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**ON THE SET-THEORETIC  
COMPLETE INTERSECTION PROPERTY  
FOR THE EDGE IDEALS OF WHISKER GRAPHS**

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*Communicated by V. Drensky*

ABSTRACT. We show that the edge ideals of some whisker graphs are set-theoretic complete intersections.

**1. Introduction.** Given a Noetherian commutative ring with identity  $R$ , the *arithmetical rank* ( $\text{ara}$ ) of a proper ideal  $I$  of  $R$  is defined as the smallest integer  $s$  for which there exist  $s$  elements  $a_1, \dots, a_s$  of  $R$  such that the ideal  $(a_1, \dots, a_s)$  has the same radical as  $I$ . In this case we will say that  $a_1, \dots, a_s$  generate  $I$  up to radical. In general  $\text{ht}(I) \leq \text{ara}(I)$ . If equality holds,  $I$  is called a *set-theoretic complete intersection*. We consider the case where  $R$  is a polynomial ring over a field  $K$  and  $I$  is the so-called *edge ideal* of a graph whose vertices are the indeterminates. Its set of generators is formed by the products of the pairs of indeterminates that form the edges of the graph. Thus  $I$  is generated by squarefree

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monomials of degree 2, and is therefore a radical ideal. The arithmetical rank of edge ideals has recently been studied by several authors (see e.g. Kummini [8]) and explicitly determined for some special types of graphs. In many cases it has been proven that  $\text{ara}(I)$  coincides with the projective dimension of the quotient ring  $R/I$ , which, in general, according to a well-known result by Lyubeznik [9], provides a lower bound. This equality has been established for lexsegment edge ideals by Ene, Olteanu, Terai [5], for the edge ideals of acyclic graphs (the so-called *forests*) by Kimura and Terai [7] (extending a result by Barile [1]), for the graphs formed by one or two cycles connected through a path (*cyclic* and *bicyclic* graphs) by Barile, Kiani, Mohammadi and Yassemi [2], and for the graphs consisting of paths and cycles with a common vertex by Kiani and Mohammadi [6]. In all these cases, the arithmetical rank is independent of the field  $K$ .

As a consequence of the Auslander-Buchsbaum formula (see the proof of Corollary 5.1 for further details on this point), whenever an ideal of  $R$  generated by squarefree monomials is a set-theoretic complete intersection, it is a Cohen-Macaulay ideal. Dochtermann and Engström [4] proved that this latter property is fulfilled by the edge ideals of the graphs in which every vertex belongs to exactly one terminal edge (equivalently: every vertex of degree greater than one is adjacent to exactly one vertex of degree one). These graphs are those obtained by adding a *whisker* to each vertex of a given graph, i.e., by attaching a terminal edge to all its vertices. In the present paper we determine a large class of *whisker graphs* (which can have any number of cycles) that are set-theoretic complete intersections. This class includes all whisker graphs constructed on cyclic and bicyclic graphs. It also includes all trees that give rise to Cohen-Macaulay edge ideals, and have been characterized by Villarreal [11]. The results presented in this paper are independent of the field  $K$ .

**2. Preliminaries.** A useful technique that provides an upper bound for the arithmetical rank of ideals is the following result due to Schmitt and Vogel.

**Lemma 2.1** ([10], Lemma p. 249). *Let  $R$  be a commutative ring with identity and  $P$  be a finite subset of elements of  $R$ . Let  $P_0, \dots, P_r$  be subsets of  $P$  such that*

- (i)  $\bigcup_{i=0}^r P_i = P$ ;
- (ii)  $P_0$  has exactly one element;
- (iii) if  $p$  and  $p'$  are different elements of  $P_i$  ( $0 < i < r$ ), there is an integer  $i'$ , with  $0 \leq i' < i$ , and an element in  $P_{i'}$  which divides  $pp'$ .

We set  $q_i = \sum_{p \in P_i} p^{e(p)}$ , where  $e(p) \geq 1$  are arbitrary integers. We will write  $(P)$  for the ideal of  $R$  generated by the elements of  $P$ . Then

$$\sqrt{(P)} = \sqrt{(q_0, \dots, q_r)}.$$

In the following we will consider squarefree monomial ideals arising from graphs, the so-called *edge ideals*.

**Definition 2.2.** Let  $G$  be a graph with vertex set  $V(G) = \{x_1, \dots, x_n\}$ , with  $n \in \mathbb{N}$ ,  $n \geq 1$ , and whose edge set is  $E(G)$ . Suppose that  $x_1, \dots, x_n$  are indeterminates over the field  $K$ . The edge ideal of  $G$  in the polynomial ring  $R = K[x_1, \dots, x_n]$  is the squarefree monomial ideal

$$I(G) = (\{x_i x_j \mid \{x_i, x_j\} \in E(G)\}).$$

For the sake of simplicity, we will use the same notation  $x_i x_j$  for the monomial and for the corresponding edge.

**Definition 2.3.** Let  $G$  be a graph and  $x$  a vertex of  $G$ . Adding a whisker to the vertex  $x$  of  $G$  means adding a new vertex  $y$  and the edge connecting  $x$  and  $y$  to  $G$ .

For each vertex  $x_i$  of a graph  $G$ , we consider a new vertex  $y_i$  and add the whisker  $x_i y_i$  to  $G$ . Let  $G'$  be the graph obtained in this way. We will call it the *whisker graph on  $G$* .

Dochtermann and Engström [4] have shown the following result:

**Theorem 2.4** ([4], Theorem 4.4). Let  $G'$  be the graph obtained by adding a whisker to all vertices of a graph on  $n$  vertices. Then the ideal  $I(G')$  is Cohen-Macaulay and  $\text{ht}(I(G')) = n$ .

*Proof.* The Cohen-Macaulay property was proven in Theorem 4.4 [4]. For the second part of the claim it suffices to observe that  $I(G')$  is pure (see Bruns-Herzog [3], Cor. 5.1.5) and that the ideal generated by the vertices of  $G$  is a minimal prime ideal of  $I(G')$ .  $\square$

**3. The arithmetical rank of the edge ideals of whisker graphs on paths and cycles.** In this section, we show that the edge ideals of the whisker graphs on line graphs and cycle graphs are set-theoretic complete intersections.

Let  $n \in \mathbb{N}$ ,  $n \geq 2$ , and let  $L_n$  be the line graph (path) of length  $n - 1$ , with vertex set  $V(L_n) = \{x_1, \dots, x_n\}$  and edge set  $E(L_n) = \{x_1 x_2, x_2 x_3, \dots, x_{n-1} x_n\}$ .

For each vertex  $x_i$  consider a new vertex  $y_i$  and the whisker  $x_i y_i$ . We will adopt this notation throughout the paper. Call  $L'_n$  the graph obtained in this way.

**Lemma 3.1.** *With respect to the above notations,*

$$\text{ara}(I(L'_n)) = \text{ht}(I(L'_n)) = |V(L_n)| = n,$$

thus  $I(L'_n)$  is a set-theoretic complete intersection.

**Proof.** If  $n = 2$ , set

$$\begin{aligned} q_0 &= x_1 x_2 \\ q_1 &= x_1 y_1 + x_2 y_2. \end{aligned}$$

For each  $n \geq 3$ , set

$$\begin{aligned} q_0 &= x_1 x_2 \\ q_1 &= x_1 y_1 + x_2 x_3 \\ &\vdots \\ q_{n-2} &= x_{n-2} y_{n-2} + x_{n-1} x_n \\ q_{n-1} &= x_{n-1} y_{n-1} + x_n y_n. \end{aligned}$$

Applying Lemma 2.1, we show that  $I(L'_n) = \sqrt{(q_0, \dots, q_{n-1})}$ , which implies the claim. For  $i = 0, \dots, n-1$ , we take  $P_i$  to be the set of the monomials of  $q_i$ . The assumptions of Lemma 2.1 are obviously fulfilled if  $n = 2$ . So let  $n \geq 3$ . Then (i) and (ii) hold true and, moreover, if  $i \in \{1, \dots, n-2\}$ , the product of the two monomials in  $P_i$  is  $x_i y_i \cdot x_{i+1} x_{i+2}$ , which is a multiple of  $x_i x_{i+1} \in P_{i-1}$ , and the product of the two monomials in  $P_{n-1}$  is  $x_{n-1} y_{n-1} \cdot x_n y_n$ , which is a multiple of  $x_{n-1} x_n \in P_{n-2}$ .  $\square$

**Definition 3.2.** *Let  $n \in \mathbb{N}$ ,  $n \geq 3$ . An  $n$ -sunlet graph (or  $n$ -sun graph) is a graph  $G$  with  $2n$  vertices, obtained by adding a whisker to each vertex of a cycle graph  $C_n$  of length  $n$ .*

Given a cycle  $C_n$  with vertex set  $V(C_n) = \{x_1, \dots, x_n\}$  and edge set  $E(C_n) = \{x_1 x_2, x_2 x_3, \dots, x_{n-1} x_n, x_n x_1\}$ , we consider the  $n$ -sunlet graph  $S_n$  on  $C_n$ , obtained by adding to each vertex  $x_i$  of  $C_n$  a whisker, whose terminal vertex is  $y_i$ , for all  $i = 1, \dots, n$ . Thus,  $S_n$  has vertex set  $V(S_n) = \{x_1, \dots, x_n, y_1, \dots, y_n\}$  and edge set  $E(S_n) = \{x_1 x_2, x_2 x_3, \dots, x_{n-1} x_n, x_n x_1, x_1 y_1, x_2 y_2, \dots, x_n y_n\}$ .

**Lemma 3.3.** *For each  $n \in \mathbb{N}$ ,  $n \geq 3$ , the edge ideal of the  $n$ -sunlet graph  $S_n$  is a set-theoretic complete intersection, namely*

$$\text{ara}(I(S_n)) = \text{ht}(I(S_n)) = |V(C_n)| = n.$$

Proof. We distinguish the following cases.

If  $n = 3$ , consider the following sums of monomials

$$\begin{aligned} q_0 &= x_1x_2 \\ q_1 &= x_1x_3 + x_2x_3 \\ q_2 &= x_1y_1 + x_2y_2 + x_3y_3. \end{aligned}$$

If  $n = 4$ , set

$$\begin{aligned} q_0 &= x_1x_2 \\ q_1 &= x_1x_4 + x_2x_3 \\ q_2 &= x_1y_1 + x_2y_2 + x_3x_4 \\ q_3 &= x_3y_3 + x_4y_4. \end{aligned}$$

Finally, for  $n = 5$ , set

$$\begin{aligned} q_0 &= x_1x_2 \\ q_1 &= x_1x_5 + x_2x_3 \\ q_2 &= x_1y_1 + x_4x_5 \\ q_3 &= x_2y_2 + x_3x_4 + x_3y_3x_5y_5 \\ q_4 &= x_3y_3 + x_4y_4 + x_5y_5. \end{aligned}$$

Now suppose that  $n \geq 6$ . In this case set

$$\begin{aligned} q_0 &= x_1x_2 \\ q_1 &= x_1x_n + x_2x_3 \\ q_2 &= x_2y_2 + x_3x_4 \\ &\vdots \\ q_{n-4} &= x_{n-4}y_{n-4} + x_{n-3}x_{n-2} \\ q_{n-3} &= x_1y_1 + x_{n-1}x_n \\ q_{n-2} &= x_{n-3}y_{n-3} + x_{n-2}x_{n-1} + x_{n-2}y_{n-2}x_ny_n \\ q_{n-1} &= x_{n-2}y_{n-2} + x_{n-1}y_{n-1} + x_ny_n. \end{aligned}$$

Then, in any case, we have  $I(S_n) = \sqrt{(q_0, \dots, q_{n-1})}$  by Lemma 2.1. We show that its assumptions are fulfilled by the sets  $P_0, \dots, P_{n-1}$ , where, for all  $i = 0, \dots, n-1$ ,  $P_i$  is the set of monomials appearing in  $q_i$ . It is straightforward to verify that conditions (i) and (ii) are satisfied. Evidently condition (iii) is true if  $n \in \{3, 4, 5\}$ . We prove it for  $n \geq 6$ . The product of the monomials in  $P_1$  is  $x_1x_n \cdot x_2x_3$ , which is a multiple of  $x_1x_2 \in P_0$ . For  $i = 2, \dots, n-4$ , the product of the monomials of  $P_i$  is  $x_iy_i \cdot x_{i+1}x_{i+2}$ , which is a multiple of  $x_ix_{i+1} \in P_{i-1}$ . The product of the monomials of  $P_{n-3}$  is  $x_1y_1 \cdot x_{n-1}x_n$ , a multiple

of  $x_1x_n \in P_1$ . In  $P_{n-2}$ , we can form three products:  $x_{n-3}y_{n-3} \cdot x_{n-2}x_{n-1}$  and  $x_{n-3}y_{n-3} \cdot x_{n-2}y_{n-2}x_ny_n$ , which are multiples of  $x_{n-3}x_{n-2} \in P_{n-4}$ , and  $x_{n-2}x_{n-1} \cdot x_{n-2}y_{n-2}x_ny_n$ , which is a multiple of  $x_{n-1}x_n \in P_{n-3}$ . As for  $P_{n-1}$ , we have  $x_{n-2}y_{n-2} \cdot x_{n-1}y_{n-1}$ , which is a multiple of  $x_{n-2}x_{n-1} \in P_{n-2}$ ,  $x_{n-2}y_{n-2} \cdot x_ny_n$ , which is an element of  $P_{n-2}$ , and  $x_{n-1}y_{n-1} \cdot x_ny_n$  which is a multiple of  $x_{n-1}x_n \in P_{n-3}$ . This completes the proof.  $\square$

#### 4. The arithmetical rank of a large class of whisker graphs.

Consider the following family of graphs. For some integer  $r \geq 0$ , let  $S_0, \dots, S_r$  be pairwise disjoint finite sets of paths and cycles (*blocks*) fulfilling the following conditions:

(a)  $|S_0| = 1$ ;

(b) for all  $i = 2, \dots, r$ , and all  $H \in S_i$ ,

$$V(H) \cap \bigcup_{\substack{K \in S_j \\ j \in \{0, \dots, i-2\}}} V(K) = \emptyset;$$

(c) for all  $i = 1, \dots, r$ , and all  $H \in S_i$ , there is  $v \in V(H)$  such that

$$V(H) \cap \bigcup_{\substack{K \in S_j, K \neq H \\ j \in \{0, \dots, i\}}} V(K) = V(H) \cap \bigcup_{K \in S_{i-1}} V(K) = \{v\}.$$

In other words, every  $H \in S_i$  has exactly one vertex in common with the union of the blocks belonging to  $\bigcup_{j=0}^i S_j$ , and this vertex belongs to some block  $K \in S_{i-1}$ , and to none of the blocks  $L \in S_j$ , with  $j \leq i-2$ .

(d) Two paths belonging to  $S$  can only intersect in their terminal vertices, and a path belonging to  $S$  can intersect a cycle belonging to  $S$  only in one of its terminal vertices.

Whenever  $H \in S_i$ , we will say that  $H$  has *rank*  $i$ .

Note that, as a consequence of condition (c), if  $H$  and  $H'$  are different blocks of rank  $i$  having one vertex in common, then this vertex belongs to some block of rank  $i-1$ , and is their unique common vertex. Moreover, if  $H$  is a block of rank  $i$ , then the block  $K$  of rank  $i-1$  with which  $H$  has a vertex  $v$  in common is unique: if there were another block  $K'$  of rank  $i-1$  containing  $v$ , then  $v$  would belong to some block of rank  $i-2$ , which would contradict condition (b).

Let  $S_0 = \{G_0\}$  and consider the graph  $G = \bigcup_{K \in S} K$ .

An easy induction on the rank yields the following

**Lemma 4.1.** *We have*

$$|V(G)| = |V(G_0)| + \sum_{\substack{H \in S \\ H \neq G_0}} (|V(H)| - 1).$$

Consider a graph  $G$  as above and let  $G'$  the graph obtained by adding a whisker to each vertex of  $G$ . As usual, call  $x_k$  the vertices of  $G$  and  $y_k$  the terminal vertices connected to  $x_k$ .

**Theorem 4.2.** *With respect to the notations introduced above,*

$$\text{ara}(I(G')) = \text{ht}(I(G')) = |V(G)|,$$

*so that  $I(G')$  is a set-theoretic complete intersection.*

*Proof.* Let  $S$  and  $S_i$  be the sets defined above. Fix an element  $G_0 \in S$ . Let  $r$  be the maximum rank of the elements of  $S$ . If  $r = 0$ , the claim follows from Lemma 3.1 if  $G_0$  is a path, and from Lemma 3.3 if  $G_0$  is a cycle. So assume that  $r > 0$ . Suppose that  $V(G_0) = \{x_1^0, \dots, x_{n_0}^0\}$ , and call  $y_k^0$  the terminal vertex of the whisker attached to  $x_k^0$ . Suppose that  $x_{a_1}^0, \dots, x_{a_s}^0$  are the vertices that  $G_0$  has in common with the elements of  $S_1$ . For all  $j = 1, \dots, s$ , let  $G_{(1,j)} \in S_1$  be one of the blocks that has  $x_{a_j}^0$  among its vertices (in Figure 1,  $j = 1$ ). Let  $x_{a_j}^1$  be a vertex of  $G_{(1,j)}$  that is adjacent to  $x_{a_j}^0$  (the one following  $x_{a_j}^0$  in the clockwise order, if  $G_{(1,j)}$  is a cycle). Let  $G'_0$  be the subgraph of  $G'$  induced on the vertex set

$$V(G_0) \cup \{y_k^0 \mid k \notin \{a_1, \dots, a_s\}\} \cup \{x_{a_1}^1, \dots, x_{a_s}^1\}.$$

Then  $G'_0$  is a whisker graph on  $G_0$ . More precisely, the terminal vertex of the whisker attached to  $x_k^0$  is  $x_k^1$  if  $k \in \{a_1, \dots, a_s\}$ , and is  $y_k^0$  otherwise. Hence, for all  $j \in \{1, \dots, s\}$ , the edge  $x_{a_j}^0 x_{a_j}^1$  of  $G_{(1,j)}$  is a whisker of  $G'_0$ .

Now let  $i > 0$ . Let  $G_{(i,1)}, \dots, G_{(i,\beta)}$  be all graphs of  $S_i$  that have a certain vertex  $x^{i-1}$  in common with a given element  $G_{i-1}$  of  $S_{i-1}$  (see Figure 2). Fix an index  $j \in \{1, \dots, \beta - 1\}$ , and set  $G_i = G_{(i,j)}$  (in Figure 2,  $j = 1$ ). Let  $V(G_i) = \{x_1^i, \dots, x_{n_i}^i\}$ , and call  $y_k^i$  the terminal vertex of the whisker attached to  $x_k^i$ . We may assume that  $x_1^i = x^{i-1}$ . Let  $x_{b_1}^i, \dots, x_{b_t}^i$  be the vertices of  $G_i$  that  $G_i$  has in common with some elements  $G_{(i+1,1)}, \dots, G_{(i+1,t)}$  of  $S_{i+1}$ . This set of vertices may be empty (which is certainly the case if  $i = r$ ). Note that these vertices are all different from  $x_1^i$  because, by definition of  $S_{i+1}$ ,  $G_{(i+1,j)}$  has no vertex in common with  $G_{i-1}$ . For all  $j = 1, \dots, t$ , let  $x_{b_j}^{i+1}$  be a vertex of  $G_{(i+1,j)}$



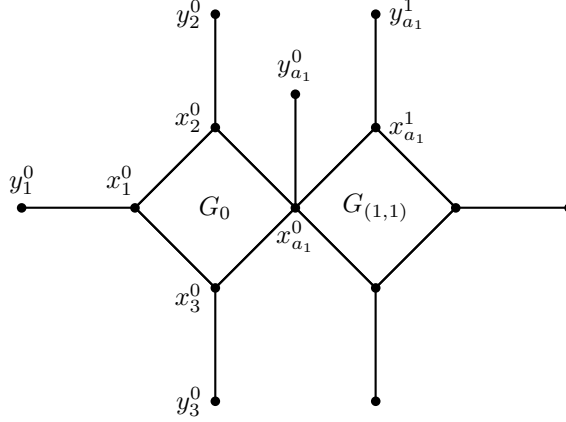


Fig. 1

adjacent to  $x_{b_j}^i$  (the one following  $x_{b_j}^i$  in the clockwise order, if  $G_{(i+1,j)}$  is a cycle). Moreover, let  $z_j$  be a vertex of  $G_{(i,j+1)}$  adjacent to  $x_1^i$ . Let  $G'_i$  be the subgraph of  $G'$  induced on the vertex set

$$V(G_i) \cup \{y_k^i \mid k \notin \{1, b_1, \dots, b_t\}\} \cup \{x_{b_1}^{i+1}, \dots, x_{b_t}^{i+1}\} \cup \{z_j\}.$$

Thus  $G'_i$  is a whisker graph on  $G_i$ . More precisely, the terminal vertex of the whisker attached to  $x_k^i$  is  $z_j$  if  $k = 1$ , is  $x_k^{i+1}$  if  $k \in \{b_1, \dots, b_t\}$ , and is  $y_k^i$  otherwise. Hence, the edge  $x_1^i z_j$  of  $G_{(i,j+1)}$ , and for all  $j \in \{1, \dots, t\}$ , the edge  $x_{b_j}^i x_{b_j}^{i+1}$  of  $G_{(i+1,j)}$  are whiskers of  $G'_i$ .

Finally, set  $\overline{G}_i = G_{(i,\beta)}$ . Let  $V(\overline{G}_i) = \{\overline{x}_1^i, \dots, \overline{x}_{m_i}^i\}$ , and call  $\overline{y}_k^i$  the terminal vertex of the whisker attached to  $\overline{x}_k^i$ . We may assume that  $\overline{x}_1^i = x^{i-1}$ . Let  $\overline{x}_{c_1}^i, \dots, \overline{x}_{c_u}^i$  be the vertices of  $\overline{G}_i$  that  $\overline{G}_i$  has in common with some elements  $\overline{G}_{(i+1,1)}, \dots, \overline{G}_{(i+1,u)}$  of  $S_{i+1}$ . For all  $j = 1, \dots, u$ , let  $\overline{x}_{c_j}^{i+1}$  be a vertex of  $\overline{G}_{(i+1,j)}$  adjacent to  $\overline{x}_{c_j}^i$  (the one following  $\overline{x}_{c_j}^i$  in the clockwise order, if  $\overline{G}_{(i+1,j)}$  is a cycle).

Let  $\overline{G}'_i$  be the subgraph of  $G'$  induced on the vertex set

$$V(\overline{G}_i) \cup \{\overline{y}_k^i \mid k \notin \{c_1, \dots, c_u\}\} \cup \{\overline{x}_{c_1}^{i+1}, \dots, \overline{x}_{c_u}^{i+1}\}.$$

Thus  $\overline{G}'_i$  is a whisker graph on  $\overline{G}_i$ . More precisely, the terminal vertex of the whisker attached to  $\overline{x}_k^i$  is  $\overline{x}_k^{i+1}$  if  $k \in \{c_1, \dots, c_u\}$ , and is  $\overline{y}_k^i$  otherwise. Hence, for all  $j \in \{1, \dots, u\}$ , the edge  $\overline{x}_{c_j}^i \overline{x}_{c_j}^{i+1}$  of  $G_{(i+1,j)}$  is a whisker of  $\overline{G}'_i$ .

In Figure 2 the edges of the whisker graph  $G'_{(i,1)}$  are dashed lines and the edges of the whisker graph  $\overline{G}'_i$  are dotted lines. By means of the above construction,  $G'$  is subdivided in subgraphs that are whisker graphs and have pairwise no edge in common. Each of them is a whisker graph  $H'$  on an element

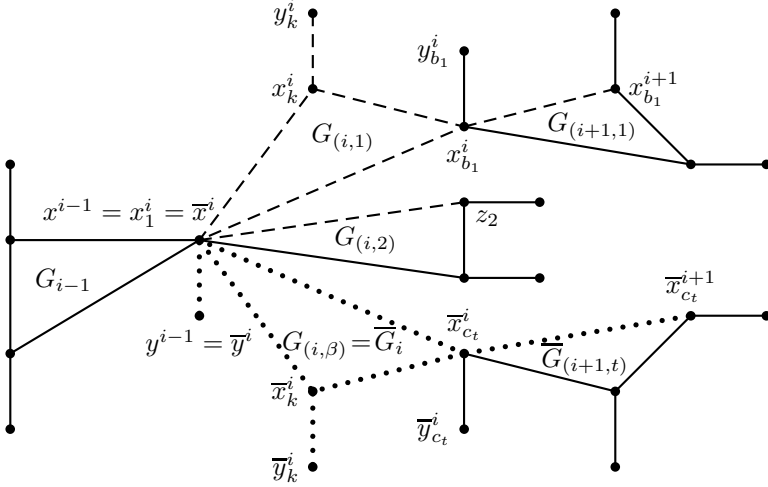


Fig. 2

$H$  of  $S$ . Moreover, whenever  $H \neq G_0$ , exactly one of the edges of  $H$  is a whisker of  $K'$  for some other  $K \in S$ , which has a vertex in common with  $H$  and whose rank is equal to the rank of  $H$ , or to the rank of  $H$  minus one.

Now we construct a set of  $|V(G)|$  polynomials that generate  $I(G')$  up to radical. This set will be obtained by attaching a certain set of polynomials to each  $H \in S$ , and then taking the union of all this sets. First consider  $H = G_0$ . The set of polynomials attached to  $G_0$  is  $Q_0$ , a set of  $|V(G_0)|$  polynomials that generate  $I(G'_0)$  up to radical, and are defined as in Lemma 3.1 if  $G_0$  is a path, and as in Lemma 3.3 if  $G_0$  is a cycle. Now let  $H$  be an element of  $S$  other than  $G_0$ . We will attach to  $H$  a set of  $|V(H)| - 1$  polynomials. To this end, we will first apply Lemma 3.1 or Lemma 3.3 to construct a set of  $|V(H)|$  polynomials that generate  $I(H')$  up to radical, and then we will cancel one polynomial. Let us describe the procedure. Note that  $H \in S_k$  for some  $k \geq 1$ . The elements of  $S_k$  that share a vertex with the same element  $G_{k-1}$  of  $S_{k-1}$  will be denoted, as above,  $G_{(k,1)}, \dots, G_{(k,j)}, \dots, G_{(k,\beta)}$ . Call  $Q_{k-1}$  the set of polynomials attached to  $G_{k-1}$ .

First suppose that  $H = G_{(k,1)}$  ( $k = i + 1$  in Figure 2). The edge  $x_{b_1}^{k-1}x_{b_1}^k$  of  $G_{(k,1)}$  is a whisker of  $G'_{(k-1,1)}$ . Arrange the vertices of  $G_{(k,1)}$  in such a way that  $x_{b_1}^{k-1}, x_{b_1}^k$  are the first two (those corresponding to  $x_1$  and  $x_2$  in the proofs of the aforementioned lemmas). Note that if  $G_{(k,1)}$  is a path,  $x_{b_1}^{k-1}$  is a terminal

vertex, as is  $x_1$  in the proof of Lemma 3.1, because  $x_{b_1}^{k-1}$  is the vertex shared by  $G_{(k,1)}$  and  $G_{(k-1,1)}$ . Then, applying the construction described in one of the lemmas, we obtain a set of  $|V(G_{(k,1)})|$  polynomials that generate  $I(G'_{(k,1)})$  up to radical, the first of which is  $q_0 = x_{b_1}^{k-1}x_{b_1}^k$ . We then omit this polynomial, and let  $Q_{(k,1)}$  be the resulting set of polynomials. The quadratic monomials appearing in these polynomials are those corresponding to all edges of  $G_{(k,1)}$  (with the only exception of the edge  $x_{b_1}^{k-1}x_{b_1}^k$ ) and all whiskers of  $G'_{(k,1)}$ .

Now suppose that  $H = G_{(k,j)}$  with  $j \in \{2, \dots, \beta\}$  ( $k = i, j = 2$  in Figure 2). The edge  $x_i^k z_j$  of  $G_{(k,j)}$  is a whisker of  $G'_{(k,j-1)}$ . Arrange the vertices of  $G_{(k,j)}$  in such a way that  $x_1^k, z_j$  are the first two. Then, as in the previous case, construct  $|V(G_{(k,j)})|$  polynomials that generate  $I(G'_{(k,j)})$  up to radical, the first of which is  $q_0 = x_1^k z_j$ . We then omit this polynomial, and let  $Q_{(k,j)}$  be the resulting set of polynomials. The quadratic monomials appearing in these polynomials are those corresponding to all edges of  $G_{(k,j)}$  (with the only exception of the edge  $x_1^k z_j$ ) and all whiskers of  $G'_{(k,j)}$ .

Let  $Q$  be the union of the sets of polynomials defined above. Then, by Lemma 4.1,  $|Q| = |V(G)|$ . The claim follows if one can prove that  $I(G') = \sqrt{(Q)}$ . We show that this equality is a consequence of Lemma 2.1. Consider any arrangement of the sets of polynomials such that

- (i)  $Q_0$  is the first element,
- (ii) for all indices  $k, j$ ,  $Q_{k-1}$  precedes  $Q_{(k,j)}$ ,
- (iii) for all indices  $k, j$ ,  $Q_{(k,j-1)}$  precedes  $Q_{(k,j)}$ .

Let  $T^0, \dots, T^N$  be such an arrangement. For all  $i$ , call  $H_i$  the element of  $S$  associated with the set  $T^i$  in the construction described above. Moreover, for all  $r$ , let  $G'_r = \bigcup_{i=0}^r H_i$ , so that  $G' = G'_N$ . We show, by (finite) induction on  $r \geq 0$ , that, for all  $r$ ,

$$I(G'_r) = \sqrt{\left(\bigcup_{i=0}^r T^i\right)},$$

whence, in particular,  $I(G') = \sqrt{(Q)}$ , as claimed. For  $r = 0$ , the claim is true by the first step of the above construction, which, in view of condition (i), yields  $I(G'_0) = \sqrt{(Q_0)} = \sqrt{(T^0)}$ . So assume that  $r \geq 1$  and that the claim is true

for  $r - 1$ . Let  $M$  be a set of minimal monomial generators of  $I(G'_{r-1})$ , and let  $q_1, \dots, q_s$  be the polynomials of  $T^r$ . Then, by induction

$$\sqrt{\left(\bigcup_{i=0}^r T^i\right)} = \sqrt{I(G'_{r-1}) + (T^r)} = \sqrt{(M) + (q_1, \dots, q_s)}.$$

Now, with respect to the notation used in the above construction,  $H_r$  is either of the form  $G_{(k,1)}$  or  $G_{(k,j)}$ , with  $j \in \{2, \dots, \beta\}$ . In the first case, in view of condition (ii), we have that  $Q_{k-1} = T^i$  for some  $i < r$ . Hence the monomial  $x_{b_1}^{k-1}x_{b_1}^k$  (which corresponds to a whisker of  $G_{k-1}$ ) belongs to  $M$ . Now, as shown in the proofs of Lemmas 3.1 and 3.3, for all  $j = 1, \dots, s$ , the product of any two monomials of  $q_j$  is either divisible by a monomial appearing in  $q_h$ , for some  $h < j$ , or is divisible by  $x_{b_1}^{k-1}x_{b_1}^k$ . Recall that, according to the above construction,  $\sqrt{(x_{b_1}^{k-1}x_{b_1}^k, q_1, \dots, q_s)} = I(H'_r)$ . By Lemma 2.1 it thus follows that  $\sqrt{(M) + (q_1, \dots, q_s)} = I(G'_{r-1}) + I(H'_r) = I(G'_r)$ . The second case can be treated similarly, using condition (iii).  $\square$

**Example 4.3.** Let us give an application of the preceding result. Consider the following graph  $G$ :

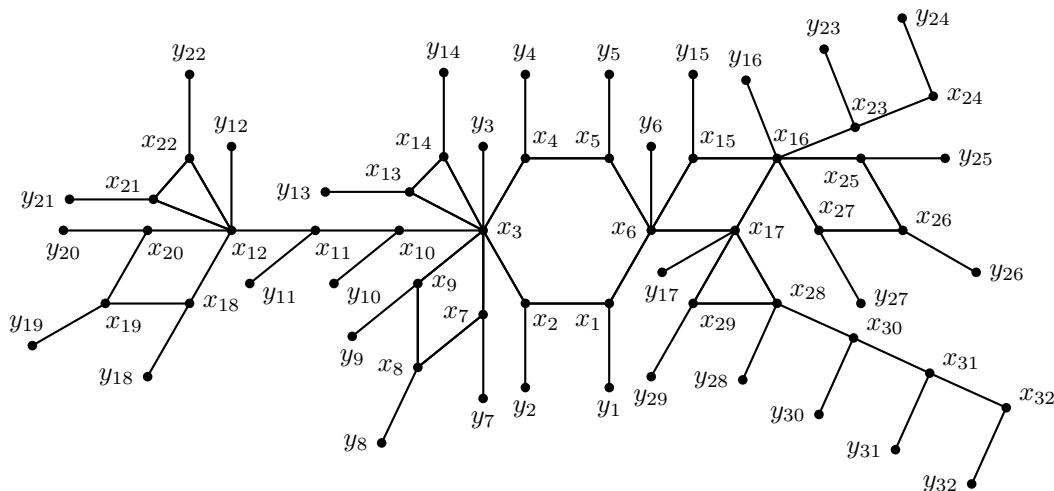


Fig. 3

The edge ideal of  $G$  is

$$I(G) = (x_1x_2, x_2x_3, x_3x_4, x_4x_5, x_5x_6, x_1x_6, x_3x_7, x_7x_8, x_8x_9, x_3x_9, x_3x_{10}, x_{10}x_{11}, \\ x_{11}x_{12}, x_3x_{13}, x_{13}x_{14}, x_3x_{14}, x_6x_{15}, x_{15}x_{16}, x_{16}x_{17}, x_6x_{17}, x_{12}x_{18}, x_{18}x_{19}, \\ x_{19}x_{20}, x_{12}x_{20}, x_{12}x_{21}, x_{21}x_{22}, x_{12}x_{22}, x_{16}x_{23}, x_{23}x_{24}, x_{16}x_{25}, x_{25}x_{26}, \\ x_{26}x_{27}, x_{16}x_{27}, x_{17}x_{28}, x_{28}x_{29}, x_{17}x_{29}, x_{28}x_{30}, x_{30}x_{31}, x_{31}x_{32}, x_iy_i \\ | i = 1, \dots, 32).$$

We define eleven sets of polynomials.

- The first set is:

$$q_0 = x_1x_2$$

$$q_1 = x_1x_6 + x_2x_3$$

$$q_2 = x_2y_2 + x_3x_4$$

$$q_3 = \boxed{x_3x_7} + x_4x_5$$

$$q_4 = x_4y_4 + x_5x_6 + x_1y_1x_5y_5$$

$$q_5 = x_1y_1 + x_5y_5 + \boxed{x_6x_{15}}.$$

- The second set is:

$$q_6 = x_3x_9 + x_7x_8$$

$$q_7 = \boxed{x_3x_{10}} + x_7y_7 + x_8x_9$$

$$q_8 = x_8y_8 + x_9y_9.$$

- The third set is:

$$q_9 = \boxed{x_3x_{13}} + x_{10}x_{11}$$

$$q_{10} = x_{10}y_{10} + x_{11}x_{12}$$

$$q_{11} = x_{11}y_{11} + \boxed{x_{12}x_{18}}.$$

- The fourth set is:

$$q_{12} = x_3x_{14} + x_{13}x_{14}$$

$$q_{13} = x_3y_3 + x_{13}y_{13} + x_{14}y_{14}$$

- The fifth set is:

$$q_{14} = x_6x_{17} + x_{15}x_{16}$$

$$q_{15} = x_6y_6 + x_{15}y_{15} + x_{16}x_{17}$$

$$q_{16} = \boxed{x_{16}x_{23}} + \boxed{x_{17}x_{28}}.$$

- The sixth set is:

$$q_{17} = x_{12}x_{20} + x_{18}x_{19}$$

$$q_{18} = \boxed{x_{12}x_{21}} + x_{18}y_{18} + x_{19}x_{20}$$

$$q_{19} = x_{19}y_{19} + x_{20}y_{20}.$$

- The seventh set is:

$$q_{20} = x_{12}x_{22} + x_{21}x_{22}$$

$$q_{21} = x_{12}y_{12} + x_{21}y_{21} + x_{22}y_{22}.$$

- The eighth set is:

$$q_{22} = \boxed{x_{16}x_{25}} + x_{23}x_{24}$$

$$q_{23} = x_{23}y_{23} + x_{24}y_{24}.$$

- The ninth set is:

$$q_{24} = x_{16}x_{27} + x_{25}x_{26}$$

$$q_{25} = x_{16}y_{16} + x_{25}y_{25} + x_{26}x_{27}$$

$$q_{26} = x_{26}y_{26} + x_{27}y_{27}.$$

- The tenth set is:

$$q_{27} = x_{17}x_{29} + x_{28}x_{29}$$

$$q_{28} = x_{17}y_{17} + \boxed{x_{28}x_{30}} + x_{29}y_{29}.$$

- The eleventh set is:

$$q_{29} = x_{28}y_{28} + x_{30}x_{31}$$

$$q_{30} = x_{30}y_{30} + x_{31}x_{32}$$

$$q_{31} = x_{31}y_{31} + x_{32}y_{32}.$$

We have that  $I(G) = \sqrt{(q_0, \dots, q_{31})}$ , whence  $\text{ara}(I(G)) = 32$ .

**5. Final remarks.** The graphs  $G$  considered in Theorem 4.2 are sometimes referred to as *cactus graphs*. This class includes all bicyclic graphs. It also includes all trees, which can be characterized as the cactus graphs where all blocks are paths. The whisker graph on a tree is again a tree (and, conversely, if a whisker graph is a tree, it is obviously a whisker graph on a tree). In [11] Villarreal has shown that the edge ideal of a tree is Cohen-Macaulay if and only if it is a whisker graph. In view of the results presented by Kimura and Terai [7] we have the following characterization, which clarifies the role of whisker graphs in combinatorial commutative algebra.

**Corollary 5.1.** *Let  $G$  be a tree. The following conditions are equivalent.*

- (a)  $I(G)$  is a set-theoretic complete intersection.
- (b)  $I(G)$  is Cohen-Macaulay.
- (c)  $I(G)$  is a pure.
- (d)  $G$  is a whisker graph.

*Proof.* According to the Auslander-Buchsbaum formula, we have

$$\text{pd}(R/I(G)) = \text{depth } R - \text{depth}(R/I(G)) \geq \dim R - \dim(R/I(G)) = \text{ht}(I(G)),$$

and, on the other hand,  $\text{ara}(I(G)) \geq \text{pd}(R/I(G))$ . Hence, whenever  $\text{ara}(I(G)) = \text{ht}(I(G))$ , one has that  $\text{depth}(R/I(G)) = \dim(R/I(G))$ . This shows that (a)  $\Rightarrow$  (b). The implication (b)  $\Rightarrow$  (c) follows from [3], Cor. 5.1.5. According to [7], Theorem 1.1, we also have that  $\text{ara}(I(G)) = \text{bight}(I(G))$ , where the latter number (the so-called *big height*) denotes the maximum height of the minimal prime ideals of  $I(G)$ . This shows that (c)  $\Rightarrow$  (a). Finally, the equivalence (b)  $\Leftrightarrow$  (d) is Theorem 2.4 in [11].  $\square$

In the case where  $G$  is any tree, Kimura and Terai give an explicit description of  $\text{ara}(I(G))$  polynomials generating  $I(G)$  up to radical, which form a so-called *tree-like system*. For the whisker trees, a system of polynomials of the same type has been obtained in the present paper through a recursive construction.

**Remark 5.2.** All graphs  $G$  considered in this paper are supposed to be connected, but this assumption is by no means restrictive. In fact, in the general case, if  $G_1, \dots, G_s$  are the connected components of  $G$ , then the whisker graphs  $G'_1, \dots, G'_s$  are the connected components of the whisker graph  $G'$ . Since

$$\text{ht}(I(G')) = \sum_{i=1}^s \text{ht}(I(G'_i)) \leq \text{ara}(I(G')) \leq \sum_{i=1}^s \text{ara}(I(G'_i)),$$

if  $I(G'_1), \dots, I(G'_s)$  are set-theoretic complete intersections, then so is  $I(G')$ .

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