, B)$  has type  $(\alpha, \alpha)$ . Since  $F$  is a semi- $\eta_1$ -field,  $\alpha = \aleph_1$ . By a), we have  $\text{card}(\widehat{F}) = \aleph_1$ . Therefore  $F \in \mathcal{K}$ . Suppose that  $\text{cf}(F) = \aleph_1$  then (by Proposition 3.1. from [4]) there are no  $(1, \aleph_0), (\aleph_0, 1)$  gaps in  $F$  and hence  $F$  is  $\eta_1$ -field. It is a contradiction. Thus  $F \in \mathcal{K}^0$ .  $\square$

**Corollary 3.1.** *Let  $F$  be a semi- $\eta_1$ -field, which is not  $\eta_1$ -field with  $\text{card}(F) = \aleph_1$ . Then*

- (a) *there is no symmetric Dedekind gap in  $F$ ;*
- (b) *there exist  $2^{\aleph_1}$  symmetric non-Dedekind gaps;*
- (c) *if  $(A, B)$  is symmetric gap then  $\text{cf}(A, B) = \aleph_1$ .*

*Proof.* (a) and (b) are consequences of Proposition 3.4 and Theorem 3.1.  $\square$

**Remark 3.1.** Let us compare our results with the following. [1, p.56, Corollary 2.35] Let  $F$  be a real-closed semi- $\eta_1$ -field, which is a  $\beta_1$ -field. Then exactly one of the following occurs:

- (I).  $w(F) = \aleph_0$ , and  $F \cong \mathbb{R}$ ;
- (II).  $\text{cf}(F) = \aleph_1$ , and  $F \cong \mathbf{R}$  ( $\eta_1$ -field);
- (III).  $\text{cf}(\widehat{F}) = (\aleph_0, 1)$ , and then  $F \cong \mathbb{R}[[\mathbb{R} \times \mathbf{G} \times \mathbb{R}, \aleph_1]]$ ;
- (IV).  $\text{cf}(\widehat{F}) = (\aleph_0, \aleph_0)$ , and then  $F \cong \mathbb{R}[[c_{00}(\mathbf{G}^{\mathbf{N}}), \aleph_1]]$ . (See [1] for details).

Under CH,  $F$  is  $\beta_1$ -field iff  $\text{card}(F) = \aleph_1$ .

Note that at the present paper we describe all symmetric gaps of the fields (II)–(IV).

Now we consider question about existence a super-real field in our class  $\mathcal{K}$ .

Let  $X$  be a completely regular topological space and  $C(X)$  be an algebra of continuous functions on  $X$ . Let  $P$  be a prime ideal in  $C(X)$ .  $C(X)/P := A_P$  is a totally ordered commutative algebra. The quotient map from  $C(X)$  onto  $A_P$  is denoted by  $\pi_P$ . Since  $f = f^+ + f^-$  and  $f^+ \cdot f^- = 0 \in P$ , we have  $a = \pi_P(f) \geq 0$  if  $f \in f^+ + P, f^- \in P$ .

The quotient fields of  $A_P$  is denoted by  $K_P$  and is called a super-real field (it is not equal to  $\mathbb{R}$ )[1]. It is known (see [1], p.96-98) that each of possibilities (II)–(IV) from the Remark 3.1 actually occurs in the class of super-real fields (ZFC+CH). Hence, we have

**Corollary 3.2.** *There are semi- $\eta_1 + \beta_1$ -super-real fields that belong to the class  $\mathcal{K}$ .*

**Question.** Is there a  $\beta_1$ -super-real field which is not semi- $\eta_1$  in the class  $\mathcal{K}$ ?

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