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## UNIVALENT FUNCTIONS THAT ARE LOCAL EXTREMA OF TWO REAL FUNCTIONALS

VICTOR V. STARKOV

The class  $S$  consists of all functions  $f(z) = z + c_2 z^2 + \dots$  that are regular and univalent in the unit disc. Let the functionals  $F(c_2, \dots, c_n)$  and  $\Phi(c_2, \dots, c_m)$  has nonvanishing gradient in domains containing sets of the type  $\{|c_2| \leq 2, \dots, |c_r| \leq r\}$ . A function  $f_0 \in S$  is found for which the functionals  $F$  and  $\Phi$  attain local extremum.

Let  $S$  be the class of functions  $f(z) = z + \sum_{k=2}^{\infty} c_k z^k$ ,  $c_k = \zeta_{2k-1} + i\zeta_{2k}$  regular and univalent in the disc  $\Delta = \{z : |z| < 1\}$ .

If  $f, g \in S$  the metric  $\rho(f, g) = \max_{|z|=1/2} |f(z) - g(z)|$  turns the class  $S$  into a compact metric space.

For a function  $\varphi(z) = \sum_{n=0}^{\infty} \gamma_n z^n$  regular in a neighbourhood of the origin we shall use the notation  $\{\varphi\}_n = \gamma_n$ .

Let  $F = F(c_2, \dots, c_n)$  be a real-valued function of the variables  $\zeta_j$  ( $3 \leq j \leq 2n$ ) defined and continuously differentiable in some domain containing the set  $\{|c_2| \leq 2, \dots, |c_n| \leq n\}$ . Moreover suppose  $\text{grad } F \neq 0$  in this domain. We shall consider the function  $F$  as a functional on the class  $S$ . Let  $\Phi = \Phi(c_2, \dots, c_m)$  be another functional on  $S$  of the mentioned type.

With some additional assumption concerning  $F$  and  $\Phi$  we find the form of a function  $f_0 \in S$ , which is locally extremal for both of these functionals. Earlier [1, 2, 3] (see also [4, p. 347-351]) solutions of this problem has been obtained under some restrictions on  $F, \Phi$  as well as on  $f_0$ . For the couples of functionals

$$F = |c_n|, \quad \Phi = |c_m|,$$

$$F = |\{\log f'(z)\}_n|, \quad \Phi = |\{\log f'(z)\}_m|,$$

$$F = |\{\log [f(z)/z]\}_n|, \quad \Phi = |\{\log [f(z)/z]\}_m|$$

( $n \neq m$  in all the three cases) the set of functions  $f_0$  has been described by V. V. Andreev and the author [7] for the first case (the last two are submitted for publication).

$$\text{Denote } \lambda_k = 2(\partial F / \partial \bar{c}_k) = (\partial F / \partial \zeta_{2k-1}) + i(\partial F / \partial \zeta_{2k}), \quad k = 2, \dots, n,$$

$$\mu_l = 2(\partial \Phi / \partial \bar{c}_l) = (\partial \Phi / \partial \zeta_{2l-1}) + i(\partial \Phi / \partial \zeta_{2l}), \quad l = 2, \dots, m.$$

Let  $u \in [2, n]$  and  $v \in [2, m]$  be the greatest integers for which  $\lambda_u \neq 0$  and  $\mu_v \neq 0$  respectively. It is well known ([3, Ch. 1], [4, p. 338-343]) that if the functional

$F = F(c_2, \dots, c_n)$  possesses a local extremum at the function  $w = f_0(z) = \sum_{k=1}^{\infty} a_k z^k$ ,  $a_1 = 1$ , the following differential equation is satisfied

$$(1) \quad \left(\frac{zw'}{w}\right)^2 Q_u(w) + P_u(z) = 0,$$

where

$$P_u(z) = \sum_{k=2}^u \left[ \bar{\lambda}_k \left( \sum_{j=1}^{k-1} (k-j) a_{k-j} z^{-j} \right) + (k-1) \bar{\lambda}_k a_k + \lambda_k \left( \sum_{j=1}^{k-1} (k-j) \bar{a}_{k-j} z^j \right) \right],$$

$$-Q_u(w) = \sum_{k=2}^u \bar{\lambda}_k q_k(w),$$

$$q_k(w) = \left\{ \frac{f_0^2(z)}{f_0(z) - w} \right\}_k = - \sum_{j=1}^{k-1} \{f_0^{j+1}(z)\}_k w^{-j}.$$

On the other hand, if the functional  $\Phi = \Phi(c_2, \dots, c_m)$  possesses a local extremum at the same function  $f_0(z)$  an analogous differential equation will be satisfied. Namely

$$(2) \quad \left(\frac{zw'}{w}\right)^2 Q_v(w) + P_v(z) = 0,$$

where

$$P_v(z) = \sum_{l=2}^v \left[ \bar{\mu}_l \left( \sum_{j=1}^{l-1} (l-j) a_{l-j} z^{-j} \right) + \bar{\mu}_l (l-1) a_l + \mu_l \left( \sum_{j=1}^{l-1} (l-j) \bar{a}_{l-j} z^j \right) \right],$$

$$Q_v(w) = \sum_{l=2}^v \bar{\mu}_l q_l(w).$$

**Theorem 1.** Let  $u \geq 2$ ,  $v \geq 2$  be integers,

$$(3) \quad \left| \frac{\mu_{v-1}}{\mu_v} (u-3) + \frac{\lambda_{u-1}}{\lambda_u} (v-3) \right| > 4|u-v|$$

and  $f_0 \in S$  satisfies simultaneously the equations (1) and (2). Then

$$(4) \quad f_0(z) = z(1 - ze^{i\tau_1})^{-1} (1 - ze^{i\tau_2})^{-1},$$

where  $\tau_1, \tau_2$  are real.

In the equality case in (3), but  $u \neq v$ ,  $f_0(z)$  is also in the form (4).

If  $u = v = 3$  and  $(\lambda_{u-1}/\lambda_u) \neq (\mu_{v-1}/\mu_v)$  or

$$(5) \quad \left| \frac{\lambda_{u-1}}{\lambda_u} \right| \geq 4 \quad (\lambda_2, \dots, \lambda_u) \neq (\mu_2, \dots, \mu_v)$$

$f_0(z)$  is also of the form (4).

PROOF. We shall consider first the case  $u \neq v$ . Let the function  $f_0(z)$  satisfies (1) and (2). Following [3, p. 260-261], [4, p. 346-350] we shall show that  $f_0(z)$  can be continued on  $\mathbb{C}$  as an algebraic function. Really after dividing the equation (1) by the equation (2) we obtain

$$(6) \quad \frac{Q_u(w)}{Q_v(w)} = \frac{P_u(z)}{P_v(z)} \Leftrightarrow w^{v-u} G(w) = z^{v-u} H(z),$$

where  $H(z)$  and  $G(w)$  are rational functions and  $H(0) = G(0) = 1$ . It follows from (6) that  $f_0(z)$  is an algebraic function. Hence  $f_0(z)$  can be continued from  $\Delta$  to  $\mathbb{C}$  except for a finite number of poles and algebraic branching points. Denote such an analytic continuation by  $\mathcal{F}(z)$ . The function  $w = \mathcal{F}(z)$  satisfies the equations (1) and (2). It has been shown [3, p. 261], [4, p. 349] that in a neighbourhood of the origin every branch of  $\mathcal{F}(z)$  has the series expansion  $\mathcal{F}(z) = B_1 z + \dots$ . Extracting  $(v-u)$ -th root from the both sides of (6) we obtain

$$(7) \quad \varphi(w) = e^{i\theta} \psi(z),$$

where  $\varphi$  and  $\psi$  are regular in a neighbourhood of the origin,  $\varphi(0) = \psi(0) = 0$ ,  $\varphi'(0) = \psi'(0) = 1$ ,  $e^{i\theta(v-u)} = 1$  ( $\theta$  characterizes the branch we have chosen). From (7) we conclude that in a neighbourhood of the origin every branch of  $w = \mathcal{F}(z)$  has the series expansion

$$(8) \quad \mathcal{F}(z) = \varphi^{-1}(e^{i\theta} \psi(z)) = b_1 z + \dots, \quad b_1 = e^{i\theta}.$$

In the sequel we shall show that under the hypothesis of Theorem 1 the only possible value of  $b_1$  is 1. Together with (7) this will give that every branch of  $\mathcal{F}(z)$  coincides with  $f_0(z)$  in some neighbourhood of the origin and, thus,  $\mathcal{F}(z)$  is single-valued in  $\mathbb{C}$ . Moreover this shows that  $\mathcal{F}(z)$  is a rational function.

Assume that  $b_1 \neq 1$ . Using (8) we obtain

$$[z\mathcal{F}'(z)/\mathcal{F}(z)]^2 = 1 + 2b_2 b_1^{-1} z + O(z^2),$$

$$[\mathcal{F}(z)]^{-j} = (b_1 z)^{-j} - j b_2 b_1^{-j-1} z^{1-j} + O(z^{2-j}).$$

Hence

$$Q_u(\mathcal{F}(z)) = - \left[ \frac{\bar{\lambda}_u}{(b_1 z)^{u-1}} - \frac{\bar{\lambda}_u b_2 (u-1)}{b_1^u z^{u-2}} + \frac{\bar{\lambda}_u (u-1) a_2 + \bar{\lambda}_{u-1}}{b_1^{u-2} z^{u-2}} + O(z^{3-u}) \right],$$

$$[z\mathcal{F}'(z)/\mathcal{F}(z)] Q_u(\mathcal{F}(z)) = - \frac{\bar{\lambda}_u}{(b_1 z)^{u-1}} + \frac{\bar{\lambda}_u b_2 (u-3)}{b_1^u z^{u-2}} - \frac{\bar{\lambda}_u (u-1) a_2 + \bar{\lambda}_{u-1}}{(b_1 z)^{u-2}} + O(z^{3-u}).$$

On the other hand,

$$P_u(z) = \frac{\bar{\lambda}_u}{z^{u-1}} + \frac{2\bar{\lambda}_u a_2 + \bar{\lambda}_{u-1}}{z^{u-2}} + O(z^{3-u}).$$

Comparing in (1) the coefficients of the terms containing  $z^{2-u}$  and  $z^{1-u}$  respectively we obtain

$$(9) \quad 2\bar{\lambda}_u a_2 + \frac{\bar{\lambda}_u b_2(u-3)}{b_1^u} - \frac{\bar{\lambda}_u(u-1)a_2 + \bar{\lambda}_{u-1}}{b_1^{u-2}} = 0,$$

$$\bar{\lambda}_u - \bar{\lambda}_u b_1^{1-u} = 0.$$

Hence  $b_1^{1-u} = 1$  and we derive

$$(10) \quad (u-3)b_2 - a_2 b_1 [(u-1)b_1 - 2] = \frac{\bar{\lambda}_{u-1}}{\bar{\lambda}_u} b_1 (b_1 - 1).$$

Proceeding in the same way we derive from (2)

$$(11) \quad b_1^{v-1} = 1,$$

$$(12) \quad (v-3)b_2 - a_2 b_1 [(u-1)b_1 - 2] = \frac{\bar{\mu}_{u-1}}{\bar{\mu}_u} b_1 (b_1 - 1).$$

Eliminating  $b_2$  from (10) and (12) we find

$$a_2 = \frac{1}{2(v-u)} \left[ \frac{\bar{\mu}_{v-1}}{\bar{\mu}_v} (u-3) - \frac{\bar{\lambda}_{u-1}}{\bar{\lambda}_u} (v-3) \right].$$

The well known estimation  $|a_2| \leq 2$  [5] for  $f_0 \in S$  with extremal function the Koebe function  $z(1 - ze^{i\theta})^{-2}$  and (3) yield either  $f_0(z)$  is the Koebe function or  $b_1 = 1$  and hence the function  $f_0(z)$  is rational. It is known [1, 6] also [4, p. 347] that if the rational function  $f_0 \in S$  satisfies an equation of type (1)  $f_0(z)$  is of the form (4).

Let now  $u = v$ . From (3) and (5) it follows that with respect to  $w$  (6) is an algebraic equation of degree greater than zero. Hence  $w = f_0(z)$  can be continued in  $\mathbb{C}$  except for a finite number of poles and algebraic branching points. We rewrite (6) in the form

$$(13) \quad \frac{A_s w^s + \dots + \bar{\lambda}_u}{\bar{A}_r w^r + \dots + \bar{\mu}_u} = \frac{\lambda_u z^{2(u-1)} + \dots + \bar{\lambda}_u}{\mu_u z^{2(u-1)} + \dots + \bar{\mu}_u},$$

where  $s, r$  are positive integers and  $A_s, \bar{A}_r \neq 0$ . After subtracting  $\bar{\lambda}_u/\bar{\mu}_u$  from both sides of (13) it becomes

$$(14) \quad w^x G(w) = z^x H(z),$$

where  $x$  is some positive integer and  $G(w)$ ,  $H(z)$  are rational functions. Since the equation (14) is satisfied for  $w=f_0(z)$ ,  $G(0)=H(0)=0$ . As in the first part of the proof from (1) and (14) we obtain that in a neighbourhood of the origin every branch of  $\mathcal{F}(z)$  has the series expansion  $\mathcal{F}(z)=b_1z+b_2z^2+\dots=w$ ,  $b_1^x=1$  and the statement of the theorem will be proved if we show that  $b_1=1$  for any branch of  $\mathcal{F}(z)$ .

In the case in question the equations (10) and (12) become

$$(15) \quad (u-3)b_2 - a_2b_1[(u-1)b_1 - 2] = \frac{\bar{\lambda}_{u-1}}{\bar{\lambda}_u}(b_1-1)b_1$$

and

$$(16) \quad (u-3)b_2 - a_2b_1[(u-1)b_1 - 2] = \frac{\bar{\mu}_{u-1}}{\bar{\mu}_u}(b_1-1)b_1.$$

If  $b_1 \neq 1$  (15) and (16) yield  $(\bar{\lambda}_{u-1}/\bar{\lambda}_u) = (\bar{\mu}_{u-1}/\bar{\mu}_u)$  which contradicts (3). Let  $u=v=3$  and  $b_1 \neq 1$ . From (15) and (16) we obtain

$$-2a_2 = \frac{\bar{\lambda}_{u-1}}{\bar{\lambda}_u} = \frac{\bar{\mu}_{u-1}}{\bar{\mu}_u}.$$

Hence, having in mind (5), we obtain  $|a_2| \geq 2$ , which is possible only for the Koebe function.

So either  $f_0(z)$  is the Koebe function or  $b_1=1$  and then  $f_0(z)$  is of the form (4).

This completes the proof of Theorem 1.

**Corollary 1.** *If the functionals  $F$ ,  $\Phi$  possess a local extremum at  $f_0 \in S$  and satisfy the condition of Theorem 1, then  $f_0(z)$  is of the form (4).*

It is known [1, 4] that the statement of Theorem 1 is valid provided the restrictions (3) and (5) are replaced by the restriction  $(u-1)$  and  $(v-1)$  to be relatively prime.

**Theorem 2.** Let

a)  $\psi_1(\xi) = \sum_{l=0}^{\infty} A_l(\xi-1)^l$  and  $\psi_2(\xi) = \sum_{l=0}^{\infty} B_l(\xi-1)^l$  be two functions regular in a neighbourhood of the point  $\xi=1$  with  $A_1B_1 \neq 0$ ,

b)  $n \geq 2$ ,  $m \geq 2$ ,  $n \neq m$  be integers,

c)  $\alpha, \beta \in \mathbb{C}$ ,  $\alpha \neq n/(n-1)$ ,  $\beta \neq m/(m-1)$ .

Denote

$$\sigma_n(j) = \begin{cases} 1, & j = (n+1)/2, \\ 2, & j \neq (n+1)/2, \end{cases} \quad \sigma_m(j) = \begin{cases} 1, & j = (m+1)/2, \\ 2, & j \neq (m+1)/2. \end{cases}$$

Suppose

$$(17) \quad \frac{A_2\sigma_n(j)[\alpha+(1-\alpha)(n-j+1)][\alpha+(1-\alpha)j]}{A_1[\alpha+(1-\alpha)n]}(m-2j+1) \\ - \frac{B_2\sigma_m(j)[\beta+(1-\beta)(m-j+1)][\beta+(1-\beta)j]}{B_1[\beta+(1-\beta)m]}(n-2j+1) \neq (n-m)j$$

for all integers  $j$ ,  $2 \leq j \leq \min(n, m)$ . If the functionals

$$F = F(c_2, \dots, c_n) = \operatorname{Re}[\{\psi_1(\alpha \frac{f(z)}{z} + (1-\alpha)f'(z))\}_{n-1}]$$

and

$$\Phi = \Phi(c_2, \dots, c_m) = \operatorname{Re}[\{\psi_2(\beta \frac{f(z)}{z} + (1-\beta)f'(z))\}_{m-1}]$$

posses local extremum, at  $f_0 \in S$ , then

$$(18) \quad f_0(z) = \frac{z}{\sqrt[d_0]{(1 - e^{i\tau_1} z^{d_0})(1 - e^{i\tau_2} z^{d_0})}}, \quad \sqrt[d_0]{1} = 1,$$

where  $\tau_1, \tau_2$  are real,  $d_0$  is a common divisor of  $(n-1)$  and  $(m-1)$ .

Proof. For the functionals in the statement we have

$$\bar{\lambda}_n = A_1[\alpha + (1-\alpha)n] \neq 0, \quad \bar{\mu}_m = B_1[\beta + (1-\beta)m] \neq 0.$$

Of course the function  $w = f_0(z) = z + \sum_{j=2}^{\infty} a_j z^j$  we seek satisfies the equations (1) and (2). Hence, as in the proof of Theorem 1, we arrive to the conclusion that  $f_0(z)$  is an algebraic function. In a neighbourhood of the origin any branch of its analytic continuation  $\mathcal{F}(z)$  has the series expansion

$$(19) \quad w = \mathcal{F}(z) = \sum_{j=1}^{\infty} b_j z^j, \quad b_1^{n-m} = 1,$$

where the different coefficients  $b_1$  correspond to different branches of  $\mathcal{F}(z)$ . The function  $\mathcal{F}(z)$  satisfies the equations (1) and (2) as well. If in (19)  $b_1 = 1$  for every branch of  $\mathcal{F}(z)$ , then (see the proof of Theorem 1)  $\mathcal{F}(z)$  is a rational function and as earlier we conclude that  $f_0(z)$  is of the form (18).

Assume that for some branch of  $\mathcal{F}(z)$  we have  $b_1 \neq 1$  in (19) and let  $d$  be the smallest positive integer for which  $b_1^d = 1$ . Using induction we shall prove that  $a_j, b_j, \lambda_{n-j+1}, \mu_{m-j+1}$  can differ from zero only if  $j = dk + 1$  (for some integer  $k \geq 0$ ).

1) Let  $j = 2$ . It is easily calculated that

$$\bar{\lambda}_{n-1} = A_2 a_2 \sigma_n(2) [\alpha + (1-\alpha)2] [\alpha + (n-1)(1-\alpha)],$$

$$\bar{\mu}_{m-1} = B_2 a_2 \sigma_m(2) [\beta + (1-\beta)2] [\beta + (m-1)(1-\beta)].$$

For the functionals in the statement the equalities (9)-(12) hold if we put  $u = n$ ,  $v = m$ . From (9) and (11) we conclude that  $d$  is a common divisor of  $(n-1)$  and  $(m-1)$ . Elimination of  $b_2$  from (10) and (12) gives

$$\begin{aligned}
2a_2(n-m) &= \frac{\bar{\lambda}_{n-1}}{\bar{\lambda}_n}(m-3) - \frac{\bar{\mu}_{m-1}}{\bar{\mu}_m}(n-3) \\
&= a_2 \left[ \frac{A_2 \sigma_n(2)[\alpha + (1-\alpha)2][\alpha + (1-\alpha)(n-1)]}{A_1[\alpha + (1-\alpha)n]}(m-3) \right. \\
&\quad \left. - \frac{B_2 \sigma_m(2)[\beta + (1-\beta)2][\beta + (1-\beta)(m-1)]}{B_1[\beta + (1-\beta)m]}(n-3) \right],
\end{aligned}$$

which contradicts (17) provided  $a_2 \neq 0$ . Hence  $a_2 = 0$ ,  $\lambda_{n-1} = \mu_{m-1} = 0$ . From (10) we obtain  $b_2 = 0$ . This completes the proof of our assertion when  $j = 2$ .

2) Suppose our assertion has been proved for all positive integers less than  $kd+l+1$  for some integers  $k$  and  $l$  ( $k \geq 0$ ,  $0 < l < d$ ). We first consider the case  $kd+l+1 < \min(n, m)$ . From the inductive assumption it follows

$$\bar{\lambda}_{n-kd-l} = A_2 a_{kd+l+1} \sigma_n(kd+l+1)[\alpha + (1-\alpha)(kd+l+1)][\alpha + (1-\alpha)(n-kd-l)]$$

so that for positive integers  $j < kd+l$  the quantity  $\lambda_{n-j}$  can be different from zero only if  $j = rd$  (for some integer  $r \geq 0$ ). In the following  $R_j(\xi)$ ,  $R_j(0) = 0$  will stand for a polynomial of  $\xi$  of degree at most  $j$ , and  $h_j(\xi) = \sum_{v=j}^{\infty} \gamma_v \xi^v$  for a regular function. We have

$$[\mathcal{F}(z)]^{-j} = (b_1 z)^{-j} [1 + R_k(z^d) - j b_{kd-l-1} b_1^{-1} z^{kd+l} + h_{kd+l+1}(z)],$$

$$[z \mathcal{F}'(z) / \mathcal{F}(z)]^2 = 1 + R_k(z^d) + 2(kd+l) b_{kd+l+1} b_1^{-1} z^{kd+l} + h_{kd+l+1}(z),$$

$$Q_n(w) = \sum_{r=0}^k \bar{\lambda}_{n-rd} q_{n-rd}(w) + \bar{\lambda}_{n-kd-l} q_{n-kd-l}(w) + \sum_{v=2}^{n-kd-l-1} \lambda_v q_v(w),$$

where

$$q_{n-rd}(w) = - \sum_{j=1}^{n-rd-1} \{f_0^{j+1}(z)\}_{n-rd} w^{-j}.$$

Further

$$\{f_0^{j+1}(z)\}_{n-rd} = \{[1 + R_k(z^d) + a_{kd+l+1} z^{kd+l} + h_{kd+l+1}(z)]^{j+1}\}_{n-rd-j-1}$$

can be  $\neq 0$  only for  $j = n-rd-1, n-rd-d-1, \dots, n-(r+k)d-1, n-(r+k)d-1-l$ , as well as for  $j < n-(r+k)d-1-l$ . Moreover

$$\{f_0^{n-kd-l}(z)\}_n = (n-kd-l) a_{kd+l+1}.$$

Consequently

$$Q_n(w) = - \frac{\bar{\lambda}_n}{w^{n-1}} \left[ 1 + R_k(w^d) + \left( \frac{\bar{\lambda}_{n-kd-l}}{\bar{\lambda}_n} + (n-kd-l) a_{kd+l+1} \right) w^{kd+l} + h_{kd+l+1}(w) \right].$$

Thus



$$Q_n(\mathcal{F}(z)) = \frac{\bar{\lambda}_n}{z^{n-1}} \left[ 1 + R_k(z^d) - (n-1) \frac{b_{kd+l+1}}{b_1} z^{kd+l} + \left( \frac{\bar{\lambda}_n - kd - l}{\bar{\lambda}_n} + (n - kd - l) a_{kd+l+1} \right) b_1^l z^{kd+l} + h_{kd+l+1}(z) \right].$$

So we obtain

$$[z\mathcal{F}'(z)/\mathcal{F}(z)]^2 Q_n(\mathcal{F}(z)) = -\frac{\bar{\lambda}_n}{z^{n-1}} \left[ 1 + R_k(z^d) + z^{kd+l} \left( \frac{\bar{\lambda}_n - kd - l}{\bar{\lambda}_n} b_1^l + b_1^l (n - kd - l) a_{kd+l+1} + \frac{b_{kd+l+1}}{b_1} (2(kd+l) - n + 1) \right) + h_{kd+l+1}(z) \right].$$

From the inductive assumption it follows that

$$P_n(z) = z^{1-n} [\bar{\lambda}_n + R_k(z^d) + z^{kd+l} (\bar{\lambda}_n (kd+l+1) a_{kd+l+1} + \bar{\lambda}_n - kd - l) + h_{kd+l+1}(z)].$$

Comparing in (1) the coefficients preceding  $z^{kd+l+1-n}$  we obtain

$$(20) \quad (n-1-2(kd+l))b_{kd+l+1} - b_1(b_1^l(n-kd-l) - (kd+l+1)a_{kd+l+1}) = \frac{\bar{\lambda}_n - kd - l}{\bar{\lambda}_n} (b_1^l - 1)b_1.$$

Analogous reasoning for the functional  $\Phi$  gives

$$(21) \quad (m-1-2(kd+l))b_{kd+l+1} - b_1(b_1^l(m-kd-l) - (kd+l+1)a_{kd+l+1}) = \frac{\bar{\mu}_m - kd - l}{\bar{\mu}_m} (b_1^l - 1)b_1.$$

Here

$$\bar{\mu}_{m-kd-l} = B_2 a_{kd+l+1} \sigma_m (kd+l+1) [\beta + (1-\beta)(kd+l+1)] [\beta + (1-\beta)(m-kd-l)].$$

Elimination of  $b_{kd+l+1}$  from (20) and (21) gives

$$(22) \quad (b_1^l - 1) a_{kd+l+1} (kd+l+1)(n-m) = (b_1^l - 1) \left[ \frac{\bar{\lambda}_n - kd - l}{\bar{\lambda}_n} (m-1-2(kd+l)) - \frac{\bar{\mu}_m - kd - l}{\bar{\mu}_m} (n-1-2(kd+l)) \right].$$

Since  $b_1^l \neq 1$  then if  $a_{kd+l+1} \neq 0$  it follows from (22) that

$$(kd+l+1)(n-m) = \frac{A_2 \sigma_n (kd+l+1) [\alpha + (1-\alpha)(kd+l+1)] [\alpha + (1-\alpha)(n-kd-l)]}{A_1 [\alpha + (1-\alpha)n]}$$

$$\times (m-1-2(kd+l)) - \frac{B_2 \sigma_m(kd+l+1)[\beta+(1-\beta)(kd+l+1)][\beta+(1-\beta)(m-kd-l)]}{B_1[\beta+(1-\beta)m]} \\ \times (n-1-2(kd+l)),$$

which contradicts (17). Hence  $a_{kd+l+1}=0$ ,  $\lambda_{n-kd-l}=\mu_{m-kd-l}=0$ . From (20) we obtain  $b_{kd+l+1}=0$ . We may assume that  $\min(n, m)=n$  which means we have proved our assertion for  $j \in [2, n]$ . It has been shown that  $Q_n(w)$  and  $P_n(z)$  contain only these powers of the variables which are multiples of  $d$ .

Now let  $kd+l+1 > \min(m, n)=n$  ( $l \neq 0$  yields  $kd+l+1 \neq m, n$ ). Denote  $n_0-1=(n-1)/d$ ,  $k \geq n_0-1$ . Then

$$Q_n(w) = -\frac{\bar{\lambda}_{n-1}}{w^{n-1}} [1 + R_{n-2}(w^d)], \\ Q_n(\mathcal{F}(z)) = \frac{\bar{\lambda}_n}{b_1^{n-1} z^{n-1}} [1 + R_k(z^d) - (n-1) \frac{b_{kd+l+1}}{b_1} z^{kd+l} + h_{kd+l+1}(z)], \\ [z\mathcal{F}'(z)/\mathcal{F}(z)]^2 Q_n(\mathcal{F}(z)) = -\frac{\bar{\lambda}_n}{z^{n-1}} [1 + R_k(z^d) + \frac{b_{kd+l+1}}{b_1} (2kd+2l-n+1) z^{kd+l} \\ + h_{kd+l+1}(z)].$$

On the other hand,  $P_n(z)$  does not contain  $z^{kd+l+1+n}$ . Thus comparing the coefficients in (1) we obtain  $b_{kd+l+1}=0$  for every branch of  $\mathcal{F}(z)$ . In particular  $a_{kd+l+1}=0$ . Hence for  $2 \leq j \leq m$  our assertion concerning  $\mu_j$  is true.

This completes the proof.

So

$$(A) \quad f_0(z) = z + \sum_{k=1}^{\infty} a_{kd+1} z^{kd+1}$$

and  $P_n(z)$ ,  $P_m(z)$ ,  $Q_n(w)$ ,  $Q_m(w)$  contain only these powers of the variables which are multiples of  $d$ .

Let  $d_\nu$ ,  $1 \leq \nu \leq s$ , be different positive integers with the property: for every  $d_\nu$  there exists a branch  $\mathcal{F}(z) = b_1 z + \dots$  such that  $b_1^{d_\nu} = 1$ ,  $b_1^l \neq 1$  for all integers  $l \in [1, d_\nu)$ . The number  $d$  earlier introduced is among the number  $d_\nu$ . Let  $d_0$  be the smallest positive integer that is multiple of  $d_1, \dots, d_s$ . Since (A) holds for each  $d_1, \dots, d_s$

$$f_0(z) = z + \sum_{k=1}^{\infty} a_{kd_0+1} z^{kd_0+1}$$

and  $P_n(z)$ ,  $P_m(z)$ ,  $Q_n(w)$ ,  $Q_m(w)$  include only such powers of the variables which are multiples of  $d_0$ . Moreover  $b_1^{d_0} = 1$  for any branch  $\mathcal{F}(z) = b_1 z + \dots$ , i.e. in a neighbourhood of the origin

$$(23) \quad w^{d_0} = \mathcal{F}^{d_0}(z) = z^{d_0} + \dots$$

This allows to make in (1) and (2) the substitution  $w_1 = w^{d_0}$ ,  $z_1 = z^{d_0}$ . Denote  $f_1(z_1) = f_1(z^{d_0}) = f_0^{d_0}(z)$ ,  $f_1 \in S$  and with  $n_1 - 1 = (n - 1)/d_0$

$$\tilde{P}_{n_1}(z_1) = \tilde{P}_{n_1}(z^{d_0}) = P_n(z), \quad \tilde{Q}_{n_1}(w_1) = \tilde{Q}_{n_1}(w^{d_0}) = Q_n(w).$$

Analogously for  $m_1 - 1 = (m - 1)/d_0$

$$\tilde{P}_{m_1}(z_1) = P_m(z), \quad \tilde{Q}_{m_1}(w_1) = Q_m(w).$$

Then (1) and (2) become

$$(24) \quad \left( \frac{z_1}{w_1} \frac{dw_1}{dz_1} \right)^2 \tilde{Q}_{n_1}(w_1) + \tilde{P}_{n_1}(z_1) = 0$$

and

$$(25) \quad \left( \frac{z_1}{w_1} \frac{dw_1}{dz_1} \right)^2 \tilde{Q}_{m_1}(w_1) + \tilde{P}_{m_1}(z_1) = 0.$$

On the other hand (6) becomes

$$w_1^{m_1 - n_1} G_1(w_1) = z_1^{m_1 - n_1} H_1(z_1),$$

where  $G_1(w_1)$  and  $H_1(z_1)$  are rational functions,  $G_1(0) = H_1(0) = 1$ . We mark that  $f_1(z_1)$  satisfies the equations (24) and (25). This yields that  $w_1 = w_1(z_1)$  is an algebraic function. As earlier we obtain that in a neighbourhood of the origin any branch of  $w_1(z_1)$  has the expansion  $w_1(z_1) = B_1 z_1 + \dots$ . From (23) it follows that  $B_1 = 1$ . It means (see the proof of Theorem 1) that  $w_1(z_1)$  is a rational function, i. e.  $f_1(z_1)$  is rational. But a rational function in  $S$  that satisfies an equation of the form (24) has the form (4) [1], [6], [4, p. 347], i. e.

$$f_1(z_1) = \frac{z_1}{(1 - z_1 e^{ir_1})(1 - z_1 e^{ir_2})}.$$

Consequently  $f_0(z)$  has the form (18).

Theorem 2 is proved.

For  $\alpha = \beta = 1$  and  $\psi_1(\xi) = \psi_2(\xi)$  we derive from Theorem 2.

**Corollary 2.** *Let the function  $\psi(\xi) = \sum_{l=0}^{\infty} A_l(\xi - 1)^l$ ,  $A_1 = 0$  be regular in a neighbourhood of the point  $\xi = 1$  and  $n \geq 2$ ,  $m \geq 2$ ,  $n \neq m$ , be integers. Let the integer  $j \neq (n + 1)/2$  and  $j \neq (m + 1)/2$ . Suppose that  $-A_2/A_1 \neq j/2$ ,  $2 \leq j \leq \min(n, m)$  and  $-A_2/A_1 \neq (n + 1)/2$ ,  $(m + 1)/2$  if  $(n + 1)$ ,  $(m + 1)$  is even respectively.*

*If the functionals*

$$\operatorname{Re} \left[ \left\{ \psi \left( \frac{f(z)}{z} \right) \right\}_n \right], \operatorname{Re} \left[ \left\{ \psi \left( \frac{f(z)}{z} \right) \right\}_m \right]$$

posses local extremum at  $f_0 \in S$ , then the function  $f_0(z)$  is of the form (18).

Corollary 3. By the initial assumption of Corollary 2 suppose

$$2 \frac{A_2}{A_1} \left[ (j-1) \frac{n+m-1-2j}{nm} - 1 \right] \neq 1$$

and  $-A_2/A_1 \neq 2n/(n+1)$ ,  $2m/(m+1)$  if  $(n+1)$ ,  $(m+1)$  is even respectively.

If the functionals  $\operatorname{Re}[\{\psi(f'(z))\}_{n-1}]$  and  $\operatorname{Re}[\{\psi(f'(z))\}_{m-1}]$  posses local extremum at  $f_0 \in S$ , then the function  $f_0(z)$  is of the form (18).

Choosing  $\psi(\xi) = \xi$  and  $\psi(\xi) = \log \xi$  the results obtained by V. V. Andreev and the author appear.

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