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NONTRIVIAL SOLUTIONS OF QUASILINEAR EQUATIONS IN BV

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ABSTRACT. The existence of a nontrivial critical point is proved for a functional containing an area-type term. Techniques of nonsmooth critical point theory are applied.

1. Introduction. Let Ω be a bounded open subset of \mathbb{R}^n $(n \geq 3)$ and $g: \Omega \times \mathbb{R} \to \mathbb{R}$ a Carathéodory function with g(x,0) = 0. A classical result of Ambrosetti and Rabinowitz [1, 12, 13] says that the semilinear problem

$$\begin{cases} -\Delta u = g(x, u) & \text{in } \Omega \\ u = 0 & \text{on } \partial \Omega \end{cases}$$

admits a nontrivial solution u, provided that the following conditions are satisfied:

(C1) there exist $a \in L^{\frac{2n}{n+2}}(\Omega)$, $b \in \mathbb{R}$ and $p \in \left]2, \frac{2n}{n-2}\right[$ such that

$$|g(x,s)| \le a(x) + b|s|^{p-1};$$

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(C2) there exist q > 2 and R > 0 such that

$$|s| \ge R \Longrightarrow 0 < qG(x,s) \le sg(x,s),$$

where
$$G(x,s) = \int_0^s g(x,t) dt$$
;

(C3) it is

$$\lim_{s \to 0} \frac{g(x,s)}{s} = 0$$

uniformly with respect to x.

Such a nontrivial solution u is found as a mountain pass point of the functional $f: H_0^1(\Omega) \to \mathbb{R}$ defined by

$$f(u) = \frac{1}{2} \int_{\Omega} |Du|^2 dx - \int_{\Omega} G(x, u) dx.$$

Our aim is to get a similar result for a class of functionals which contains, as a model example, the functional

$$f(u) = \int_{\Omega} |Du| \ dx - \int_{\Omega} G(x, u) \ dx.$$

The correct expression of f, which requires a relaxation procedure, will be given in section 4. Here we want to observe that the natural adaptation of condition (C1) would be

$$|g(x,s)| \le a(x) + b |s|^{p-1}$$

with $a \in L^n(\Omega)$ and $p \in \left]1, \frac{n}{n-1}\right[$. On the other hand, the natural domain of f is now the space $BV(\Omega)$. In such a space also nonsmooth versions of critical point theory cannot be directly applied, as the Palais-Smale condition fails (see [11]). To overcome this difficulty, it is possible to consider the functional f on $L^p(\Omega)$ (with value $+\infty$ outside its natural domain). If we add the stronger condition that $a \in L^{p'}(\Omega)$, then f is the sum of a convex term and a functional of class C^1 , and the expected result can be obtained. Such a strategy has been applied in [11], to treat the case where f is even. However, this further condition on a seems to be merely technical. Our aim is to show that the assumption $a \in L^n(\Omega)$ is in fact sufficient. As in [11], we apply the nonsmooth critical point theory developed in [4, 6], which provides general results for continuous functionals defined on metric spaces. Among lower semicontinuous functionals (as f on

 $L^p(\Omega)$), some particular classes can be treated. The main part of this paper, namely section 3, is devoted to the study of a class of lower semicontinuous functionals, which contains f and for which the theory of [4, 6] can be applied. Then, in the last section, we prove the existence of a mountain pass point for f.

2. Some notions of nonsmooth critical point theory. Let us recall some notions of nonsmooth critical point theory from [4, 6]. A similar approach to nonregular functionals can be found also in [10, 9]. In the following of this section, X will denote a metric space endowed with the metric d.

Definition 2.1. Let $f: X \to \mathbb{R}$ be a continuous function and let $u \in X$. We denote by |df|(u) the supremum of the σ 's in $[0, +\infty[$ such that there exist $\delta > 0$ and a continuous map $\mathcal{H}: \mathbb{B}(u, \delta) \times [0, \delta] \to X$ such that

$$\forall v \in \mathcal{B}(u, \delta), \forall t \in [0, \delta]: \qquad d(\mathcal{H}(v, t), v) \le t,$$

 $\forall v \in \mathcal{B}(u, \delta), \forall t \in [0, \delta]: \quad f(\mathcal{H}(v, t)) \le f(v) - \sigma t.$

The extended real number |df|(u) is called the weak slope of f at u.

The above notion can be extended also to lower semicontinuous functions, by means of a tool introduced for the first time in [5].

Definition 2.2. Let $f: X \to \mathbb{R} \cup \{+\infty\}$ be a lower semicontinuous function and $b \in \mathbb{R}$. We set

$$\mathcal{D}(f) = \{u \in X : f(u) < +\infty\},$$

$$f^b = \{u \in X : f(u) \le b\},$$

$$\operatorname{epi}(f) = \{(u, \xi) \in X \times \mathbb{R} : f(u) \le \xi\}.$$

We define the function $\mathcal{G}_f : \operatorname{epi}(f) \to \mathbb{R}$ putting $\mathcal{G}_f(u, \xi) = \xi$.

In the following epi(f) will be endowed with the metric

$$d((u,\xi),(v,\mu)) = (d(u,v)^2 + (\xi - \mu)^2)^{\frac{1}{2}},$$

so that \mathcal{G}_f is Lipschitz continuous of constant 1. Therefore Definition 2.1 can be applied to \mathcal{G}_f and $|d\mathcal{G}_f|(u,\xi) \leq 1$ for every $(u,\xi) \in \operatorname{epi}(f)$.

Definition 2.3. Let $f: X \to \mathbb{R} \cup \{+\infty\}$ be a lower semicontinuous function and let $u \in \mathcal{D}(f)$. We set

$$|df|(u) = \begin{cases} \frac{|d\mathcal{G}_f|(u, f(u))}{\sqrt{1 - (|d\mathcal{G}_f|(u, f(u)))^2}} & \text{if } |d\mathcal{G}_f|(u, f(u)) < 1, \\ +\infty & \text{if } |d\mathcal{G}_f|(u, f(u)) = 1. \end{cases}$$

It is shown in [6, Proposition 2.3] that the above definition is consistent with Definition 2.1.

Definition 2.4. Let $f: X \to \mathbb{R} \cup \{+\infty\}$ be a lower semicontinuous function. We say that $u \in X$ is a (lower) critical point for f, if |df|(u) = 0. A real number c is said to be a (lower) critical value, if there exists $u \in \mathcal{D}(f)$ such that |df|(u) = 0 and f(u) = c.

Definition 2.5. Let $f: X \to \mathbb{R} \cup \{+\infty\}$ be a lower semicontinuous function and $c \in \mathbb{R}$. We say that f satisfies the Palais-Smale condition at level c ($(PS)_c$ for short), if from every sequence (u_h) in $\mathcal{D}(f)$ with $|df|(u_h) \to 0$ and $f(u_h) \to c$ it is possible to extract a subsequence (u_{h_k}) converging in X.

3. Some abstract results. As pointed out in [6], the essential difficulty when dealing with lower semicontinuous functions is that we do not know in general the behaviour of $|d\mathcal{G}_f|(u,\xi)$ at the points with $\xi > f(u)$.

Therefore, the main result of this section is a theorem in the spirit of [6, Theorem 3.13] and [4, Theorem 4.4].

Theorem 3.1. Let X be a linear space, $\|\cdot\|$, $\|\cdot\|_0$ two norms on X and c > 0 such that $\|\cdot\|_0 \le c \|\cdot\|$. Let X_0 (resp. X_1) be the space X endowed with the norm $\|\cdot\|_0$ (resp. $\|\cdot\|$).

Let
$$f: X \to \mathbb{R} \cup \{+\infty\}$$
, $f = f_0 + f_1$, such that:

(a) $f_0: X_0 \to \mathbb{R} \cup \{+\infty\}$ is convex, lower semicontinuous and for every $u_0 \in X$ there exists r > 0 such that

$$\lim_{\substack{\|u\|\to\infty\\\|u-u_0\|_0\leq r}} f_0(u) = +\infty;$$

(b) $f_1: X_1 \to \mathbb{R}$ is of class C^1 ;

(c) for every $\varepsilon > 0$ there exist $\varphi : X_1 \to \mathbb{R}$ Lipschitz of constant ε and $\psi : X_0 \to \mathbb{R}$ of class C^1 such that $f_1 = \varphi + \psi$.

Then the following facts hold:

(i) $f: X_0 \to \mathbb{R} \cup \{+\infty\}$ is lower semicontinuous and for every $u_0 \in X$ there exists r > 0 such that

(3.1)
$$\lim_{\substack{\|u\| \to \infty \\ \|u - u_0\|_0 < r}} \frac{f(u)}{\|u\|} > 0;$$

(ii) $f_1: X_0 \to \mathbb{R}$ is continuous on X_1 -bounded subsets;

(iii)
$$\xi > f(u) \Longrightarrow |d_0 \mathcal{G}_f| (u, \xi) = 1;$$

(iv) if $u \in \mathcal{D}(f)$, then $|df|(u) \leq c |d_0f|(u)$, where $|d_0f|$ (resp. |df|) denotes the weak slope of f in X_0 (resp. in X_1).

Proof. (i) Let $u_0 \in X$, r > 0 according to (a). Without loss of generality, we can suppose there exists $v_0 \in \mathcal{D}(f_0)$ such that $||v_0 - u_0||_0 \le r$. Let $r_1 > 0$ be such that

$$\forall u \in X : ||u - u_0||_0 \le r, ||u - v_0|| \ge r_1 \Longrightarrow f_0(u) \ge f_0(v_0) + 1.$$

If $||u - u_0||_0 \le r$ and $||u - v_0|| \ge r_1$, taking into account the convexity of f_0 , we deduce that

$$f_0(v_0) + 1 \le f_0\left(v_0 + \frac{r_1}{\|u - v_0\|}(u - v_0)\right) \le f_0(v_0) + \frac{r_1}{\|u - v_0\|}(f_0(u) - f_0(v_0)),$$

hence

$$f_0(u) \ge f_0(u_0) + \frac{1}{r_1} \|u - v_0\|.$$

Thus, we have shown that, for every $u_0 \in X$ with corresponding r according to (a), it is

(3.2)
$$m_{u_0,r} := \liminf_{\substack{\|u\| \to \infty \\ \|u - u_0\|_0 \le r}} \frac{f_0(u)}{\|u\|} > 0.$$

Let $u_0 \in X$ and r > 0 according to (a). Let $\varepsilon \in]0, m_{u_0,r}[$ and $f_1 = \varphi + \psi$ according to hypothesis (c). Then, for every $u \in X$ it is

$$f(u) = f_0(u) + \varphi(u) + \psi(u) \ge f_0(u) + \psi(u) + \varphi(0) - \varepsilon ||u||.$$

Unless reducing r, we can suppose that ψ is bounded on $B_0(u_0, r)$. Therefore, from (3.2) it follows that for every $u_0 \in X$ and r > 0 according to (a) it is

$$\liminf_{\substack{\|u\|\to\infty\\\|u-u_0\|_0\leq r}} \frac{f(u)}{\|u\|} \ge m_{u_0,r} - \varepsilon > 0.$$

Now, if (u_h) is a sequence convergent to u in X_0 with $f(u_h) \leq c$, it follows that (u_h) is bounded also in X_1 . From assumptions (a) and (b) we deduce that

$$f(u) \le \liminf_h f(u_h),$$

namely that $f: X_0 \to \mathbb{R} \cup \{+\infty\}$ is lower semicontinuous.

(ii) Let $\varepsilon = 1/h$ and let φ_h , ψ_h as in (c). Then we have

$$||u|| \le K \Longrightarrow |\varphi_h(u) - \varphi_h(0)| \le \frac{1}{h} ||u|| \le \frac{1}{h} K.$$

It follows that $(\psi_h(\cdot) + \varphi_h(0))$ is uniformly convergent to f_1 on X_1 -bounded subsets, whence the assertion.

(iii) Let $(u,\xi) \in \operatorname{epi}(f)$ with $\xi > f(u)$. Without loss of generality, we can assume that $f_1(u) = 0$. By (i), there exists $r \in]0,1[$ and K > 0 such that

(3.3)
$$\forall v \in X: \begin{cases} f(v) \leq \xi + 1 \\ \|v - u\|_0 \leq r \end{cases} \implies \|v - u\| \leq K.$$

Let $\delta \in \left]0, \min\left\{\frac{\xi - f_0(u)}{2}, r\right\}\right[$ and $\varepsilon > 0$ such that $\varepsilon K < \delta/4$. Choose φ and ψ according to (c) with $\varphi(u) = \psi(u) = 0$ and set, for every $v \in X$,

$$\tilde{f}_0(v) = f_0(v) + \langle d\psi(u), v - u \rangle_0,
\tilde{\psi}(v) = \psi(v) - \langle d\psi(u), v - u \rangle_0,$$

so that $f = \tilde{f}_0 + \varphi + \tilde{\psi}$ and $d\tilde{\psi}(u) = 0$ in X'_0 .

Let $\mathcal{H}: (\mathrm{B}((u,\xi),\delta) \cap \mathrm{epi}(\tilde{f}_0)) \times [0,\delta] \to \mathrm{epi}(\tilde{f}_0)$ be defined by

$$\mathcal{H}((v,\mu),t) = \left(v + \frac{t(u-v)}{\sqrt{\|v-u\|_0^2 + \left|\mu - \tilde{f}_0(u)\right|^2}}, \mu - (\mu - \tilde{f}_0(u)) \frac{t}{\sqrt{\|v-u\|_0^2 + \left|\mu - \tilde{f}_0(u)\right|^2}}\right).$$

As in the proof of [6, Theorem 3.13], it follows that for every $(v, \mu) \in B_0((u, \xi), \delta) \cap epi(\tilde{f}_0)$ and every $t \in [0, \delta]$, it is

$$d\left(\mathcal{H}\left((v,\mu),t\right),(v,\mu)\right) \leq t,$$

$$\mathcal{G}_{\tilde{f}_0}\left(\mathcal{H}\left((v,\mu),t\right)\right) \leq \mathcal{G}_{\tilde{f}_0}(v,\mu) - \frac{\xi - \delta - \tilde{f}_0(u)}{\sqrt{\delta^2 + (\xi + \delta - \tilde{f}_0(u))^2}}t.$$

Let $\delta' \in]0, \delta/2[$ such that $|\tilde{\psi}(v)| < \delta/2$ if $v \in B_0(u, \delta')$. Then, if $(v, \mu) \in B_0((u, \xi), \delta') \cap \operatorname{epi}(\tilde{f}_0 + \varphi)$ it is, taking into account (3.3),

$$|\mu - \varphi(v) - \xi| \le |\mu - \xi| + |\varphi(v)| \le \frac{\delta}{2} + \varepsilon \|v - u\| \le \frac{\delta}{2} + \varepsilon K \le \frac{\delta}{2} + \frac{\delta}{4} = \frac{3}{4}\delta$$

so that it is easy to check that $(v, \mu - \varphi(v)) \in B_0((u, \xi), \delta) \cap epi(\tilde{f}_0)$.

If $\rho > 0$, by the definition of \mathcal{H} we can deduce that, for every $(v, \mu) \in B_0((u, \xi), \delta')$ $\cap \operatorname{epi}(\tilde{f}_0 + \varphi)$

$$\|\mathcal{H}_{1}((v,\mu-\varphi(v)),\rho t)-v\| = \frac{\|v-u\|}{\sqrt{\|v-u\|_{0}^{2} + \left|\mu-\varphi(v)-\tilde{f}_{0}(u)\right|^{2}}}\rho t \leq \frac{\rho K}{\delta}t$$

since
$$\left|\mu - \varphi(v) - \tilde{f}_0(u)\right| \ge \left|\xi - \tilde{f}_0(u)\right| - \left|\mu - \xi\right| - \left|\varphi(v)\right| > 2\delta - \frac{\delta}{2} - \frac{\delta}{4} > \delta$$
.

Let now $\rho = \left(1 + \frac{\varepsilon K}{\delta}\right)^{-1}$, and define $\tilde{\mathcal{H}} : (B_0((u,\xi),\delta') \cap \operatorname{epi}(\tilde{f}_0 + \varphi)) \times [0,\delta'] \to \operatorname{epi}(\tilde{f}_0 + \varphi)$ setting

$$\tilde{\mathcal{H}}\left((v,\mu),t\right) = \Big(\mathcal{H}_1((v,\mu-\varphi(v)),\rho t),\mathcal{H}_2((v,\mu-\varphi(v)),\rho t) + \varphi(\mathcal{H}_1((v,\mu-\varphi(v)),\rho t))\Big).$$

It is readily seen that $\tilde{\mathcal{H}}$ actually takes his values in $\mathrm{epi}(\tilde{f}_0 + \varphi)$.

Furthermore, since φ is continuous in X_0 on X_1 -bounded sets, (3.3) implies that $\tilde{\mathcal{H}}$ is continuous.

It is

$$\begin{split} \left\| \tilde{\mathcal{H}} \left((v, \mu), t \right) - (v, \mu) \right\|_{X_0 \times \mathbb{R}}^2 &= \\ &= \left\| \mathcal{H}_1((v, \mu - \varphi(v)), \rho t) - v \right\|_0^2 + \left(\mathcal{H}_2((v, \mu - \varphi(v)), \rho t) + \varphi(\mathcal{H}_1((v, \mu - \varphi(v)), \rho t)) - \mu \right)^2 = \\ &= \left\| \mathcal{H}_1((v, \mu - \varphi(v)), \rho t) - v \right\|_0^2 + \left(\mathcal{H}_2((v, \mu - \varphi(v)), \rho t) - (\mu - \varphi(v)) \right)^2 + \\ &+ 2 \left(\mathcal{H}_2((v, \mu - \varphi(v)), \rho t) - (\mu - \varphi(v)) \right) \left(\varphi(\mathcal{H}_1((v, \mu - \varphi(v)), \rho t)) - \varphi(v) \right) + \end{split}$$

$$+\left(\varphi(\mathcal{H}_{1}((v,\mu-\varphi(v)),\rho t))-\varphi(v)\right)^{2} \leq$$

$$\leq \rho^{2}t^{2}+2\rho t\varepsilon \|\mathcal{H}_{1}((v,\mu-\varphi(v)),\rho t)-v\|+\varepsilon^{2} \|\mathcal{H}_{1}((v,\mu-\varphi(v)),\rho t)-v\|^{2} \leq$$

$$\leq \rho^{2}t^{2}+2\rho^{2}\frac{\varepsilon K}{\delta}t^{2}+\rho^{2}\frac{\varepsilon^{2}K^{2}}{\delta^{2}}t^{2}=\rho^{2}t^{2}\left(1+\frac{\varepsilon K}{\delta}\right)^{2}=t^{2}.$$

Moreover.

$$\begin{split} \mathcal{G}_{\tilde{f}_{0}+\varphi}\Big(\tilde{\mathcal{H}}((v,\mu),t)\Big) &= \mathcal{H}_{2}((v,\mu-\varphi(v)),\rho t) + \varphi(\mathcal{H}_{1}((v,\mu-\varphi(v)),\rho t)) = \\ &= \mathcal{G}_{\tilde{f}_{0}}\Big(\mathcal{H}((v,\mu-\varphi(u)),\rho t)\Big) + \varphi(\mathcal{H}_{1}((v,\mu-\varphi(v)),\rho t)) \leq \\ &\leq \mu - \varphi(v) - \frac{\xi - \delta - \tilde{f}_{0}(u)}{\sqrt{\delta^{2} + (\xi + \delta - \tilde{f}_{0}(u))^{2}}}\rho t + \varphi(\mathcal{H}_{1}((v,\mu-\varphi(v)),\rho t)) \leq \\ &\leq \mu - \frac{\xi - \delta - \tilde{f}_{0}(u)}{\sqrt{\delta^{2} + (\xi + \delta - \tilde{f}_{0}(u))^{2}}}\rho t + \varepsilon \frac{\rho K}{\delta}t = \\ &= \mu - \left(\frac{\xi - \delta - \tilde{f}_{0}(u)}{\sqrt{\delta^{2} + (\xi + \delta - \tilde{f}_{0}(u))^{2}}} - \varepsilon \frac{K}{\delta}\right) \frac{t}{\left(1 + \frac{\varepsilon K}{\delta}\right)}; \end{split}$$

therefore we have

$$\left| d_0 \mathcal{G}_{\tilde{f}_0 + \varphi} \right| (u, \xi) \ge \left(\frac{\xi - \delta - \tilde{f}_0(u)}{\sqrt{\delta^2 + (\xi + \delta - \tilde{f}_0(u))^2}} - \varepsilon \frac{K}{\delta} \right) \frac{1}{\left(1 + \frac{\varepsilon K}{\delta} \right)}.$$

But by [6, Proposition 2.7] it is

$$\left|d_{0}\mathcal{G}_{f}\right|\left(u,\xi\right) = \left|d_{0}\mathcal{G}_{\tilde{f}_{0}+\varphi+\tilde{\psi}}\right|\left(u,\xi\right) = \left|d_{0}\mathcal{G}_{\tilde{f}_{0}+\varphi}\right|\left(u,\xi\right),$$

hence by the arbitrariness of $\varepsilon \in]0, \frac{\delta}{4K}[$ it is

$$|d_0 \mathcal{G}_f|(u,\xi) \ge \frac{\xi - \delta - f_0(u)}{\sqrt{\delta^2 + (\xi + \delta - f_0(u))^2}},$$

and finally by the arbitrariness of $\delta \in \left]0, \min\left\{\frac{\xi - f_0(u)}{2}, r\right\}\right[$ we obtain

$$|d_0\mathcal{G}_f|(u,\xi) \ge 1.$$

(iv) Let $u \in \mathcal{D}(f)$ and r > 0 corresponding to u as in (a). For every $v \in X$, let

$$\tilde{f}_0(v) = f_0(v) + f_1(u) + \langle df_1(u), v - u \rangle_1,$$

$$\tilde{f}_1(v) = f_1(v) - f_1(u) - \langle df_1(u), v - u \rangle_1.$$

Then \tilde{f}_0 is convex; furthermore, we can choose $\varepsilon \in]0, m_{u,r}[$ and obtain the decomposition

$$\tilde{f}_0(v) = f_0(v) + f_1(u) + \langle d\varphi(u), v - u \rangle_1 + \langle d\psi(u), v - u \rangle_0$$

with $||d\varphi(u)||_{X_1'} \leq \varepsilon$. It follows that

$$\liminf_{\substack{\|v\|\to\infty\\\|v-u\|_0\le r}} \frac{\tilde{f}_0(v)}{\|v\|} > 0.$$

As in the proof of (i), we deduce that $\tilde{f}_0: X_0 \to \mathbb{R} \cup \{+\infty\}$ is lower semicontinuous, hence \tilde{f}_0 satisfies assumption (a). Of course, $\tilde{f}_1: X_1 \to \mathbb{R}$ is of class C^1 with $\tilde{f}_1(u) = 0$ and $d\tilde{f}_1(u) = 0$. It is easy to see that \tilde{f}_1 satisfies also assumption (c) with $\tilde{\varphi}(v) = \varphi(v) - \varphi(u) - \langle d\varphi(u), v - u \rangle_1$, $\tilde{\psi}(v) = \psi(v) - \psi(u) - \langle d\psi(u), v - u \rangle_0$ and $d\tilde{\psi}(u) = 0$. Therefore, it suffices to consider the case $f_1(u) = 0$, $df_1(u) = 0$. Moreover, when we apply (c), we can ask that $d\psi(u) = 0$.

Since the case |df|(u) = 0 is obvious, let $0 < \sigma < |df|(u)$. From [6, Proposition 2.7] we deduce that $|df_0|(u) = |df|(u)$. As in the proof of [6, Theorem 2.11], we can find $w \in X$ such that

$$f_0(w) < f_0(u) - \sigma \|w - u\| \le f_0(u) - \frac{\sigma}{c} \|w - u\|_0$$

Let $\delta > 0$ be such that $2\delta < \|w - u\|_0$ and

$$\forall v \in X : \|v - u\|_0 < \delta \Longrightarrow f_0(w) < f_0(v) - \frac{\sigma}{c} \|w - v\|_0.$$

As in the proof of [6, Theorem 2.11], we can define a continuous map $\mathcal{H}: B_0(u, \delta) \times [0, \delta] \to X_0$ by

$$\mathcal{H}(v,t) = v + \frac{t}{\|w - v\|_0} (w - v)$$

and we have

$$\|\mathcal{H}(v,t) - v\|_0 \le t,$$

$$f_0(\mathcal{H}(v,t)) \le f_0(v) - \frac{\sigma}{c}t.$$

By (i), there exists K > 0 such that

$$\forall v \in X : \|v - u\|_0 < \delta, f(v) < f(u) + 1 \Longrightarrow \|v\| \le K.$$

Now let $\varepsilon > 0$ and let $f_1 = \varphi + \psi$ according to (c) with $d\psi(u) = 0$. If $||v - u||_0 < \delta$ and f(v) < f(u) + 1, we have

$$|\varphi(\mathcal{H}(v,t)) - \varphi(v)| \le \varepsilon \|\mathcal{H}(v,t) - v\| = \varepsilon \frac{\|w - v\|}{\|w - v\|_0} t \le \varepsilon \frac{\|w\| + K}{\delta} t.$$

It follows

$$(f_0 + \varphi)(\mathcal{H}(v,t)) \le (f_0 + \varphi)(v) - \left(\frac{\sigma}{c} - \varepsilon \frac{\|w\| + K}{\delta}\right)t,$$

hence $|d_0(f_0 + \varphi)|(u) \ge \left(\frac{\sigma}{c} - \varepsilon \frac{\|w\| + K}{\delta}\right)$. Since $d\psi(u) = 0$, from [6, Proposition 2.7] we deduce that

$$|d_0(f_0 + \varphi)|(u) \ge \frac{\sigma}{c} - \varepsilon \frac{||w|| + K}{\delta}.$$

By the arbitrariness of ε , we have $|d_0f|(u) \geq \sigma/c$, and the assertion follows by the arbitrariness of σ . \square

Now we prove a theorem of saddle-point type for our class of functionals.

Theorem 3.2. Let X and f be as in Theorem 3.1.

Assume that

(a) there exist r > 0 and $\alpha > 0$ such that

$$\forall u \in X: \|u\|_0 = r \Longrightarrow f(u) \geq f(0) + \alpha;$$

- (b) there exists $u_1 \in X$ with $||u_1||_0 > r$ and $f(u_1) \leq f(0)$;
- (c) for every $b \in \mathbb{R}$, f^b is complete with respect to $\|\cdot\|_0$ and $f: X_0 \to \mathbb{R} \cup \{+\infty\}$ satisfies $(PS)_c$, where

$$c := \inf_{\gamma \in \Gamma} \sup_{0 \le t \le 1} f(\gamma(t)),$$

$$\Gamma = \Big\{ \gamma \in C([0,1]; X_0) : \gamma(0) = 0, \gamma(1) = u_1 \Big\}.$$

Then there exists $u \in X$ such that f(u) = c and

$$\forall v \in X : f_0(v) \ge f_0(u) - \langle df_1(u), v - u \rangle_1.$$

Proof. We apply Theorem 4.5 of [4]. Even if X_0 is not complete, it is easy to see that epi(f) is complete, and this is enough.

By (i) and (iii) of Theorem 3.1, $f: X_0 \to \mathbb{R} \cup \{+\infty\}$ is lower semicontinuous and satisfies condition (4.1) of [4]. Since f_0 is convex, we have that $\gamma(t) = tu_1$ belongs to Γ and that

$$c \le \sup_{0 \le t \le 1} f(tu_1) < +\infty.$$

Then, by Theorem (4.5) of [4] there exist $u \in X$ with f(u) = c and $|d_0f|(u) = 0$; but for (iv) of Theorem 3.1 it is also |df|(u) = 0, hence the assertion follows from [6, Theorem 2.11]. \square

4. An application. In this section we apply our abstract framework to obtain an existence result for a class of functionals containing an area-type term.

Let Ω be a bounded open subset of \mathbb{R}^n with Lipschitz boundary, $\Psi: \mathbb{R}^n \to \mathbb{R}$ and $g: \Omega \times \mathbb{R} \to \mathbb{R}$ two functions satisfying the following conditions:

 (Ψ) the function Ψ is convex and there exist c, d > 0 such that

$$\forall \xi \in \mathbb{R}^n : d|\xi| - c \le \Psi(\xi) \le c(|\xi| + 1);$$

 (g_1) the function g satisfies the Carathéodory conditions and there exist $a \in L^n(\Omega)$, $b \in \mathbb{R}$ and $p \in \left]1, \frac{n}{n-1}\right[$ such that

$$|g(x,s)| \le a(x) + b |s|^{p-1}$$

for a.e. $x \in \Omega$ and every $s \in \mathbb{R}$.

Furthermore, let $G(x,s) = \int_0^s g(x,t) dt$.

According to [3, 8], we define $f: BV(\Omega) \to \mathbb{R}$ setting $f = f_0 + f_1$, where

$$f_0(u) = \int_{\Omega} \Psi(\nabla u^a) dx + \int_{\Omega} \Psi^{\infty} \left(\frac{\nabla u^s}{|\nabla u^s|} \right) d |\nabla u^s| (x) + \int_{\partial \Omega} \Psi^{\infty}(u\nu) d\mathcal{H}^{n-1}(x),$$

$$f_1(u) = -\int_{\Omega} G(x, u) \, dx,$$

 $\nabla u = \nabla u^a + \nabla u^s$ is the Lebesgue decomposition of ∇u , $|\nabla u^s|$ is the total variation of ∇u^s , $|\nabla u^s| |\nabla u^s|$ is the Radon-Nikodym derivative of $|\nabla u^s|$ with respect to $|\nabla u^s|$, $|\Psi^{\infty}|$ is the recession functional associated with $|\Psi|$, and $|\nu|$ is the outer normal to $|\Omega|$.

As a norm in $BV(\Omega)$, we shall consider

$$||u||_{BV} = \int_{\Omega} |\nabla u^{a}| \ dx + \int_{\Omega} d|\nabla u^{s}| (x) + \int_{\partial \Omega} |u| \ d\mathcal{H}^{n-1}(x).$$

Lemma 4.1. Let $\gamma:[0,+\infty[\to\mathbb{R}]$ be a convex function such that

$$\forall \xi \in \mathbb{R}^n : \Psi(\xi) \ge \gamma(|\xi|).$$

Then we have

$$\forall u \in BV(\Omega) : f_0(u) \ge \mathcal{L}^n(\Omega) \gamma\left(\frac{\|u\|_{BV}}{\mathcal{L}^n(\Omega)}\right).$$

Proof. First of all, for any $x, y, z \in [0, +\infty[$ and $\lambda > 0$ we have

(4.1)
$$\lambda \gamma \left(\frac{x+y+z}{\lambda} \right) \le \lambda \gamma \left(\frac{x}{\lambda} \right) + \gamma^{\infty}(y) + \gamma^{\infty}(z).$$

In fact, for any $\varepsilon \in]0,1/2]$ we have

$$\begin{split} \lambda\gamma\left(\frac{x+y+z}{\lambda}\right) &= \lambda\gamma\left((1-2\varepsilon)\frac{x}{\lambda} + \varepsilon\left(\frac{x}{\lambda} + \frac{y}{\varepsilon\lambda}\right) + \varepsilon\left(\frac{x}{\lambda} + \frac{z}{\varepsilon\lambda}\right)\right) \leq \\ &\leq \lambda(1-2\varepsilon)\gamma\left(\frac{x}{\lambda}\right) + \lambda\varepsilon\gamma\left(\frac{x}{\lambda} + \frac{y}{\varepsilon\lambda}\right) + \lambda\varepsilon\gamma\left(\frac{x}{\lambda} + \frac{z}{\varepsilon\lambda}\right) \leq \\ &\leq \lambda(1-2\varepsilon)\gamma\left(\frac{x}{\lambda}\right) + 2\lambda\varepsilon\gamma(0) + \lambda\varepsilon\gamma^\infty\left(\frac{x}{\lambda} + \frac{y}{\varepsilon\lambda}\right) + \lambda\varepsilon\gamma^\infty\left(\frac{x}{\lambda} + \frac{z}{\varepsilon\lambda}\right) = \\ &= \lambda(1-2\varepsilon)\gamma\left(\frac{x}{\lambda}\right) + 2\lambda\varepsilon\gamma(0) + \gamma^\infty(\varepsilon x + y) + \gamma^\infty(\varepsilon x + z). \end{split}$$

Going to the limit as $\varepsilon \to 0$, we get (4.1).

Now let $u \in BV(\Omega)$. Since $\gamma^{\infty}(|\xi|) \leq \Psi^{\infty}(\xi)$, from Jensen's inequality and (4.1) we deduce that

$$f_0(u) \ge \int_{\Omega} \gamma(|\nabla u^a|) \, dx + \int_{\Omega} \gamma^{\infty}(1) \, d|\nabla u^s|(x) + \int_{\partial\Omega} |u| \, \gamma^{\infty}(1) \, d\mathcal{H}^{n-1}(x) \ge \int_{\Omega} |u| \, \gamma^{\infty}(1) \, dx + \int_{\Omega} |u| \, \gamma^{\infty}(1) \, d\mathcal{H}^{n-1}(x) \ge \int_{\Omega} |u| \, \gamma^{\infty}(1) \, dx + \int_{\Omega} |u| \, \gamma^{\infty}(1) \, d\mathcal{H}^{n-1}(x) \ge \int_{\Omega} |u| \, \gamma^{\infty}(1) \, dx + \int_{\Omega} |u| \, \gamma^{\infty}(1) \, d\mathcal{H}^{n-1}(x) \ge \int_{\Omega} |u| \, \gamma^{\infty}(1) \, dx + \int_{\Omega} |u| \, \gamma^{\infty}(1) \, dx +$$

$$\geq \mathcal{L}^{n}\left(\Omega\right)\gamma\left(\mathcal{L}^{n}\left(\Omega\right)^{-1}\int_{\Omega}\left|\nabla u^{a}\right|\,dx\right)+\gamma^{\infty}\left(\int_{\Omega}d\left|\nabla u^{s}\right|\left(x\right)\right)+\gamma^{\infty}\left(\int_{\partial\Omega}\left|u\right|\,d\mathcal{H}^{n-1}(x)\right)\geq \\ \geq \mathcal{L}^{n}\left(\Omega\right)\gamma\left(\frac{\left\|u\right\|_{BV}}{\mathcal{L}^{n}\left(\Omega\right)}\right),$$

whence the assertion. \Box

In particular, combining the previous lemma with assumption (Ψ) , we deduce that there exist $\tilde{c}, \tilde{d} > 0$ such that

(4.2)
$$\forall u \in BV(\Omega) : \tilde{d} \|u\|_{BV} - \tilde{c} \le f_0(u) \le \tilde{c}(\|u\|_{BV} + 1).$$

Lemma 4.2. For every $\varepsilon > 0$ there exist $\varphi : BV(\Omega) \to \mathbb{R}$ Lipschitz of constant $\varepsilon, \psi : L^p(\Omega) \to \mathbb{R}$ of class C^1 such that $f_1 = \varphi + \psi$.

Proof. Let $\varepsilon > 0$ and

$$g_1(x,s) = \min\{\max\{g(x,s), -a(x)\}, a(x)\},\$$

 $g_2(x,s) = g(x,s) - g_1(x,s),$

so that $|g_1(x,s)| \le a(x)$ and $|g_2(x,s)| \le b |s|^{p-1}$.

Let $\overline{c} > 0$ be such that $\|\cdot\|_{\frac{n}{n-1}} \leq \overline{c} \|\cdot\|_{BV}$; if $k \in \mathbb{R}$, let $\overline{a}(x) = a(x)\chi_{\{a(x) \geq k\}}(x)$, and choose k such that $\overline{c} \|\overline{a}\|_n \leq \varepsilon$. Now let

$$\overline{g}_1(x,s) = g_1(x,s)\chi_{\{a(x)\geq k\}}(x),$$

$$\overline{\overline{g}}_1(x,s) = g_1(x,s)\chi_{\{a(x)< k\}}(x),$$

so that $|\overline{g}_1(x,s)| \leq \overline{a}(x)$ and $|\overline{\overline{g}}_1(x,s)| \leq k$.

Finally, let

$$G_1(x,s) = \int_0^s \overline{g}_1(x,t) dt,$$

$$G_2(x,s) = \int_0^s [\overline{g}_1(x,t) + g_2(x,t)] dt,$$

$$\varphi(u) = \int_{\Omega} G_1(x,u) dx,$$

$$\psi(u) = \int_{\Omega} G_2(x,u) dx.$$

Since

$$|\overline{\overline{g}}_1(x,s) + g_2(x,s)| \le k + b |s|^{p-1},$$

it is well known that $\psi: L^p(\Omega) \to \mathbb{R}$ is of class C^1 .

Furthermore, it is

$$\begin{aligned} |\varphi(v) - \varphi(u)| &\leq \int_{\Omega} \overline{a}(x) \, |v - u| \, dx \leq \|\overline{a}\|_n \, \|v - u\|_{\frac{n}{n-1}} \leq \\ &\leq \overline{c} \, \|\overline{a}\|_n \, \|v - u\|_{BV} \leq \varepsilon \, \|v - u\|_{BV} \,, \end{aligned}$$

namely $\varphi: BV(\Omega) \to \mathbb{R}$ is Lipschitz continuous of constant ε . \square

Now we consider the space $X = BV(\Omega)$, we denote by $\|\cdot\|$ the norm of $BV(\Omega)$ and by $\|\cdot\|_0$ the norm of $L^p(\Omega)$.

Theorem 4.3. The following facts hold:

- (i) for every $b \in \mathbb{R}$, f^b is complete with respect to $\|\cdot\|_0$;
- (ii) $f_1: X_0 \to \mathbb{R}$ is continuous on X_1 -bounded subsets;
- (iii) $\xi > f(u) \Longrightarrow |d_0 \mathcal{G}_f| (u, \xi) = 1;$
- (iv) if $u \in \mathcal{D}(f)$, then $|df|(u) \leq c |d_0 f|(u)$.

Proof. For (Ψ) , (g_1) and the previous lemma, hypotheses of Theorem 3.1 are satisfied; therefore (ii), (iii) and (iv) follow by Theorem 3.1

(i) Let (u_h) be a sequence in $BV(\Omega)$ convergent to u in $L^p(\Omega)$ with $f(u_h) \leq b$. Let $\varepsilon = \tilde{d}/2$ and let φ , ψ be as in the previous lemma. Since $(\psi(u_h))$ is bounded and

$$f_0(u_h) + \varphi(u_h) - \varphi(0) \ge \frac{\tilde{d}}{2} ||u_h|| - \tilde{c},$$

we have that (u_h) is bounded in $BV(\Omega)$, so that $u \in BV(\Omega)$. From (i) of Theorem 3.1 assertion follows. \square

Let us now assume the following superlinearity condition on G:

 (g_2) there exist q > 1 and R > 0 such that for a.e. $x \in \Omega$ and every $s \in \mathbb{R}$ with $|s| \ge R$ we have

$$0 < qG(x,s) \le sg(x,s).$$

From (g_1) and (g_2) it follows (see e.g. [13, Theorem 6.2]) that there exists $a_0 \in L^1(\Omega)$ such that for a.e. $x \in \Omega$ and every $s \in \mathbb{R}$ we have

$$(4.3) G(x,s) \ge (R^{-q} \min\{G(x,R), G(x,-R)\}) |s|^q - a_0(x),$$

$$(4.4) qG(x,s) \leq sg(x,s) + a_0(x).$$

Let us also recall that hypothesis (Ψ) implies that Ψ is Lipschitz continuous of constant c, and therefore there exists $M \in \mathbb{R}$ such that

$$(4.5) (q+1)\Psi(\xi) - \Psi(2\xi) \ge \frac{q-1}{2}\Psi(\xi) - M,$$

$$(4.6) (q+1)\Psi^{\infty}(\xi) - \Psi^{\infty}(2\xi) \geq \frac{q-1}{2}\Psi^{\infty}(\xi).$$

Theorem 4.4. The following facts hold:

(a) for every $u \in BV(\Omega) \setminus \{0\}$ we have

$$\lim_{t \to +\infty} f(tu) = -\infty;$$

(b) for every $c \in \mathbb{R}$, $f: X_0 \to \mathbb{R} \cup \{+\infty\}$ satisfies $(PS)_c$.

Proof. (a) Let $u \in BV(\Omega) \setminus \{0\}$; from (4.2) and (4.3) it follows that

$$f(tu) \le \tilde{c} |t| ||u|| - R^{-q} |t|^q \int_{\Omega} \min\{G(x,R), G(x,-R)\} |u|^q dx + \int_{\Omega} a_0 dx,$$

hence

$$\lim_{t \to +\infty} f(tu) = -\infty.$$

(b) Let $c \in \mathbb{R}$ and let (u_h) be a sequence in $BV(\Omega)$ such that $|d_0f|(u_h) \to 0$ and $f(u_h) \to c$.

From (iv) of Theorem 4.3 it follows that $|df|(u_h) \to 0$. From [6, Theorem 2.11], there exist $w_h \in (BV(\Omega))'$ such that $||w_h||_{(BV(\Omega))'} \to 0$ and

$$\forall v \in X : f_0(v) \ge f_0(u_h) + \int_{\Omega} g(x, u_h)(v - u_h) \, dx + \langle w_h, v - u_h \rangle.$$

Choosing $v_h = 2u_h$ we have, by (4.4),

$$f_0(2u_h) \ge f_0(u_h) + \int_{\Omega} u_h g(x, u_h) \, dx + \langle w_h, u_h \rangle - \int_{\Omega} a_0 \, dx.$$

By the definition of f, we obtain

$$qf(u_h) - \langle w_h, u_h \rangle + \int_{\Omega} a_0 \, dx \ge (q+1)f_0(u_h) - f_0(2u_h).$$

Therefore, by (4.2), (4.5) and (4.6), it follows that

$$qf(u_h) + ||w_h||_{(BV(\Omega))'} ||u_h|| + \int_{\Omega} a_0 dx \ge \frac{(q-1)}{2} f_0(u_h) - M\mathcal{L}^n(\Omega) \ge$$
$$\ge \frac{q-1}{2} \tilde{d} ||u_h|| - \frac{q-1}{2} \tilde{c} - M\mathcal{L}^n(\Omega).$$

Hence (u_h) is bounded in $BV(\Omega)$ and the assertion follows from the compact embedding of $BV(\Omega)$ in $L^p(\Omega)$ (see [7]). \square

Now we state the last hypothesis needed for the geometrical conditions of the mountain pass theorem:

 (α) there exist $\alpha \in [1, \frac{n}{n-1}[$ and $a_1 \in L^{\frac{n}{n+\alpha-n\alpha}}(\Omega)$ such that

$$\liminf_{\xi \to 0} \frac{\Psi(\xi) - \Psi(0)}{|\xi|^{\alpha}} > 0,$$

$$\lim_{s \to 0} \frac{G(x, s)}{|s|^{\alpha}} = 0,$$

$$|G(x, s)| < a_1(x) |s|^{\alpha} + b |s|^{\frac{n}{n-1}}$$

for a.e. $x \in \Omega$ and every $s \in \mathbb{R}$.

Lemma 4.5. Let $(u_h) \subseteq BV(\Omega)$ with $||u_h|| = 1$ and $\rho_h > 0$ with $\rho_h \to 0$. Then it is

$$\liminf_{h \to \infty} \frac{f_0(\rho_h u_h) - f_0(0)}{\rho_h^{\alpha}} > 0.$$

Proof. Without loss of generality, we can assume $\Psi(0)=0$. Let $\delta>0$ be such that

$$|\xi| \le \delta \implies \Psi(\xi) \ge \delta |\xi|^{\alpha}$$
.

Since Ψ is convex, for every $\xi \in \mathbb{R}^n$ with $|\xi| > \delta$ we have

$$\Psi\left(\frac{\delta}{|\xi|}\xi\right) \leq \frac{\delta}{|\xi|}\Psi(\xi),$$

hence

$$\Psi(\xi) \geq \frac{|\xi|}{\delta} \Psi\left(\frac{\delta}{|\xi|} \xi\right) \geq \delta^{\alpha} \left|\xi\right|.$$

If we define $\gamma: [0, +\infty[\to \mathbb{R} \text{ by }]$

$$\gamma(s) = \begin{cases} \frac{\delta}{\alpha} s^{\alpha} & \text{if } 0 \le s \le \delta \\ \delta^{\alpha} s - \frac{\alpha - 1}{\alpha} \delta^{\alpha + 1} & \text{if } s \ge \delta \end{cases}$$

we have that γ is convex and satisfies

$$\forall \xi \in \mathbb{R}^n : \Psi(\xi) \ge \gamma(|\xi|).$$

Taking into account Lemma 4.1, we deduce that

$$f_0(\rho_h u_h) \ge \mathcal{L}^n(\Omega) \gamma\left(\frac{\rho_h}{\mathcal{L}^n(\Omega)}\right)$$

and the assertion follows. \Box

Lemma 4.6. Let $(u_h) \subseteq BV(\Omega)$ with $||u_h|| = 1$ and $\rho_h > 0$ with $\rho_h \to 0$.

Then it is

$$\lim_{h \to \infty} \frac{G(x, \rho_h u_h)}{\rho_h^{\alpha}} = 0$$

in $L^1(\Omega)$.

Proof. Up to a subsequence, we can assume that $u_h(x) \to u(x)$ for a.e. $x \in \Omega$. Moreover, (u_h) is bounded in $L^{\frac{n}{n-1}}(\Omega)$.

From (α) we deduce that

$$\lim_{h \to \infty} \frac{G(x, \rho_h u_h)}{\rho_h^{\alpha}} = 0$$

for a.e. $x \in \Omega$ and that

$$\frac{|G(x, \rho_h u_h)|}{\rho_h^{\alpha}} \le a_1(x) |u_h|^{\alpha} + b\rho_h^{\frac{n}{n-1} - \alpha} |u_h|^{\frac{n}{n-1}}.$$

Since the right hand side of the last inequality is strongly convergent in $L^1(\Omega)$, the assertion follows from the Lebesgue theorem. \square

Theorem 4.7. There exists r > 0 such that

$$\forall u \in BV(\Omega): \|u\|_0 = r \Longrightarrow f(u) \geq f(0) + r^{\alpha+1}.$$

Proof. By contradiction, let (u_h) be a sequence in $BV(\Omega)$ such that $\|u_h\|_0 = 1/h$ and

 $f(u_h) < f(0) + \frac{1}{h^{\alpha+1}}.$

From (i) of Theorem 3.1, it follows that $||u_h||$ is bounded; hence, from (ii) of Theorem 3.1 we deduce that

$$\lim_{h \to \infty} f_1(u_h) = 0.$$

Define $\gamma:[0,+\infty[\to\mathbb{R} \text{ as in Lemma 4.5. Since}]$

$$\limsup_{h\to\infty} \mathcal{L}^{n}\left(\Omega\right) \gamma\left(\frac{\|u_{h}\|}{\mathcal{L}^{n}\left(\Omega\right)}\right) \leq \limsup_{h\to\infty} (f_{0}(u_{h}) - f_{0}(0)) \leq 0,$$

we have $||u_h|| \to 0$.

Let $\rho_h = ||u_h||$, $w_h = u_h/||u_h||$; applying Lemmas 4.5 and 4.6 to ρ_h and w_h we deduce that

$$\lim_{h \to \infty} \inf \frac{f_0(u_h) - f_0(0)}{\rho_h^{\alpha}} > 0,$$

$$\lim_{h \to \infty} \frac{f_1(u_h)}{\rho_h^{\alpha}} = -\lim_{h \to \infty} \frac{\int_{\Omega} G(x, u_h) dx}{\rho_h^{\alpha}} = 0.$$

It follows $\liminf_{h\to\infty} \frac{f(u_h)-f(0)}{\rho_h^{\alpha}} > 0$, whence a contradiction. \square

Since $f: BV(\Omega) \to \mathbb{R}$ is the sum of a convex term and a term of class C^1 (when $BV(\Omega)$ is endowed with its natural norm), it is natural to say that $u \in BV(\Omega)$ is a (generalized) critical point for f if

$$\forall v \in BV(\Omega) : f_0(v) \ge f_0(u) - \langle df_1(u), v - u \rangle.$$

Remark 4.8. Under mild assumptions of Ψ , it is shown in [2] that the above relation implies that u satisfies a suitable Euler equation.

We may now prove the main result of this section.

Theorem 4.9. Assume that (Ψ) , (g_1) , (g_2) and (α) hold. Then there exists $u \in BV(\Omega) \setminus \{0\}$ such that u is a critical point for f.

Proof. For Theorem 4.4 and Theorem 4.7 all the hypotheses of Theorem 3.2 are satisfied. Hence the assertion follows from Theorem 3.2. \Box

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