Provided for non-commercial research and educational use. Not for reproduction, distribution or commercial use.

# Serdica Mathematical Journal Сердика

# Математическо списание

The attached copy is furnished for non-commercial research and education use only. Authors are permitted to post this version of the article to their personal websites or institutional repositories and to share with other researchers in the form of electronic reprints. Other uses, including reproduction and distribution, or selling or licensing copies, or posting to third party websites are prohibited.

For further information on
Serdica Mathematical Journal
which is the new series of
Serdica Bulgaricae Mathematicae Publicationes
visit the website of the journal http://www.math.bas.bg/~serdica
or contact: Editorial Office
Serdica Mathematical Journal
Institute of Mathematics and Informatics
Bulgarian Academy of Sciences
Telephone: (+359-2)9792818, FAX:(+359-2)971-36-49
e-mail: serdica@math.bas.bg

Serdica
Mathematical Journal

Institute of Mathematics and Informatics, Bulgarian Academy of Sciences

# FRAGMENTABILITY OF THE DUAL OF A BANACH SPACE WITH SMOOTH BUMP

## I. Kortezov

Communicated by J. Jayne

ABSTRACT. We prove that if a Banach space X admits a Lipschitz  $\beta$ -smooth bump function, then  $(X^*, weak^*)$  is fragmented by a metric, generating a topology, which is stronger than the  $\tau_{\beta}$ -topology. We also use this to prove that if  $X^*$  admits a Lipschitz Gâteaux-smooth bump function, then X is sigma-fragmentable.

In [12] the authors proved that if a real Banach space admits an equivalent  $\beta$ -smooth norm, then every continuous convex function f defined on an open subset U of X is generically  $\beta$ -differentiable, that is, f is  $\beta$ -differentiable at the points of some dense  $G_{\delta}$  subset of U. In particular, X is weak Asplund when we speak about the Gâteaux bornology. In [2] it was described how to weaken the hypothesis in this case, namely that the existence of Lipschitz Gâteaux-smooth bump is sufficient to guarantee that X is weak Asplund. Later, Li Yongxin and Shi Shuzhong [10] strenghtened the result of [12] in the general case (for generical  $\beta$ -differentiability) by proving that the conclusion in [12] is true even if

1991 Mathematics Subject Classification: 46B20

Key words: smooth bump, fragmentability, sigma-fragmentability

the Banach space only admits a Lipschitz  $\beta$ -smooth bump function. This result is generalised there in the terms of minimal  $weak^*$  usco mappings ([10, Theorem 2], see Corollary 2 here). Meanwhile, Ribarska [14] has shown that if a Banach space X admits an equivalent  $\beta$ -smooth norm, then  $(X^*, weak^*)$  is fragmented by a metric, generating a topology, which is stronger than the  $\tau_{\beta}$ -topology (see the definition), which is formally stronger than the results in [12]. Here we shall see that the existence of a Lipschitz  $\beta$ -smooth bump is sufficient for the same conclusion (Theorem 3). This result is stronger in view of the example of a space with a Lipschitz Fréchet-smooth bump and no equivalent Gâteaux-smooth norm constructed in [4]. Thus we obtain a common strenghtening of the result in [14] and the mentioned results from [10].

We learned by the referee that M. Fosgerau has proved in his Ph.D. Thesis [3] that if a Banach space admits a Lipschitz Gâteaux-smooth bump function, then  $(X^*, weak^*)$  is fragmentable. Theorem 3 here contains this result as a special case. The result of Fosgerau has not been published.

As a consequence we can also strengthen a result from [9], namely Corollary 0.5. there, saying that if X is a Banach space, such that its dual  $X^*$  has an equivalent (not necessarily dual) Gâteaux-smooth norm, then (X, weak) is sigma-fragmentable by the norm. Here we prove this assertion under (possibly) weaker assumption of  $X^*$  having Lipschitz Gâteaux-smooth bump instead of equivalent Gâteaux-smooth norm.

We use a game introduced in [7] and a method used in [10] for proving our main theorem.

**Definition 1.** ([6]). The topological space X is called fragmentable by a metric  $\rho$  if for every  $\varepsilon > 0$ , every subset of X has a nonempty relatively open subset of  $\rho$ -diameter less than  $\varepsilon$ 

**Definition 2** ([5]). The Banach space X is called sigma-fragmentable if for every  $\varepsilon > 0$ , X can be expressed as  $X = \bigcup_{n \geq 1} X_n$  such that for every n, every subset of  $X_n$  has a nonempty relatively weakly open subset of norm-diameter less than  $\varepsilon$ 

In [7] the fragmentability of a space X was characterized by the existence of a winning strategy for the player  $\Omega$  in the following ("fragmenting") game G. Two players ( $\Sigma$  and  $\Omega$ ) alternatively take non-empty subsets of X.  $\Sigma$  starts the game by choosing any subset  $A_1$  of X and  $\Omega$  answers by taking a relatively open

subset  $B_1 \subset A_1$ . After that, on the *n*-th move  $\Sigma$  takes any subset  $A_n$  of the last move  $B_{n-1}$  of  $\Omega$  and the latter answers again by taking a relatively open subset  $B_n$  of the set  $A_n$  just chosen by  $\Sigma$ . Using this way of selection, the players get a sequence of non-empty sets  $A_1 \supset B_1 \supset A_2 \supset \cdots A_n \supset B_n \supset \cdots$ , which is called a play. The player  $\Omega$  is said to have won the play if the set  $\bigcap_{n\geq 1} A_n$  contains at most one point.

**Theorem 1** ([7, Theorem 1.1]). The topological space X is fragmentable if and only if the player  $\Omega$  has a winning strategy for the game G.

**Theorem 2** ([8, Theorem 1.2]). Let t be some topology, possibly different from the original topology  $\tau$  on X. The topological space  $(X, \tau)$  is fragmentable by a metric which majorizes the topology t if and only if there exists a strategy for the player  $\Omega$  such that  $\bigcap_{n\geq 1} A_n = \emptyset$  or  $\bigcap_{n\geq 1} A_n = \{x\}$  and for every t-neighborhood U of x, there exists a positive integer k with  $B_k \subset U$ .

Let X be a real Banach space, and let  $\beta$  be a bornology on X. For the notions of  $\beta$ -superdifferentiable and  $\beta$ -subdifferentiable extended real-valued functions,  $\beta$ -smooth function, as well as  $\beta$ -(sub/super)derivative we refer to [10], [1] or [11]. The  $\beta$ -derivative of a function f at a point x will be denoted by  $\nabla_{\beta} f(x)$ . The Gâteaux and Fréchet bornologies are denoted by G and F, respectively.

**Definition 3.** Let  $\beta$  be a bornology on the space X. The (locally convex)  $\tau_{\beta}$ -topology on the dual space  $X^*$  is given by the zero-neighborhood base  $\{D_{S,\varepsilon}: S \in \beta, \ \varepsilon > 0\}$ , where  $D_{S,\varepsilon} = \{x^* \in X^*: \forall x \in S, \langle x^*, x \rangle < \varepsilon\}$ 

In particular,  $\tau_G$  is the  $weak^*$  topology and  $\tau_F$  is the norm topology (on  $X^*$ ).

**Proposition 1** ([10]). Let the Banach space X satisfy  $(H_{\beta})$ , that is, let there exist a Lipschitz  $\beta$ -smooth bump function  $\nu: X \to [0, +\infty)$ . Then X satisfies also  $(H'_{\beta})$ , that is, there exists a Lipschitz  $\beta$ -superdifferentiable function  $\mu: X \to [0, 1]$  such that  $\mu(0) = 0$  and  $\mu(x) = 1$  for  $||x|| \ge 1$ .

**Definition 4.** The continuous function  $\rho: X \to [1, +\infty]$  is called a  $\beta$ -well function, if it is  $\beta$ -superdifferentiable,  $\rho(0) < +\infty$  and  $\rho(x) = +\infty$  for  $||x|| \ge 1$ .

**Proposition 2** ([10]). Let the Banach space X satisfy  $(H'_{\beta})$  Then there exists a  $\beta$ -well function on X.

**Proposition 3** ([10]). Let  $\rho_0$  be a  $\beta$ -well function on X,  $\mu$  be the function from the definition of  $(H'_{\beta})$ ,  $\mu_n(x) = \mu(nx)/2^n$ , n = 1, 2, ... and  $\{e_n\}_{n=1}^{\infty} \subset X$ . Then

$$\rho_n(x) = \rho_0(x) + \sum_{k=1}^n \mu_k(x - e_k), \quad n = 1, 2, \dots,$$

and

$$\rho_{\infty}(x) = \rho_0(x) + \sum_{k=1}^{\infty} \mu_k(x - e_k)$$

are all  $\beta$ -well functions on X.

**Definition 5.** Let  $\rho$  be a  $\beta$ -well function on X. The gauge function  $\rho^*$  on  $X^*$  is defined for any  $x^* \in X^*$  by

$$\rho^*(x^*) = \sup_{e \in X} \frac{\langle x^*, e \rangle}{\rho(e)}$$

**Proposition 4** ([10]). Let  $\rho^*$  be the gauge function from the last definition. Then there is some  $\varepsilon_0 \in (0,1)$  such that

$$\forall x^* \in X^*, \ (1 - \varepsilon_0) \|x^*\| \le \rho^*(x^*) \le \|x^*\|.$$

**Proposition 5** ([10]). Let  $\rho$  be a  $\beta$ -well function on X,  $e_0 \in X$  with  $\rho(e_0) < +\infty$  and  $x_0^* \in X^*$  be such that

$$c := \rho^*(x_0^*) = \frac{\langle x_0^*, e_0 \rangle}{\rho(e_0)} > 0$$

then

- (i)  $\rho$  is  $\beta$ -differentiable at  $e_0$  and  $x_0^* = c\nabla_{\beta}\rho(e_0)$ ;
- (ii)  $\forall S \in \beta, \forall \varepsilon > 0, \exists \delta > 0 \text{ such that }$

$$D_{\rho,e_0,x_0^*,\delta} := \{ x^* \in X^* : c - \delta < \frac{\langle x^*, e_0 \rangle}{\rho(e_0)} \le \rho^*(x^*) < c + \delta \}$$
$$\subset x_0^* + D_{S,\varepsilon}.$$

**Lemma 1.** Let the unit ball  $B^*$  of the Banach space  $(X^*, weak^*)$  admit a strategy  $\omega_1$  for  $\Omega$ , such that  $\bigcap_{n\geq 1} A_n = \emptyset$  or  $\bigcap_{n\geq 1} A_n = \{x^*\}$  and for every  $\tau_{\beta}$ -neighborhood U of  $x^*$ , there exists a positive integer k with  $B_k \subset U$ . Then the whole space  $X^*$  also admits such a strategy.

Proof. This statement is analogous to Proposition 2.1. from [8], and the proof follows the same idea.

As the space  $B^*$  admits a strategy  $\omega_1$  with the mentioned property, the space  $nB^*$  also does. Denote the latter strategy  $\omega_n$ . Now we construct a strategy  $\omega$  for the whole space. Let  $A_1 \neq \emptyset$  be the first choice of  $\Sigma$ . If  $A_1 \setminus B^* \neq \emptyset$ , put  $\omega(A_1) = A_1 \setminus B^*$  (this is a relatively  $weak^*$  open subset of  $A_1$ ). Otherwise, if  $A_1 \subset B^*$ , then further follow the strategy  $\omega_1$ . In general, let  $A_n$  be the n-th move of  $\Sigma$ . If  $A_n \setminus nB^* \neq \emptyset$ , put  $\omega(A_1, B_1, \ldots, A_n) = A_n \setminus nB^*$ . Otherwise, if  $A_n \subset nB^*$ , then find the least k for which  $A_k \subset kB^*$  and follow the strategy  $\omega_k$ .

For every play according to the strategy  $\omega$  we have one of the following two alternatives: either (a)  $B_n = A_n \setminus nB^* \neq \emptyset$  for all  $n \geq 1$  (in this case  $\bigcap_{n\geq 1} B_n \subset \bigcap_{n\geq 1} (X^* \setminus nB^*) = \emptyset$ ), or (b) for some positive integer k we get  $A_k \subset kB^*$  and after that follow the strategy  $\omega_k$ . But then, by the initial remark,  $\bigcap_{n\geq k} A_n = \emptyset$  or  $\bigcap_{n\geq k} A_n = \{x^*\}$  and for every  $\tau_{\beta}$ -neighborhood U of  $x^*$ , there exists an integer  $m \geq k$  with  $B_m \subset U$ . Thus  $\omega$  has the desired property.  $\square$ 

**Theorem 3.** Let the Banach space X satisfy  $(H_{\beta})$ . Then  $(X^*, weak^*)$  is fragmentable by a metric d, such that the topology it generates is stronger than the  $\tau_{\beta}$ -topology on  $X^*$ .

Proof. **Proof.** We s/hall find a winning strategy  $\omega$  for the player  $\Omega$  in the fragmenting game G with the additional property from Theorem 2, i.e.  $\bigcap_{n\geq 1} A_n = \emptyset$  or  $\bigcap_{n\geq 1} A_n = \{x^*\}$  and for every  $\tau_{\beta}$ -neighborhood  $x^* + D_{S,\varepsilon}$  of  $x^*$ , there exists a positive integer k with  $B_k \subset x^* + D_{S,\varepsilon}$ . According to the last Lemma, it suffices to find such a strategy in  $B^*$  rather than in  $X^*$ . The frame of the proof anyway follows the idea from Theorem 1 in [10].

Let  $A_1 \subset B^*$  be the first move of the player  $\Sigma$ . Put  $s_0 = \sup\{\rho_0^*(x^*) : x^* \in A_1\}$ . According to Proposition 4,  $\exists \varepsilon_0 \in (0,1)$  such that

$$\forall x^* \in X^*, \ (1 - \varepsilon_0) \|x^*\| \le \rho^*(x^*) \le \|x^*\|.$$

Therefore  $s_0 < +\infty$ . If  $s_0 = 0$  then  $A_1$  contains only one point the strategy is trivial (both the players have no choice in their moves and  $\Omega$  wins). Let  $s_0 > 0$ .

Then there exist  $x^+ \in A_1$  and  $e_1 \in X$ , such that  $\langle x^+, e_1 \rangle > \rho_0(e_1)(1 - \varepsilon_0)s_0$ . We put  $B_1 = \{x^* \in A_1 : \langle x^*, e_1 \rangle > \rho_0(e_1)(1 - \varepsilon_0)s_0\} \ni x^+$ . Then  $B_1 = \omega(A_1)$  is a relatively  $weak^*$  open subset of  $A_1$ .

Now let  $\Sigma$  play some  $A_2 \subset B_1$ . Put

$$D_1 = \{ e \in X : \sup_{x^* \in A_2} \langle x^*, e \rangle \ge \rho_0(e)(1 - \varepsilon_0)s_0 \}.$$

We have  $e_1 \in D_1$  because  $A_2 \subset B_1$ . As  $A_2$  is bounded,  $x \mapsto \sup_{x^* \in A_2} \langle x^*, x \rangle$  is continuous and therefore  $D_1$  is closed. Put  $\rho_1(x) = \rho_0(x) + \mu_1(x - e_1)$ , where  $\mu_1$  is as in Proposition 3. Let  $s_1 = \sup\{\rho_1^*(x^*) : x^* \in A_2\}$ . Then  $\forall x^* \in A_2 \subset A_1$ , one has

$$(1 - \varepsilon_0)s_0 < \frac{\langle x^*, e_1 \rangle}{\rho_0(e_1)} = \frac{\langle x^*, e_1 \rangle}{\rho_1(e_1)} \le s_1 \le s_0.$$

Let  $\varepsilon_1 \in (0, (1-\varepsilon_0)^2/2^2)$  be such that  $(1-\varepsilon_0)s_0 < (1-\varepsilon_1)s_1$ . Then  $\exists x^+ \in A_2, \exists e_2 \in X$ , such that  $\langle x^+, e_2 \rangle > \rho_1(e_2)(1-\varepsilon_1)s_1$ . Now let  $\Omega$  play  $B_2 = \{x^* \in A_2 : \langle x^*, e_2 \rangle > \rho_1(e_2)(1-\varepsilon_1)s_1\} \ni x^+$ . Then  $B_2 = \omega(A_1, B_1, A_2)$  is a relatively  $weak^*$  open subset of  $A_2$ .

In general, after  $\Sigma$  plays some  $A_{n+1} \subset B_n$ , put

$$D_n = \{ e \in X : \sup_{x^* \in A_{n+1}} \langle x^*, e \rangle \ge \rho_{n-1}(e) (1 - \varepsilon_{n-1}) s_{n-1} \} \subset D_{n-1}.$$

We have  $e_n \in D_n$  because  $A_{n+1} \subset B_n$ . Like before,  $D_n$  is closed. Put  $\rho_n(x) = \rho_{n-1}(x) + \mu_{n-1}(x - e_n)$ , where  $\mu_{n-1}$  is as in Proposition 3. Let  $s_n = \sup\{\rho_n^*(x^*) : x^* \in A_{n+1}\}$ . Then for every  $x^* \in A_{n+1} \subset A_n$ , one has

$$(1 - \varepsilon_{n-1})s_{n-1} < \frac{\langle x^*, e_n \rangle}{\rho_{n-1}(e_n)} = \frac{\langle x^*, e_n \rangle}{\rho_n(e_n)} \le s_n \le s_{n-1}.$$

Let  $\varepsilon_n \in (0, (1-\varepsilon_0)^2/2^{n+1})$  be such that  $(1-\varepsilon_{n-1})s_{n-1} < (1-\varepsilon_n)s_n$ . Then  $\exists x^+ \in A_{n+1}, \exists e_{n+1} \in X$ , such that  $\langle x^+, e_{n+1} \rangle > \rho_n(e_{n+1})(1-\varepsilon_n)s_n$ . Now let  $\Omega$  play  $B_{n+1} = \{x^* \in A_{n+1} : \langle x^*, e_{n+1} \rangle > \rho_n(e_{n+1})(1-\varepsilon_n)s_n\} \ni x^+$ . Then  $B_{n+1} = \omega(A_1, B_1, A_2, \dots, A_{n+1})$  is a relatively  $weak^*$  open subset of  $A_{n+1}$ .

If  $x_n \in D_{n+1}$ , then

$$\frac{\sup\limits_{x^* \in A_{n+2}} \langle x^*, x_n \rangle}{\rho_n(x_n)} \ge (1 - \varepsilon_n) s_n > (1 - \varepsilon_{n-1}) s_{n-1},$$

so

$$\exists x_n^* \in A_{n+2} : \frac{\langle x_n^*, x_n \rangle}{\rho_n(x_n)} > (1 - \varepsilon_{n-1}) s_{n-1},$$

that is,

(1) 
$$\frac{\langle x_n^*, x_n \rangle}{(1 - \varepsilon_{n-1})s_{n-1}} > \rho_n(x_n) = \rho_{n-1}(x_n) + \mu_n(x_n - e_n).$$

But  $x_n^* \in A_{n+2} \subset A_{n+1}$ , so

(2) 
$$\frac{\langle x_n^*, x_n \rangle}{\rho_{n-1}(x_n)} \le s_{n-1}, \ i.e. \frac{\langle x_n^*, x_n \rangle}{s_{n-1}} \le \rho_{n-1}(x_n).$$

Of course,  $||x_n|| < 1$  (otherwise  $\rho_{n-1}(x_n) = +\infty$ , which would contradict (1)). Then

(3) 
$$\langle x_n^*, x_n \rangle \le ||x_n^*|| \le \frac{\rho_0^*(x_n^*)}{1 - \varepsilon_0} \le \frac{s_0}{1 - \varepsilon_0}.$$

By (1),(2) and (3) we get

(4) 
$$\mu_n(x_n - e_n) \le \frac{\langle x_n^*, x_n \rangle}{(1 - \varepsilon_{n-1})s_{n-1}} - \frac{\langle x_n^*, x_n \rangle}{s_{n-1}}$$

$$= \frac{\varepsilon_{n-1} \langle x_n^*, x_n \rangle}{(1 - \varepsilon_{n-1})s_{n-1}} \le \frac{\varepsilon_{n-1}s_0}{(1 - \varepsilon_{n-1})s_{n-1}(1 - \varepsilon_0)}$$
But  $(1 - \varepsilon_0)s_0 < (1 - \varepsilon_{n-1})s_{n-1}$ , so
$$\frac{s_0}{(1 - \varepsilon_{n-1})s_{n-1}} < (1 - \varepsilon_0)^{-1}$$

and from (4) we get

$$\mu_n(x_n - e_n) < \frac{\varepsilon_{n-1}}{(1 - \varepsilon_0)^2} < 2^{-n},$$

so  $||x_n - e_n|| < n^{-1}$  by the definition of  $\mu_n$ . Thus the diameters of the (closed) sets in the nested sequence  $\{D_n\}$  tend to 0, so let  $\bigcap_{n=1}^{\infty} D_n = \{e_{\infty}\}$ .

Now let  $y_{\infty}^* \in \bigcap_{n \geq 1} B_n$ . As  $y_{\infty}^* \in B_{n+1}$ , we have

$$\langle y_{\infty}^*, e_{n+1} \rangle \ge \rho_n(e_{n+1})(1 - \varepsilon_n)s_n.$$

The sequence  $\{s_n\}$  of positive reals is monotonely non-increasing, so let  $s_{\infty}$  be its limit. By Proposition 3,

$$\rho_{\infty}(x) = \rho_0(x) + \sum_{k=1}^{\infty} \mu_k(x - e_k)$$

is a  $\beta$ -well function on X, and  $\rho_n \to \rho_\infty$  uniformly on the unit ball of X. Passing to limit in (5), we get

(6) 
$$\langle y_{\infty}^*, e_{\infty} \rangle \ge \rho_{\infty}(e_{\infty}) s_{\infty}.$$

But as for every integer  $n \ge 1$  we have  $\rho_{\infty} \ge \rho_n$ ,

$$\frac{\langle y_{\infty}^*, e_{\infty} \rangle}{\rho_{\infty}(e_{\infty})} \le \rho_{\infty}^*(y_{\infty}^*) \le \rho_n^*(y_{\infty}^*) \le s_n.$$

We let  $n \to \infty$  to get  $\frac{\langle y_{\infty}^*, e_{\infty} \rangle}{\rho_{\infty}(e_{\infty})} \leq s_{\infty}$  and having in mind (6) we conclude that

$$\frac{\langle y_{\infty}^*, e_{\infty} \rangle}{\rho_{\infty}(e_{\infty})} = s_{\infty}$$

and  $\rho_{\infty}^*(y_{\infty}^*) = s_{\infty}$ . By Proposition 5(i) we get

$$y_{\infty}^* = s_{\infty} \cdot \nabla_{\beta} \rho_{\infty}(e_{\infty}), \text{ so } |\bigcap_{n \ge 1} B_n| = 1$$

Now let  $\delta > 0$  be given. There exists an integer N such that for n > N one has  $s_n < s_\infty + \delta$ . Then

(7) 
$$\forall y^* \in B_{n+1} \subset A_{n+1}, \ \rho_{\infty}^*(y^*) \le \rho_n^*(y^*) \le s_n \le s_{\infty} + \delta.$$

By the definition of  $B_{n+1}$  we have

(8) 
$$\forall y^* \in B_{n+1}, \ \frac{\langle y^*, e_{n+1} \rangle}{\rho_n(e_{n+1})} > (1 - \varepsilon_n) s_n.$$

By  $\rho_{\infty}(e_{\infty}) < \infty$  we have  $||e_{\infty}|| < 1$ , so

$$\left| \frac{\langle y^*, e_{\infty} \rangle}{\rho_{\infty}(e_{\infty})} - \frac{\langle y^*, e_{n+1} \rangle}{\rho_n(e_{n+1})} \right| \leq \left| \frac{\langle y^*, e_{\infty} \rangle}{\rho_{\infty}(e_{\infty})} - \frac{\langle y^*, e_{\infty} \rangle}{\rho_n(e_{n+1})} \right| + \left| \frac{\langle y^*, e_{\infty} \rangle}{\rho_n(e_{n+1})} - \frac{\langle y^*, e_{n+1} \rangle}{\rho_n(e_{n+1})} \right|$$

$$\leq \left| \langle y^*, e_{\infty} \rangle \left( \frac{1}{\rho_{\infty}(e_{\infty})} - \frac{1}{\rho_{n}(e_{n+1})} \right) \right| + \left| \frac{\langle y^*, e_{\infty} - e_{n+1} \rangle}{\rho_{n}(e_{n+1})} \right| \\
\leq \|y^*\| \cdot \left( \left| \frac{1}{\rho_{\infty}(e_{\infty})} - \frac{1}{\rho_{n}(e_{n+1})} \right| + \|e_{\infty} - e_{n+1}\| \right) \\
\leq \frac{s_0}{1 - \varepsilon_0} \cdot \left( \left| \frac{1}{\rho_{\infty}(e_{\infty})} - \frac{1}{\rho_{n}(e_{n+1})} \right| + \|e_{\infty} - e_{n+1}\| \right) \xrightarrow{n \to \infty} 0.$$

And by (8) we get (after choosing n large enough) that

(9) 
$$\frac{\langle y^*, e_{\infty} \rangle}{\rho_{\infty}(e_{\infty})} \ge s_{\infty} - \delta.$$

By (7), (9) and Proposition 5 (ii) we conclude that for any  $D_{S,\varepsilon}$  from the  $\tau_{\beta}$ -base  $B_{n+1} \subset y_{\infty}^* + D_{S,\varepsilon}$ , for n sufficiently large, provided that  $\delta$  is chosen in the manner required in Proposition 5(ii). This fact, Theorem 2 and Lemma 1 show that  $(X^*, weak^*)$  is fragmentable by a metric d, such that the topology it generates is stronger than the  $\tau_{\beta}$ -topology on  $X^*$ . This finishes the proof.  $\square$ 

In [9] it is shown that if  $X^*$  admits an equivalent (not necessarily dual) Gâteaux-smooth norm, then X is sigma-fragmentable. Here we get the following (possibly stronger) result:

Corollary 1. If  $X^*$  has a Lipschitz Gâteaux-smooth bump, then X is sigma-fragmentable.

Proof. The last theorem shows that under the given condition,  $(X^{**}, weak^*)$  is fragmented by a metric, such that the topology it generates is stronger than the  $\tau_G$ -topology, that is, than the  $weak^*$  topology. Taking into account the canonical embedding of (X, weak) into  $(X^{**}, weak^*)$  we conclude that (X, weak) is fragmented by a metric whose topology is stronger than the weak topology on X. By Theorem 1.4 from [8] this means that X is sigma-fragmentable.  $\square$ 

**Remark.** Of course, the existence of an equivalent Gâteaux-smooth norm implies the existence of a Lipschitz Gâteaux-smooth bump. In view of a known example from [4], the hypothesis in the corresponding result from [9] is

stronger than ours in arbitrary Banach space setting, but we don't know whether it's different for dual Banach spaces.

We now show that indeed Theorem 1 from [10] and its generalisation Theorem 2 [10] are corollaries of the last theorem. We remind that a map  $F: Z \to 2^Y$ , where Z,Y are Hausdorff spaces, is called an  $usco\ map$  if it is nonempty compact valued and upper semicontinuous. Such a map is called a  $minimal\ usco\ map$ , if it is minimal with respect to the inclusion of the graphs among all usco maps with the same domain. When  $Y = (X^*, w^*)$  for some Banach space X, we call  $F\ w^* - usco$  (correspondingly,  $minimal\ w^* - usco$ ). If F is also convex-valued, it is called  $convex\ w^* - usco$ , and such a map which is minimal w.r.t the inclusion is called a  $minimal\ convex\ w^* - usco$ .

We need the following lemma.

**Lemma 2** ([13, Proposition 2.5.]). Let  $F: Z \to 2^Y$  be a minimal usco map on the Baire space Z. Let Y be a Hausdorff space, fragmented by a metric d. Then there exists a dense  $G_{\delta}$  subset D of Z such that F is single-valued and d-upper semicontinuous at every  $z \in D$ .

**Lemma 3** ([11, Lemma 7.12]). Let  $T: Z \to 2^{X^*}$  be a  $w^*$ -usco map on the Hausdorff space Z. For  $z \in Z$ , define  $\overline{co}T(z)$  to be the weak\* closed convex hull of T(z). Then the map  $\overline{co}T$  is convex  $w^*$ -usco.

Corollary 2 ([10, Theorem 2]). If X satisfies  $(H_{\beta})$ , Z is a Baire space and  $F: Z \to 2^{X^*}$  is a minimal convex  $w^*$ -usco map, then F is single-valued and  $\tau_{\beta}$ -upper semicontinuous in all the points of some dense  $G_{\delta}$  subset D of Z.

Proof. Let T be a minimal  $w^*$ -usco map contained in F (for the existence of such T see [11, Proposition 7.3]). By Theorem 3,  $X^*$  is fragmentable by a metric d, which generates a topology stronger than the  $\tau_{\beta}$ -topology on  $X^*$ . By the Lemma 2, T is single-valued and d-upper semicontinuous in all the points of some dense  $G_{\delta}$  subset D of Z. But as the d-topology is stronger than the  $\tau_{\beta}$ -topology, T is also  $\tau_{\beta}$ -upper semicontinuous in the points of D. By Lemma 3,  $\overline{co}T$  is convex  $w^*$ -usco, and the minimality of F implies  $\overline{co}T = F$ . Of course, F is single-valued in the points of D, and we now see that it is  $\tau_{\beta}$ -upper semicontinuous there. Let W be some  $\tau_{\beta}$ -open set containing  $F(z_0)$  for some  $z_0 \in D$ . Take some  $S \in \beta, \varepsilon > 0$ , such that for the basic  $\tau_{\beta}$ -open (convex) set

$$U = D_{S,\varepsilon} = \{x^* \in X^* : \forall x \in S, \langle x^*, x \rangle < \varepsilon\}$$

we have  $F(z_0) + 2U \subset W$ . Now T is  $\tau_{\beta}$ -upper semicontinuous in  $z_0$ , so let  $V \ni z_0$  be open neighborhood with  $T(V) \subset T(z_0) + U$ . Then for every  $z \in V$ , we have

$$F(z) = \overline{co}T(z) \subset \overline{co}(T(z_0) + U) \subset \overline{\overline{co}T(z_0) + U} \subset \overline{co}T(z_0) + 2U = F(z_0) + 2U \subset W.$$

Thus F is  $\tau_{\beta}$ -upper semicontinuous in the points of D.  $\square$ 

### REFERENCES

- [1] J. M. Borwein, D. Preiss. A smooth variational principle with applications to sub-differentiability and to differentiability of convex functions. Trans. Amer. Math. Soc. 303 (1987), 517-527.
- [2] R. Deville, G. Godefroy, V. Zizler. Un principe variationnel utilisant des fonctions bosses. C. R. Acad. Sci. Paris, sér. I 312 (1991), 281-286.
- [3] M. Fosgerau. Ph.D. Thesis, Univ. College London (1992), 52-62.
- [4] R. G. HAYDON. A counterexample to several questions about scattered spaces. *Bull. London Math. Soc.* **22** (1990), 261-268.
- [5] J. E. JAYNE, I. NAMIOKA, C. A. ROGERS. Topological properties of Banach spaces. Proc. London Math. Soc. 66 (1993), 651-672.
- [6] J. E. Jayne, C. A. Rogers. Borel selectors for upper semi-continuous set-valued maps. *Acta Math.* **56** (1985), 41-79.
- [7] P. S. KENDEROV, W. MOORS. Game characterization of fragmentability of topological spaces. *Math. and Education in Math.* **25** (1996), 8-18.
- [8] P. S. Kenderov, W. Moors. Fragmentability and Sigma-Fragmentability of Banach Spaces, preprint.
- [9] P. S. Kenderov, W. Moors. Fragmentability of Banach spaces. C. R. Acad. Bulg. Sci. 49, 2 (1996), 9-12.
- [10] LI YONGXIN, SHI SHUZHONG. Differentiability of convex functions on a Banach space with a smooth bump function. J. Convex Analysis 1, 1 (1994), 47-60.

- [11] R. R. Phelps. Convex functions, monotone operators and differentiability. Lect. Notes in Math., vol. **1364**, Springer-Verlag, 1993.
- [12] D. Preiss, R. R. Phelps, I. Namioka. Smooth Banach spaces and monotone usco mappings. *Israel. J. Math.* **72** (1990), 257-279.
- [13] N. RIBARSKA. Internal characterization of fragmentable spaces. *Mathematika* **34** (1987), 243-257.
- [14] N. RIBARSKA. The dual of a Gateaux smooth Banach space is weak\* fragmentable. Proc. Amer. Math. Soc. 114, 4 (1992), 1003-1008.

Institute of Mathematics Bulgarian Academy of Sciences Acad. G. Bonchev str., bl. 8 1113 Sofia Bulgaria

Received December 12, 1996 Revised November 11, 1997